

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

2. AMENDMENT/MODIFICATION NO. 240		3. EFFECTIVE DATE 10/12/2011	4. REQUISITION/PURCHASE REQ. NO.	5. PROJECT NO. (if applicable) 1 2
6. ISSUED BY NNSA/Oakridge Site Office U.S. Department of Energy NNSA/Y-12 Site Office P.O. Box 2050 Building 9704-2 Oak Ridge TN 37831	CODE 05008	7. ADMINISTERED BY (If other than Item 5) NNSA/Oakridge Site Office U.S. Department of Energy NNSA/Y-12 Site Office P.O. Box 2050 Building 9704-2 Oak Ridge TN 37831		

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) BABCOCK & WILCOX TECHNICAL SERVICES Y-12, LLC Attn: WILLIE J. WILSON PO BOX 2009 602 SCARBORO RD., N1.149/MS 8004 OAK RIDGE TN 378318004		9A. AMENDMENT OF SOLICITATION NO.
CODE 141952312 FACILITY CODE		9B. DATED (SEE ITEM 11)
		10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22800
		10B. DATED (SEE ITEM 13) 08/31/2000

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers  is extended.  is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)  
See Schedule

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) P.L. 95-91, DEAR 970.5204-2

E. IMPORTANT: Contractor  is not,  is required to sign this document and return \_\_\_\_\_ copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

Fax ID Number: 54-1987297  
DUNS Number: 141952312  
The purpose of this modification is to incorporate updated Attachment E to Section J of the contract.  
The enclosed Requirements Change Notice NNSA-43 supersedes NNSA-42. NNSA-43 is incorporated into the contract at Part III, List of Documents, Exhibits and Other attachments, Section J Attachments, Attachment E Baseline List of Required Compliance Documents.  
The enclosed Requirements Change Notice NNSA-44 supersedes NNSA-43. NNSA-44 is continued ...

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Jill Y. Albaugh	
15B. CONTRACTOR/OFFEROR (Signature of person authorized to sign)	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA Signature on File (Signature of Contracting Officer)	16C. DATE SIGNED 10/12/2011

**CONTINUATION SHEET**REFERENCE NO. OF DOCUMENT BEING CONTINUED  
DE-AC05-00OR22800/240PAGE OF  
2 2

NAME OF OFFEROR OR CONTRACTOR

BABCOCK &amp; WILCOX TECHNICAL SERVICES Y-12, LLC

ITEM NO. (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
	<p>incorporated into the contract at Part III, List of Documents, Exhibits and Other Attachments, Section J Attachments, Attachment E Baseline List of Required Compliance Documents.</p> <p>RCN NNSA-43 and NNSA-44 are attached to this modification</p> <p>Period of Performance: 08/31/2000 to 12/31/2011</p>				

DOE Form (04/1991)  <b>U.S. Department of Energy Requirements Change Notice</b>	No.: NNSA-43  Page 1 of 37 Pages
<b>PROJECT:</b> Baseline List of Required Compliance Documents	<b>LOCATION:</b> Oak Ridge, Tennessee
<b>CONTRACTOR:</b> Babcock & Wilcox Technical Services Y-12, LLC	
<b>CONTRACT NO.:</b> DE-AC05-00OR22800, I.85, Laws, Regulations, and DOE Directives (December 2000), DEAR 970.5204-2	<b>DATE OF CONTRACT:</b> August 31, 2000
<p>This Requirements Change Notice (RCN) No. NNSA-43 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents that have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. Changes to Section J, Attachment E, are indicated by bold type.</p> <p>Below is a list of the directives incorporated herein:</p> <p><b><u>ADDITIONS:</u></b></p> <p>DOE O 252.1A            DOE O 443.1B            DOE O 484.1, Admin Chg 1            DOE O 475.2A        NAP-21                    PRS12005</p> <p><b><u>DELETIONS:</u></b></p> <p>DOE M 475.1-1B            DOE O 110.3A            DOE O 252.1            DOE O 443.1A            DOE O 475.2        DOE P 443.1A            DOE P 470.1            ORO O 350, Ch. III, Chg. 3</p> <p><b><u>COMPLIANCE LINE UPDATES:</u></b></p> <p>41 CFR 102-192, deleted compliance line            DOE O 206.1, deleted compliance line        DOE O 413.3B, modified compliance line            DOE O 470.3B, modified compliance line</p> <p><b><u>ADMINISTRATIVE CHANGES:</u></b></p> <p>Changed title of contract #DE-AC05-00OR22800 in the "Approved S/RID Source Documents" section from BWXT Y-12 to B&amp;W Y-12.</p> <p><b><u>UPDATES:</u></b></p> <p>S/RID 4.0 - Revised Training and Qualification Functional Area requirements        S/RID 7.0 - Revised Engineering Program Functional Area requirements        S/RID 8.0 - Revised Construction Program Functional Area requirements        S/RID 11.0 - Revised Radiation Protection Functional Area requirements        S/RID 12.0 - Revised Fire Protection Functional Area requirements        S/RID 13.0 - Revised Packaging and Transportation Functional Area requirements        S/RID 19.0 - Revised Occupational Health Program Section of the and Occupational Safety and Health Functional Area requirements</p>	
<b>DOE AUTHORIZING SIGNATURE:</b>   Elizabeth C. Hale, Contracting Officer's Representative for Directives	<b>DATE:</b>  7/5/11

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1073</u> ----- DOE O 475.2A	02/01/2011	Identifying Classified Information		DOE ltr dtd 02/15/2011 B&W Y-12 ltr dtd 03/02/2011
<i>Addition</i>				
<u>RD-1074</u> ----- DOE O 475.2	08/28/2007	Identifying Classified Information		DOE ltr dtd 02/15/2011 B&W Y-12 ltr dtd 03/02/2011
<i>Deletion: This Order was cancelled by DOE O 475.2A.</i>				
<u>RD-1075</u> ----- DOE M 475.1-1B	08/28/2007	Manual for Identifying Classified Information		DOE ltr dtd 02/15/2011 B&W Y-12 ltr dtd 03/02/2011
<i>Deletion: This Order was cancelled by DOE O 475.2A.</i>				
<u>RD-1076</u> ----- 41 CFR Part 102-192	08/25/2008	Mail Management		NNSA/YSO Comment/ Concurrence Form dtd 03/08/2011 B&W Y-12 ltr dtd 02/23/2011
<i>Deleted compliance line.</i>				
<u>RD-1077</u> ----- PRS12005	01/13/2011	PDMLink Standard Installation and Configurations	Issue B	DOE ltr dtd 02/08/2011 B&W Y-12 ltr dtd 03/10/2011
<i>Addition with the following compliance line: Compliance will be contingent upon receipt of further information on requirements currently identified as "TBD."</i>				
<u>RD-1078</u> ----- S/RID 11.0 Rev. 11	03/17/2011	RADIATION PROTECTION		NNSA/YSO Comment/ Concurrence Form – S/RID Chg Pkg #2011-05-RP dtd 03/17/2011  B&W Y-12 ltr dtd 02/28/2011
<i>Type 2 change to incorporate requirements taken from DOE-STD-1095-2011, "Department of Energy Laboratory Accreditation for External Dosimetry," dated 01/2011.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
RD-1079 DOE O 110.3A	01/25/2007	Conference Management		Bi-lateral Approved DOE Contract Mod 231 dtd 05/02/2011 DOE ltr dtd 03/10/2011 B&W Y-12 ltr dtd 03/29/2011
<i>Deletion: This Order was cancelled by DOE O 110.3A, and was designated for deletion from the contract by DOE via Contract Modification 231 dated 05/02/2011.</i>				
RD-1080 DOE O 413.3B	11/29-2010	Program and Project Management for the Acquisition of Capital Assets		B&W Y-12 ltrs dtd 04/14/2011 and 01/11/2011
<i>Modified compliance line: Compliance will be contingent upon YSO acceptance of the UPF implementation plan submitted on 04/14/2011.</i>				
RD-1081 DOE O 206.1	01/16/2009	Department of Energy Privacy Program		NNSA/YSO Comment/ Concurrence Form, Implementation Plan Closure, dtd 04/15/2011  B&W Y-12 ltr dtd 03/02/2011
<i>Deleted compliance line.</i>				
RD-1082 S/RID 13.0 Rev. 19	04/18/2011	PACKAGING AND TRANSPORTATION		NNSA/YSO Comment/ Concurrence Form, S/RID Change Pkg #2011-06-TR, dtd 04/18/2011  B&W Y-12 ltr dtd 03/15/2011
<i>Type 2 change to update a requirement taken from DOE O 461.1A, "Packaging and Transfer or Transportation of Materials of National Security Interest," to reflect DOE NNSA Policy Letter (NAP) 70.2, "Physical Protection," dated 07/02/2010; and replace a requirement taken from DOE M 470.4-2, "Physical Protection," through Change 1, dated 03/07/2006, with a requirement taken from NAP 70.2.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1083</u> S/RID 4.0 Rev. 20	04/18/2011	TRAINING AND QUALIFICATION		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  NNSA/YSO Comment/ Concurrence Form, S/RID Change Pkg #2011-06-TR, dtd 04/18/2011  B&W Y-12 e-mail msg dtd 04/19/2011  B&W Y-12 ltr dtd 03/15/2011
<i>Type 1 change to replace requirements taken from DOE M 470.4-2, "Physical Protection," through Change 1, dated 03/07/2006, with requirements taken from DOE NNSA Policy Letter (NAP) 70.2, "Physical Protection," dated July 2, 2010, to align with the approved Type 2 change in the Packaging and Transportation (TR) Lead Functional Area.</i>				
<u>RD-1084</u> DOE O 252.1A	02/23/2011	Technical Standards Program		DOE ltr dtd 03/30/2011 B&W Y-12 ltr dtd 04/19/2011
<i>Addition</i>				
<u>RD-1085</u> DOE O 252.1	11/19/1999	Technical Standards Program		DOE ltr dtd 03/30/2011 B&W Y-12 ltr dtd 04/19/2011
<i>Deletion: This Order was cancelled by DOE O 252.1A.</i>				
<u>RD-1086</u> DOE O 484.1	08/17/2006	Reimbursable Work for the Department of Homeland Security	1 03/14/2011	DOE ltr dtd 04/12/2011 B&W Y-12 ltr dtd 05/02/2011
<i>Modification to incorporate Administrative Change 1.</i>				
<u>RD-1087</u> DOE O 443.1B	03/17/2011	Protection of Human Research Subjects		DOE ltr dtd 04/25/2011 B&W Y-12 ltr dtd 05/09/2011
<i>Addition</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
RD-1088 DOE O 443.1A	12/20/2007	Protection of Human Subjects		DOE ltr dtd 04/25/2011 B&W Y-12 ltr dtd 05/09/2011
<i>Deletion: This Order was cancelled by DOE O 443.1B</i>				
RD-1089 DOE P 443.1A	12/20/2007	Protection of Human Subjects		DOE ltr dtd 04/25/2011 B&W Y-12 ltr dtd 05/09/2011
<i>Deletion: This Policy was cancelled by DOE O 443.1B.</i>				
<b>RD-1090</b> S/RID 19.0 Rev. 32	<b>05/09/2011</b>	<b>OCCUPATIONAL SAFETY AND HEALTH</b>		<b>YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)</b>  <b>B&amp;W Y-12 e-mail msg dtd 05/09/2011</b>
<i>Type 1 change to the Occupational Health Program (MD) Section of the Occupational Safety and Health (SH) Functional Area of the S/RID to correct an error in the sub-element numbers for RUIDs #10763 and #11631. This resulted in replacement of sub-elements 12.02.03, "Staffing, Training, and Qualifications," and 12.07, "Fire Hazard Analysis," with sub-element 19.01.03, "Worksite Analysis," and 19.04.07, "Emergency Planning," respectively.</i>				
RD-1091 DOE P 470.1	05/08/2001	Integrated Safeguards and Security Management (ISSM) Policy		B&W Y-12 ltr dtd 05/11/2011
<i>Deletion: This Policy was cancelled by DOE P 470.1A, "Safeguards and Security Program," dated 12/29/2010, which defines DOE's Safeguards and Security Policy and contains no contractor requirements.</i>				
<b>RD-1092</b> DOE O 470.3B	<b>08/12/2008</b>	<b>Graded Security Protection Policy</b>		<b>DOE memo dtd 05/13/2011</b>  <b>B&amp;W Y-12 ltr dtd 02/28/2011</b>
<i>Modification of Compliance Line: Compliance will be in accordance with the 2011 Site Safeguards and Security Plan, and the Area 5 Vulnerability Assessment Report approved by YSO and submitted to DOE NA-70 for concurrence on 05/13/2011.</i>				

**OUTLINE OF CHANGES**

<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>AUTHORIZATION</b>
<u>RD-1093</u> NAP-21	02/28/2011	Transformational Governance and Oversight		DOE ltr dtd 04/20/2011 B&W Y-12 ltr dtd 05/17/2011
<i>Addition with the following compliance line: Compliance with those chapters currently identified as “to be developed” will be contingent upon an impact assessment to be completed as they are developed and issued.</i>				
<u>RD-1094</u> ORO O 350, Ch. III	01/26/2004	Federal Labor Standards	3 01/26/2004	DOE e-mail msg dtd 05/23/2011
<i>Deletion: This Policy was cancelled by DOE Oak Ridge Operations and is no longer needed in the B&amp;W Y-12 Baseline List of Required Compliance Documents.</i>				
<u>RD-1095</u> S/RID 12.0 Rev. 18	05/18/2011	<b>FIRE PROTECTION</b>		NNSA/YSO Comment/ Concurrence Form, S/RID Change Pkg #2011-07-FP, dtd 05/18/2011  B&W Y-12 ltr dtd 04/19/2011
<i>Type 2 change to update the National Fire Protection Association (NFPA) Codes and Standards related to the Fire Protection Operations occupational medical and health-related fitness programs.</i>				
<u>RD-1096</u> S/RID 7.0 Rev. 47	05/18/2011	<b>ENGINEERING PROGRAM</b>		YSO-CRD-06-01, Rev. 3, “Standards/ Requirements Identification Document” section, paragraph 5.b.(1)  NNSA/YSO Comment/ Concurrence Form, S/RID Change Pkg #2011-07-FP, dtd 05/18/2011  B&W Y-12 e-mail msg dtd 05/18/2011 and B&W Y-12 ltr dtd 04/19/2011
<i>Type 1 change to update the National Fire Protection Association (NFPA) Codes and Standards related to the Fire Protection Operations occupational medical and health-related fitness programs to align with the approved Type 2 changes in the Fire Protection Lead Functional Area.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1097</u> ----- S/RID 8.0 Rev. 40	05/18/2011	CONSTRUCTION PROGRAM		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  NNSA/YSO Comment/ Concurrence Form, S/RID Change Pkg #2011-07-FP, dtd 05/18/2011  B&W Y-12 e-mail msg dtd 05/18/2011 and B&W Y-12 ltr dtd 04/19/2011
<i>Type 1 change to update the National Fire Protection Association (NFPA) Codes and Standards related to the Fire Protection Operations occupational medical and health-related fitness programs to align with the approved Type 2 changes in the Fire Protection Lead Functional Area.</i>				
<u>RD-1098</u> ----- S/RID 13.0 Rev. 20	05/23/2011	PACKAGING AND TRANSPORTATION		NNSA/YSO Comment/ Concurrence Form, S/RID Change Pkg #2011-08-TR, dtd 05/23/2011  B&W Y-12 ltr dtd 04/27/2011
<i>Type 2 change to replace requirements taken from DOE O 461.1A, "Packaging and Transfer or Transport of Materials of National Security Interest," and DOE M 461.1-1, "Packaging and Transfer of Materials of National Security Interest," with requirements taken from DOE O 461.1B, "Packaging and Transportation for Offsite Shipment of Materials of National Security Interest," dated 12/16/2010; and DOE O 461.2, "Onsite Packaging and Transfer of Materials of National Security Interest," dated 11/01/2010.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1099</u> ----- S/RID 4.0 Rev. 21	05/23/2011	TRAINING AND QUALIFICATION		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  NNSA/YSO Comment/ Concurrence Form, S/RID Change Pkg #2011-08-TR, dtd 05/23/2011  B&W Y-12 e-mail msg dtd 05/25/2011 and B&W Y-12 ltr dtd 04/27/2011
<i>Type 1 change to replace requirements taken from DOE O 461.1A, "Packaging and Transfer or Transportation of Materials of National Security Interest," with requirements taken from DOE O 461.1B, "Packaging and Transportation for Offsite Shipment of Materials of National Security Interest," dated 12/16/2010, to align with the approved Type 2 changes to the Packaging and Transportation Lead Functional Area.</i>				
<u>RD-1100</u> ----- S/RID 4.0 Rev. 21	05/26/2011	PACKAGING AND TRANSPORTATION		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  NNSA/YSO Comment/ Concurrence Form, S/RID Change Pkg #2011-08-TR, dtd 05/23/2011  B&W Y-12 e-mail msg dtd 05/26/2011 and B&W Y-12 ltr dtd 04/27/2011
<i>Type 1 change to revise the Packaging and Transportation S/RID Program Description, to clarify the applicability of requirements for on-site transfer and off-site shipments, as requested by DOE.</i>				

**OUTLINE OF CHANGES**

<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>AUTHORIZATION</b>
<u>RD-1101</u> S/RID 4.0 Rev. 21	<b>11/29/2010</b>	<b>Program and Project Management for the Acquisition of Capital Assets</b>		<b>NNSA/YSO Comment/Concurrence Form - Implementation Plan, dtd 05/31/2011</b>  <b>B&amp;W Y-12 ltrs dtd 04/14/2011 and 01/11/2011</b>
<i>Modification of compliance line: Compliance will be contingent upon YSO acceptance of the revised UPF implementation plan to be submitted 06/30/2011.</i>				

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B – List of Applicable Directives**

<b>DOE DIRECTIVES</b>				
DOE Directives can be found at the following Internet address: <a href="https://www.directives.doe.gov/">https://www.directives.doe.gov/</a>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE O 130.1	09/29/1995	BUDGET FORMULATION		Extended until 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		Cancels portions of Chapter VIII of DOE O 470.1 pertaining to foreign nationals who visit DOE sites/facilities and require access to classified information.
DOE M 142.2-1	09/04/2008	MANUAL FOR IMPLEMENTATION OF THE VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 142.2A	12/15/2006	VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		
DOE O 142.3A	10/14/2010	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM		
DOE O 200.1A	12/23/2008	INFORMATION TECHNOLOGY MANAGEMENT		
DOE O 205.1A	12/04/2006	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT		
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<i>Compliance: Compliance will be in accordance with the NAP Implementation Plan. In the interim, Y-12 will continue its unclassified system accreditations under the Cyber Security Program Plan (CSPP).</i>				
DOE M 205.1-3	04/17/2006	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		
DOE M 205.1-4	03/08/2007	NATIONAL SECURITY SYSTEM MANUAL		
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<i>Compliance: Based on this directive, compliance will be contingent upon an impact assessment of the revised Program Cyber Security Plan (PCSP) to be developed by NNSA. Pending that impact assessment, classified information system certification and accreditation will continue to be governed by DOE M 471.2-2, "Classified Information Systems Security Manual."</i>				
DOE M 205.1-5	08/12/2008	CYBER SECURITY PROCESS REQUIREMENTS MANUAL	1 09/01/2009	
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<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 205.1-6	12/23/2008	MEDIA SANITIZATION MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-7	01/05/2009	SECURITY CONTROLS FOR UNCLASSIFIED INFORMATION SYSTEMS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-8	01/08/2009	CYBER SECURITY INCIDENT MANAGEMENT MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE O 206.1	01/16/2009	DEPARTMENT OF ENERGY PRIVACY PROGRAM		
DOE N 206.4	06/29/2007	PERSONAL IDENTITY VERIFICATION		Extended by DOE N 251.74 until 06/09/2009 or until superseded.
DOE O 210.2	06/12/2006	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		
DOE O 221.1A	04/19/2008	REPORTING FRAUD, WASTE AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 221.2A	02/25/2008	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
<i>Compliance: Compliance with this Order is not intended to abrogate the constitutional rights of B&amp;W Y-12's employees, subcontractors, or other support personnel.</i>				
DOE O 221.3A	04/19/2008	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 224.3	01/24/2005	AUDIT RESOLUTION AND FOLLOW-UP PROGRAM		See Footnote (4)
DOE O 241.1B	12/13/2010	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT		
DOE M 251.1-1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM MANUAL		
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE N 203.1, DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3, DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001.

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B – List of Applicable Directives**

<b>DOE DIRECTIVES</b>				
DOE Directives can be found at the following Internet address: <a href="https://www.directives.doe.gov/">https://www.directives.doe.gov/</a>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE N 251.45	05/29/2002	Extension of DOE O 130.1 and DOE O 135.1		Extends DOE O 130.1 until 05/01/2003
DOE N 251.74	11/24/2008	EXTENSION OF DOE N 206.4		Extends DOE N 206.4 until 06/29/2009 or until superseded.
DOE N 251.86	06/23/2010	EXTENSION OF DOE N 234.1, REPORTING OF RADIOACTIVE SEALED SOURCES		Extends DOE N 234.1 until 05/06/2011 or until superseded.
<b>DOE O 252.1A</b>	<b>02/23/2011</b>	<b>TECHNICAL STANDARDS PROGRAM</b>		
DOE O 313.1	11/19/2009	MANAGEMENT AND FUNDING OF THE DEPARTMENT'S OVERSEAS PRESENCE		
DOE O 323.1	08/01/2004	GARNISHMENT OF FEDERAL EMPLOYEES' PAY	1 06/04/2008	
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	3 02/23/2010	
DOE O 350.2A	10/29/2003	USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA		

**BASELINE LIST OF  
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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 410.1	08/27/2007	CENTRAL TECHNICAL AUTHORITY RESPONSIBILITIES REGARDING NUCLEAR SAFETY REQUIREMENTS		
DOE O 410.2	08/17/2009	MANAGEMENT OF NUCLEAR MATERIALS		
DOE O 412.1A	04/21/2005	WORK AUTHORIZATION SYSTEM		
DOE O 413.1B	10/28/2008	INTERNAL CONTROL PROGRAM		
<b>DOE O 413.3B</b>	11/29/2010	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		
<i>Compliance: Compliance will be contingent upon YSO acceptance of the revised UPF implementation plan to be submitted by 06/30/2011.</i>				
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT	1 02/08/2008	
DOE O 430.2B	02/27/2008	DEPARTMENTAL ENERGY, RENEWABLE ENERGY AND TRANSPORTATION MANAGEMENT		
<i>Compliance: This Order implements parts of the total program directed at strengthening federal environmental, energy and transportation management. It combines with Executive Order 13423, "Strengthening Federal, Environmental, Energy, and Transportation Management," and DOE O 450.1A, "Environmental Protection Program," to form the total program. B&amp;W Y-12 currently is not in full compliance with the total program. Thus, compliance will be in accordance with a revised implementation plan approved by DOE on 01/04/2010 that addresses the total program.</i>				

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE P 442.1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH		
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
<b>DOE O 443.1B</b>	<b>03/17/2011</b>	<b>PROTECTION OF HUMAN RESEARCH SUBJECTS</b>		
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		
DOE O 452.3	06/08/2005	MANAGEMENT OF THE DEPARTMENT OF ENERGY NUCLEAR WEAPONS COMPLEX		
DOE O 452.7	05/14/2010	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 457.1	02/07/2006	NUCLEAR COUNTERTERRORISM		
DOE M 457.1-1	08/10/2006	CONTROL OF IMPROVISED NUCLEAR DEVICE INFORMATION (OFFICIAL USE ONLY)		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40.

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001.
<b>DOE O 470.3B</b>	08/12/2008	GRADED SECURITY PROTECTION (GSP) POLICY		
<i>Compliance: Compliance will be in accordance with the 2011 Site Safeguards and Security Plan, and the Area 5 Vulnerability Assessment Report approved by YSO and submitted to DOE NA-70 for concurrence on 05/13/2011.</i>				
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	2 10/20/2010	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-3A	11/05/2008	CONTRACTOR PROTECTIVE FORCE		
<i>Compliance: Compliance will be in accordance with the requirements limited to Chapter I, page I-3, paragraph 2.c, Chapter I, page I-11, paragraphs 6.a.(1) through (4); Chapter III, page III-3, paragraphs 4.a.(1) through (6); and Chapter V, page V-7, paragraph 3.b.(9)(b)1, first sentence in part as it relates to providing telephone service at fixed protective force posts; and with an approved deviation as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE M 470.4-6	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	1 08/14/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE O 471.1B	03/01/2010	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6), and Chapter VII, paragraph 12a(2)(a) are cancelled by DOE N 205.3.  Chapter III, Section 8, "Incident Reporting," is cancelled by DOE N 205.4.
DOE M 471.2-3B	10/29/2007	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES (OFFICIAL USE ONLY)		
DOE M 471.2-4	02/06/2004	TECHNICAL SURVEILLANCE COUNTERMEASURES (OFFICIAL USE ONLY)		Cancelled by DOE M 470.4-4 except for the classified annex.

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION	1 01/13/2011	
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION	1 01/13/2011	
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
<b>DOE O 475.2A</b>	<b>02/01/2011</b>	<b>IDENTIFYING CLASSIFIED INFORMATION</b>		
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		
<b>DOE O 484.1</b>	<b>08/17/2006</b>	<b>REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY</b>	<b>1 03/14/2011</b>	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
<i>Compliance: B&amp;W Y-12 is in compliance with the applicable requirements of this document with the exception of specifically approved exemptions on pass-through costs. These exemptions are reflected in the latest approved B&amp;W Y-12 Cost Accounting Standards Board Disclosure Statement.</i>				
DOE O 534.1B	01/06/2003	ACCOUNTING		
<i>Compliance: Compliance with this Order includes compliance with Chapters 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 15, 17, and 21 of the "DOE Accounting Handbook," with the exception that production support costs will be handled as direct costs rather than indirect costs based on the FY 2006 Congressional Budget Funding Schedule for Directed Stockpile Work.</i>				
DOE O 551.1C	06/24/2008	OFFICIAL FOREIGN TRAVEL		
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be in accordance with B&amp;W Y-12 letter dated 11/18/2009.</i>				
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1)
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		
<i>Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.</i>				

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<b>ALBUQUERQUE DIRECTIVES (ALs)</b>				
ALs can be found at the following Internet address: <a href="http://prp.sandia.gov/">http://prp.sandia.gov/</a>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL-SD 56XB, Revision 2	03/31/2004	DEVELOPMENT AND PRODUCTION MANUAL	10 08/22/2007	Chapter 2.3 is superseded by R003, Issue A. Chapter 2.4 is superseded by R006, Issue A. Chapter 3.2 (excluding Phases 6.1 and 6.6) is superseded by R001, Issue A and R006, Issue A. Chapters 8.1 and 8.5 are superseded by R005, Issue A.
IBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue B	
IBP-401	11/30/2006	PRODUCT DEFINITION EXCHANGE PROCESS	Issue A	
IBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue B	
NA-10 WQAPM	03/30/2009	NA-10 WEAPON QUALITY ASSURANCE PROCEDURES MANUAL		
<i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 12/07/2009.</i>				
NA SD M 452.3-1	12/10/2009	DEFENSE PROGRAMS BUSINESS REQUIREMENTS AND PROCESSES MANUAL		
<i>Compliance: Full implementation of this directive is contingent upon an impact assessment of all of the documents associated with RMI.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
PRS12005	01/13/2011	PDMLINK STANDARD INSTALLATION AND CONFIGURATIONS	ISSUE B	
<i>Compliance: Compliance will be contingent upon receipt of further information on requirements currently identified as "TBD."</i>				
PSLM	02/16/2006	PRIMARY STANDARDS LABORATORY MEMORANDUM		
C019	06/16/2010	CONTROL PRODUCT DEFINITION	ISSUE A	
R001	01/17/2010	PRODUCT REALIZATION	Issue A	Supersedes Chapter 3.2 (excluding Phases 6.1 and 6.6) of AL-SD 56XB, Revision 2.
R003	03/24/2010	PRODUCT DEFINITION CONTROL	Issues A	Supersedes Chapter 2.3 of AL-SD 56XB, Revision 2.
R005	03/12/2010	NEW MATERIAL AND STOCKPILE EVALUATION	Issue A	Supersedes Chapters 8.1 and 8.5 of AL-SD 56XB, Revision 2.
R006	01/15/2010	6.X PROCESS	Issue A	Supersedes Chapters 2.4 and 3.2 (excluding Phases 6.1 and 6.6) of AL-SD 56XB, Revision 2.
R007	03/29/2010	DIRECTED STOCKPILE WORK PROGRAM FUNDING WITHIN PLANNING, PROGRAMMING, BUDGETING, EVALUATION AND PROCESS	Issue A	

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
R008	11/09/2010	PORTFOLIO-PROGRAM-PROJECT MANAGEMENT	Issue A	
<i>Compliance: Compliance will be addressed upon receipt of request for contractor support to the Federal Program Manager (FPM).</i>				
R009	03/29/2010	RISK AND OPPORTUNITY MANAGEMNET	Issue A	Supersedes Chapter 12.3 of AL-SD 56XB, Revision 2.
R012	06/16/2010	REQUIREMENTS ENGINEERING	Issue A	
TBP-CM	02/14/2005	CONFIGURATION MANAGEMENT	Issue A	
TBP-PRP	05/04/2001	PRODUCT REALIZATION PROCESS	Issue E	
TBP-SYS	10/20/2004	NWC TECHNICAL BUSINESS PRACTICE SYSTEM	Issue H	
TBP-000	05/04/2001	PROGRAM MANAGEMENT	Issue E	
TBP-001	10/02/2000	MAJOR ASSEMBLY RELEASE SYSTEM	Issue C	
TBP-100	09/16/2005	CONCURRENT QUALIFICATION	Issue G	
TBP-101	01/22/2007	ENGINEERING EVALUATION PROCESS	Issue H	
TBP-200	09/18/2006	PRODUCT IDENTIFICATION AND TRACEABILITY	Issue E	
TBP-201	09/18/2006	WEAPON IDENTIFICATION SYSTEMS AND MARKING CRITERIA	Issue D	
TBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue E	
TBP-203	02/20/2004	WEAPON RECORD CARDS	Issue E	

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
TBP-300	02/15/2005	PRODUCT DEFINITION	Issue E	
TBP-301	10/02/2006	METHODS OF DEFINITION	Issue J	
TBP-302	09/18/2006	PRODUCT AND EQUIPMENT DESIGNATIONS	Issue D	
TBP-303	01/24/2007	SEVEN-DIGIT MATERIAL AND PROCESS SPECIFICATIONS	Issue D	
TBP-304	03/11/2003	DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM	Issue E	
TBP-305	09/18/2006	CONFIGURATION OF TYPE WEAPONS	Issue D	
TBP-306	05/02/2003	SOFTWARE PRODUCT PROCESSES	Issue E	
TBP-307	05/02/2003	USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS	Issue D	
TBP-308	02/06/2006	COMPUTER-AIDED DESIGN PROCESS FOR ELECTRICAL PRODUCTS	Issue A	
TBP-400	10/02/2000	DESIGN CONTROL	Issue D	
TBP-401	11/30/2006	DEFINITION CONTROL	Issue E	
TBP-402	09/22/2004	PRODUCT CONTROL	Issue F	
TBP-403	02/13/2006	REVIEWS	Issue E	
TBP-404	05/04/2005	ENGINEERING AUTHORIZATION SYSTEM	Issue F	
TBP-502	01/21/2002	DISTRIBUTION OF NON-ELECTRONIC PRODUCT DEFINITION USING ELECTRONIC TRANSMITTAL METHODS	Issue A	

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
TBP-600	10/02/2000	PROCUREMENT	Issue D	
TBP-601	10/02/2000	PROCUREMENT CLASSES OF WEAPON PRODUCT	Issue C	
TBP-602	03/11/2003	INTERPRETATION OF PROCUREMENT INDEX	Issue D	
TBP-700	03/11/2003	PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE	Issue E	
TBP-701	04/19/2007	ACCEPTANCE EQUIPMENT INTERFACES	Issue D	
TBP-702	05/29/2007	NONCONFORMING MATERIAL	Issue F	
TBP-703	06/01/2001	PRODUCT REPROCESSING AND REWORKING	Issue D	
TBP-704	10/02/2000	EVALUATION AND DISPOSITION OF DISCREPANT WEAPON MATERIAL	Issue D	
TBP-800	07/30/2001	STOCKPILE MANAGEMENT	Issue F	
TBP-801	10/02/2000	LABORATORY AND FLIGHT TEST MATERIAL	Issue D	
TBP-802	10/02/2000	TELEMETRY PROCEDURES	Issue D	
TBP-803	12/06/2006	NON-NUCLEAR ASSURANCE PROGRAM	Issue F	
TBP-804	12/06/2006	STOCKPILE SUPPORT MATERIAL	Issue F	
TBP-805	12/06/2006	REPAIR AND RETROFIT OF WEAPONS AND ANCILLARY EQUIPMENT	Issue F	
TBP-901	10/02/2000	INTEGRATED SAFETY PROCESS FOR NUCLEAR WEAPONS OPERATIONS AND FACILITIES	Issue B	

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<b>OTHER APPLICABLE DOCUMENTS</b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
41 CFR 102-192	08/25/2008	MAIL MANAGEMENT		
42 U.S.C.A. 1395y	07/15/2008	THE PUBLIC HEALTH AND WELFARE		
BOP-003.08	05/30/2008	MANAGEMENT AND OPERATING CONTRACTOR BUSINESS MEALS AND LIGHT REFRESHMENT		
<i>Compliance: Compliance will be in accordance with the Advance Agreement, Controlled Business Expense (CBE), approved by DOE on 05/07/2009.</i>				
BOP-50.002	09/19/2006	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) VALUE MANAGEMENT (VM) POLICY		
BOP-50.003	06/06/2007	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) INDEPENDENT PROJECT REVIEW (IPR) POLICY		
<i>Compliance: Compliance with respect to Operation Expense and Work for Others projects will be addressed upon receipt of Y-12 Site Office implementation guidance.</i>				
DOE-HQ Memorandum	11/08/2006	IMPROVED CYBER SECURITY PROTECTION FOR CLASSIFIED COMPUTER SYSTEMS		
DOE-HQ Memorandum	01/27/2009	COMPUTER LOSS/THEFT REPORTING REQUIREMENTS		
DOE HQ Memorandum	12/09/2009	MILITARY OR INTELLIGENCE-RELATED HUMAN SUBJECTS RESEARCH		

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE HQ Memorandum	03/04/2010	PROJECT MANAGEMENT PRINCIPLES		
<i>Compliance: Compliance will be in accordance with the revised Y-12 National Security Complex PARS II Deployment Cost and Schedule Breakdown approved by DOE on 09/21/2010.</i>				
EO 13502	02/06/2009	USE OF PROJECT LABOR AGREEMENTS FOR FEDERAL CONSTRUCTION PROJECTS		
MANUAL	04/01/2007	BASELINE SECURITY MANUAL (OFFICIAL USE ONLY)		
NAP 14.1-C	05/02/2008	NNSA BASELINE CYBER SECURITY PROGRAM		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan approved by DOE on 10/15/2010.</i>				
NAP 14.2-C	05/02/2008	NNSA CERTIFICATION AND ACCREDITATION (C&A) PROCESS FOR INFORMATION SYSTEMS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan approved by DOE on 10/15/2010.</i>				
NAP 14.3-B	05/02/2008	TRANSMISSION OF RESTRICTED DATA OVER SECRET INTERNET PROTOCOL ROUTER NETWORK (SIPRNet)		See Footnote (2)
<b>NAP-21</b>	<b>02/28/2011</b>	<b>TRANSFORMATIONAL GOVERNANCE AND OVERSIGHT</b>		
<i>Compliance: Compliance with those chapters currently identified as “to be developed” will be contingent upon an impact assessment to be completed as they are developed and issued.</i>				
NAP 70.2	07/02/2010	PHYSICAL PROTECTION		
NAP 70.4	07/02/2010	INFORMATION SECURITY		
OMB-Circular A-123	12/21/2004	MANAGEMENT’S RESPONSIBILITY FOR INTERNAL CONTROL		

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
OPS-323	10/21/2004	DATA INTERFACE AGREEMENT: INTEGRATED CONTRACTOR INTERFACE FOR DEPARTMENT OF ENERGY I-MANAGE PROGRAM – STARS PROJECT		
YSO-CRD-06-01 Revision 3	04/05/2010	DIRECTIVES SYSTEM PROCESS		
YSO CRD-09-01	09/25/2009	PROJECTS CONTRACTOR REQUIREMENTS DOCUMENT (CRD)	Admin. Chg. 11/24/2009	

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<b>STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)</b>				
<b>FUNCTIONAL AREA (FA)</b>	<b>TITLE</b>	<b>REVISION NUMBER</b>	<b>DOE/YSO APPROVAL DATE</b>	<b>NOTES AND COMMENTS</b>
1.0	MS - Management Systems	24	09/28/2010	
2.0	QA - Quality Assurance	14	11/24/2008	
3.0	CM - Configuration Management	11	02/15/2011	Type 1 Change
<b>4.0</b>	<b>TQ - Training and Qualification</b>	<b>21</b>	<b>05/23/2011</b>	<b>Type 1 Change</b>
5.0	EM - Emergency Management	22	10/12/2010	Type 1 Change
<b>7.0</b>	<b>EG - Engineering Program</b>	<b>47</b>	<b>05/18/2011</b>	<b>Type 1 Change</b>
<b>8.0</b>	<b>CP - Construction Program</b>	<b>40</b>	<b>05/18/2011</b>	<b>Type 1 Change</b>
9.0	OP - Operations	7	11/17/2010	
10.0	MA - Maintenance	17	08/23/2010	
<b>11.0</b>	<b>RP - Radiation Protection</b>	<b>11</b>	<b>03/17/2011</b>	
<b>12.0</b>	<b>FP - Fire Protection</b>	<b>18</b>	<b>05/18/2011</b>	
<b>13.0</b>	<b>TR - Packaging and Transportation</b>	<b>21</b>	<b>05/26/2011</b>	
18.0	FS - Facility (Nuclear) Safety	22	08/26/2010	
<b>19.0</b>	<b>SH - Occupational Safety and Health</b>	<b>32</b>	<b>05/09/2011</b>	<b>Type 1 Change</b>
20.0	EP - Environmental Protection	22	02/25/2010	
21.0	CS - Nuclear Criticality Safety	6	12/10/2010	

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B – List of Applicable Directives**

<b>APPROVED S/RID SOURCE DOCUMENTS<sup>3</sup></b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
10 CFR	01/24/1997	ENERGY		MS, QA, TQ, EM, EG, CP, RP, FP, FS, SH, EP
28 CFR	07/01/1997	JUDICIAL ADMINISTRATION		FP
29 CFR	04/23/1998	LABOR		CM, TQ, EM, EG, CP, FP, FS
33 CFR		NAVIGATION AND NAVIGABLE WATERS		CP, EP
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		MS, EM, EG, CP, FP, EP
40 CFR		PROTECTION OF THE ENVIRONMENT		EM, EG, CP, TR, FS, SH, EP
40 CFR 144		SAFE DRINKING WATER ACT		EP
43 CFR		PUBLIC LANDS: INTERIOR		EP
48 CFR		FEDERAL ACQUISITION REGULATIONS SYSTEM		MS
49 CFR	10/01/2000	TRANSPORTATION		TQ, EM, TR
50 CFR		WILDLIFE AND FISHERIES		EP
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	EG, CP, EP
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2 10/23/2001	TQ
5480.21	12/24/1991	UNREVIEWED SAFETY QUESTIONS		TQ
<b>DE-AC05-00OR22800</b>	<b>08/31/2000</b>	<b>B&amp;W Y-12, L.L.C. CONTRACT WITH DOE/NNSA</b>		<b>MS, EG, CP</b>
DOE O 150.1	05/08/2008	CONTINUITY PROGRAMS		EM

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		TQ, EM, EG, CP
DOE O 153.1	06/27/2007	DEPARTMENTAL RADIOLOGICAL EMERGENCY RESPONSE ASSETS		EM
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		EG, CP, SH
DOE O 226.1A	07/31/2007	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		QA, TQ
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2 06/12/2007	EG, CP, RP, FP, SH, EP
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		MS
DOE N 234.1	02/27/2008	REPORTING OF RADIOACTIVE SEALED SOURCES		RP
DOE O 341.1A	10/18/2007	FEDERAL EMPLOYEE HEALTH SERVICES		SH
DOE O 414.1C	06/17/2005	QUALITY ASSURANCE		QA, TQ
DOE O 420.1B	12/22/2005	FACILITY SAFETY	1 04/192010	EM, EG, CP, OP, FP, CS
DOE G 420.1-3	09/27/2007	IMPLEMENTATION GUIDE FOR DOE FIRE PROTECTION AND EMERGENCY SERVICES PROGRAMS FOR USE WITH DOE O 420.1B, FACILITY SAFETY		EG, FP
DOE G 421.1-2	10/24/2001	IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830		FS

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
DOE O 422.1	06/29/2010	CONDUCT OF OPERATIONS		CM, TQ, EG, CP, OP
DOE O 425.1D	04/16/2010	VERIFICATION OF READINESS TO START UP OR RESTART NUCLEAR FACILITIES		MS
DOE O 426.2	04/21/2010	PERSONNEL SELECTION, TRAINING, QUALIFICATION, AND CERTIFICATION REQUIREMENTS FOR DOE NUCLEAR FACILITIES		CM, EG, CP, TQ
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		EG, MA
DOE O 433.1B	04/21/2010	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		CM, TQ, EG, CP, MA
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		TQ, EG, CP, EP
DOE O 435.1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT	1 08/28/2001	EP
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY	1 11/19/2006	TR
DOE M 441.1-1	03/07/2008	NUCLEAR MATERIAL PACKAGING MANUAL		EG
DOE M 442.1-1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY AND HEALTH		SH

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B – List of Applicable Directives**

<b>APPROVED S/RID SOURCE DOCUMENTS<sup>3</sup></b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
DOE O 450.1A	06/04/2008	ENVIRONMENTAL PROTECTION PROGRAM		EP
DOE M 450.4-1	11/01/2006	INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL		SH
DOE P 456.1	09/15/2005	SECRETARIAL POLICY STATEMENT ON NANOSCALE SAFETY		SH
DOE O 460.1C	05/14/2010	PACKAGING AND TRANSPORTATION SAFETY		TR
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		TR
<b>DOE O 461.1B</b>	<b>12/16/2010</b>	<b>PACKAGING AND TRANSPORTATION FOR OFFSITE SHIPMENT OF MATERIALS OF NATIONAL SECURITY INTEREST</b>		<b>TR, TQ</b>
<b>DOE O 461.2</b>	<b>11/01/2010</b>	<b>ONSITE PACKAGING AND TRANSFER OF MATERIALS OF NATIONAL SECURITY INTEREST</b>		<b>TR</b>
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	EM
DOE-SNL-TYPEBARG	02/29/2008	TIEDOWN PROCEDURES FOR TYPE A, TYPE B, ARG, AND MISCELLANEOUS CONTAINERS		TR
DOE-STD-1066-99	07/31/1999	DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA		EG

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT		MS, CM, EG, CP
DOE-STD-1083-2009	06/2009	PROCESSING EXEMPTIONS TO NUCLEAR SAFETY RULES AND APPROVAL OF ALTERNATIVE METHODS FOR DOCUMENTED SAFETY ANALYSES		MS
DOE-STD-1090-2007	08/2007	HOISTING AND RIGGING		SH
<b>DOE-STD-1095-2011</b>	<b>01/2011</b>	<b>DEPARTMENT OF ENERGY LABORATORY ACCREDITATION FOR EXTERNAL DOSIMETRY</b>		
DOE-STD-1189-2008	03/01/2008	INTEGRATION OF SAFETY INTO THE DESIGN PROCESS		EG
DOE-STD-3003-2000	01/31/2000	DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES		EG
DOE-STD-3024-98	10/31/1998	DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS		EG
EO 12898		ENVIRONMENTAL JUSTICE		EP
FFCA		FEDERAL FACILITIES COMPLIANCE ACT		EP
<b>NAP 70.2</b>	<b>07/02/2010</b>	<b>PHYSICAL PROTECTION</b>		<b>TR, TQ</b>
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		EG, CP, FP
ORR PCB FFCA		OAK RIDGE RESERVATION POLYCHLORINATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT		EP
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		EG, CP

**BASELINE LIST OF  
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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		MS, QA, EG
SEN-35-91	09/09/1991	NUCLEAR SAFETY POLICY		TQ
STANDARD BUILDING CODE (SBC)		SOUTHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)		CP
TITLE 55 AND 65		TENNESSEE MOTOR VEHICLE LAWS ANNOTATED		TR
TENNESSEE CODE, TITLE 68	04/23/1998	HEALTH, SAFETY AND ENVIRONMENTAL PROTECTION		FP
TCA TITLE 69		WATERS, WATERWAYS, DRAINS AND LEVEES		EP
THWRA		TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)		EP
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		EM, EG
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		CP, EP
TN 1200-1-13		TENNESSEE INACTIVE HAZARDOUS SUBSTANCE SITE REMEDIAL ACTION PROGRAM	1	EP
TN 1200-1-7		TENNESSEE SOLID WASTE RULES		EP
TN 1200-1-7.02		TENNESSEE SOLID WASTE DISPOSAL ACT		EP
TN 1200-3-10		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-20		TENNESSEE AIR QUALITY ACT		EP

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TN 1200-3-27		TENNESSEE AIR QUALITY ACT		EP
TN 1200-4-5		TENNESSEE WATER QUALITY CONTROL ACT		EP
TN 1200-5-8	10/19/2003	WATER REGISTRATION REQUIREMENTS		EP
TN OVERSIGHT AGREEMENT	06/06/2006	TENNESSEE OVERSIGHT AGREEMENT (TOA)		EM, EP
TWRA		TENNESSEE WILDLIFE RESOURCES AGENCY (TWRA) BOATING REGULATIONS		TR
YSO-CRD-02-01, Rev. 2	02/15/2008	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		EG, FS
YSO-CRD-03-01, Rev. 6	09/03/2010	START-UP AND RESTART OF OPERATIONS, ACTIVITIES & FACILITIES AT Y-12, INCLUDING SAFETY BASIS DOCUMENT IMPLEMENTATION		MS
YSO-CRD-07-01 Rev. 1	02/15/2008	AUTHORIZATION AGREEMENTS		EG, CP, FS

**FOOTNOTES:**

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document comprises a component of the Program Cyber Security Plan that is directed by DOE O 205.1A, DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT, dated 12/04/2006.
- (3) These documents are ES&H related and appear in one or more of the current Standards/ Requirements Identification Document (S/RID) Functional Areas. In an S/RID, the document may be referenced in its entirety or by certain chapters, paragraphs, or sections taken from the document. In addition, information regarding document applicability may be obtained from the specific S/RID.
- (4) Although this document is not directly applicable to the Contractor, it is included in the list of applicable documents to establish requirements for audit resolution and follow-up systems.

DOE Form (04/1991)  <p style="text-align: center;"><b>U.S. Department of Energy Requirements Change Notice</b></p>	No.: NNSA-44  Page 1 of 40 Pages										
PROJECT: Baseline List of Required Compliance Documents	LOCATION: Oak Ridge, Tennessee										
CONTRACTOR: Babcock & Wilcox Technical Services Y-12, LLC											
CONTRACT NO.: DE-AC05-00OR22800, I.85, Laws, Regulations, and DOE Directives (December 2000), DEAR 970.5204-2	DATE OF CONTRACT: August 31, 2000										
<p>This Requirements Change Notice (RCN) No. NNSA-44 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents that have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. Changes to Section J, Attachment E, are indicated by bold type.</p> <p>Below is a list of the directives incorporated herein:</p> <p><b><u>ADDITIONS:</u></b></p> <p>DOE-HQ Memorandum, <i>Approved Means for Transmission of Media Containing Sensitive Unclassified Information</i></p> <p>DOE O 205.1B      DOE O 210.2A      DOE O 350.2B      DOE O 471.5 R002, Issue B      R010, Issue A</p> <p><b><u>DELETIONS:</u></b></p> <p>DOE M 471.2-3B      DOE O 205.1A      DOE O 210.2      DOE O 350.2A</p> <p><b><u>COMPLIANCE LINE UPDATES:</u></b></p> <table border="0"> <tr> <td>DOE O 413.3B, modified compliance line</td> <td>R001, added a compliance line</td> </tr> <tr> <td>R003, added a compliance line</td> <td>C019, added a compliance line</td> </tr> <tr> <td>R005, added a compliance line</td> <td>R006, added a compliance line</td> </tr> <tr> <td>R007, added a compliance line</td> <td>R008, modified compliance line</td> </tr> <tr> <td>R009, added a compliance line</td> <td>R012, added a compliance line</td> </tr> </table> <p><b><u>ADMINISTRATIVE CHANGE</u></b></p> <p>DOE M 461.1-1, Change 1, added due to inadvertent deletion from "Approved S/RID Source Documents" section DOE O 433.1, added due to inadvertent deletion from "Approved S/RID Source Documents" section</p> <p><b><u>UPDATES:</u></b></p> <p>S/RID 2.0 - Revised Quality Assurance Functional Area requirements S/RID 4.0 - Revised Training and Qualification Functional Area requirements S/RID 7.0 - Revised Engineering Program Functional Area requirements S/RID 8.0 - Revised Construction Program Functional Area requirements S/RID 11.0 - Revised Radiation Protection Functional Area requirements S/RID 13.0 - Revised Packaging and Transportation Functional Area requirements S/RID 19.0 - Revised Occupational Safety and Health Functional Area requirements S/RID 20.0 - Revised Environmental Protection Functional Area requirements</p>		DOE O 413.3B, modified compliance line	R001, added a compliance line	R003, added a compliance line	C019, added a compliance line	R005, added a compliance line	R006, added a compliance line	R007, added a compliance line	R008, modified compliance line	R009, added a compliance line	R012, added a compliance line
DOE O 413.3B, modified compliance line	R001, added a compliance line										
R003, added a compliance line	C019, added a compliance line										
R005, added a compliance line	R006, added a compliance line										
R007, added a compliance line	R008, modified compliance line										
R009, added a compliance line	R012, added a compliance line										
DOE AUTHORIZING SIGNATURE:   Elizabeth C. Hale, Contracting Officer's Representative for Directives	DATE:  										

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1102</u> DOE O 210.2A	04/08/2011	DOE Corporate Operating Experience Program		DOE ltr dtd 05/18/2011 B&W Y-12 ltr dtd 06/08/2011
<i>Addition</i>				
<u>RD-1103</u> DOE O 210.2	06/12/2006	DOE Corporate Operating Experience Program		DOE ltr dtd 05/18/2011 B&W Y-12 ltr dtd 06/08/2011
<i>Deletion: This Order was cancelled by DOE O 210.2A</i>				
<u>RD-1104</u> DOE O 471.5	03/29/2011	Special Access Programs (OUO)		DOE ltr dtd 05/18/2011 B&W Y-12 ltr dtd 06/09/2011
<i>Addition</i>				
<u>RD-1105</u> DOE M 471.2-3B	10/29/2007	Special Access Programs, Policies, Responsibilities, and Procedures		DOE ltr dtd 05/18/2011 B&W Y-12 ltr dtd 06/09/2011
<i>Deletion: This Manual was cancelled by DOE O 471.5.</i>				
<u>RD-1106</u> S/RID 4.0 Rev. 22	06/21/2011	TRAINING AND QUALIFICATION		DOE NNSA/YSO Comment/ Concurrence Form – S/RID Chg Pkg #2011-09-TQ dtd 06/21/2011 B&W Y-12 ltr dtd 05/26/2011
<i>Type 2 change to delete a requirement taken from Secretary of Energy Notice (SEN) 35-91, "Nuclear Safety Policy," dated 09/09/1991, since it was cancelled by DOE P 420.1, "Department of Energy Nuclear Safety Policy," dated 02/08/2011; and is covered by requirements taken from 10 CFR 830.122(b)(1) and (b)(2), and DOE O 426.2, "Personnel Selection, Training, Qualification, and Certification Requirements for DOE Nuclear Facilities," which are source documents in the approved S/RID. Additionally, requirements taken from DOE O 5480.19, "Conduct of Operations Requirements for DOE Facilities," Change 2, dated 07/09/1990, were deleted since they are covered by key interface requirements taken from DOE O 422.1, "Conduct of Operations," dated 06/29/2010, which is a source document in the approved S/RID.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1107</u> ----- S/RID 11.0 Rev. 12	06/21/2011	RADIATION PROTECTION		DOE NNSA/YSO Comment/ Concurrence Form – S/RID Chg Pkg #2011-10-RP dtd 06/21/2011  B&W Y-12 ltr dtd 06/09/2011
<i>Type 2 change to incorporate requirements taken from DOE O 458.1, "Radiation Protection," through Change 1, dated 03/08/2011.</i>				
<u>RD-1108</u> ----- DOE O 413.3B	11/29/2010	Program and Project Management for the Acquisition of Capital Assets		DOE NNSA/YSO Comment/ Concurrence Form – IP Non-concurrence dtd 05/31/2011  B&W Y-12 ltrs dtd 06/27/2011 and 04/14/2011
<i>Modification of Compliance Line: Compliance will be contingent upon YSO acceptance of the revised UPF implementation plan submitted on 06/27/2011.</i>				
<u>RD-1109</u> ----- S/RID 19.0 Rev. 33	07/05/2011	OCCUPATIONAL SAFETY AND HEALTH		DOE NNSA/YSO Comment/ Concurrence Form – S/RID Chg. Pkg #2011-11-SH dtd 07/05/2011  B&W Y-12 ltr dtd 06/09/2011
<i>Type 2 change to replace requirements taken from DOE O 225.1A, "Accident Investigations," dated 11/26/1997, with requirements taken from DOE O 225.1B, "Accident Investigations," dated 03/04/2011.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1110</u> ----- S/RID 8.0 Rev. 41	07/05/2011	CONSTRUCTION PROGRAM		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  DOE NNSA/YSO Comment/ Concurrence Form – S/RID Chg. Pkg #2011-11-SH dtd 07/05/2011  B&W Y-12 e-mail message dtd 07/07/2011 and B&W Y-12 ltr dtd 06/09/2011
<i>Type 1 change to align with the approved Type 2 change to the Lead Functional Area to replace requirements taken from DOE O 225.1A, "Accident Investigations," dated 11/26/1997, with requirements taken from DOE O 225.1B, "Accident Investigations," dated 03/04/2011.</i>				
<u>RD-1111</u> ----- S/RID 7.0 Rev. 48	07/05/2011	ENGINEERING PROGRAM		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  DOE NNSA/YSO Comment/ Concurrence Form – S/RID Chg. Pkg #2011-11-SH dtd 07/05/2011  B&W Y-12 e-mail message dtd 07/07/2011 and B&W Y-12 ltr dtd 06/09/2011
<i>Type 1 change to align with the approved Type 2 change to the Lead Functional Area to replace requirements taken from DOE O 225.1A, "Accident Investigations," dated 11/26/1997, with requirements taken from DOE O 225.1B, "Accident Investigations," dated 03/04/2011.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1112</u> ----- S/RID 20.0 Rev. 23	07/05/2011	ENVIRONMENTAL PROTECTION		DOENNSA/YSO Comment/ Concurrence Form – S/RID Chg Pkg. #2011-12-EP dtd 07/05/2011  B&W Y-12 ltr dtd 06/14/2011
<i>Type 2 change to replace requirements taken from DOE O 5400.5, “Radiation Protection of the Public and the Environment,” through Change 2, dated 01/07/1993, with requirements taken from DOE O 458.1, “Radiation Protection of the Public and the Environment,” through Change 1, dated 03/08/2011.</i>				
<u>RD-1113</u> ----- S/RID 8.0 Rev. 42	07/05/2011	CONSTRUCTION PROGRAM		YSO-CRD-06-01, Rev. 3, “Standards/ Requirements Identification Document” section, paragraph 5.b.(1)  DOE NNSA/YSO Comment/ Concurrence Form – S/RID Chg Pkg. #2011-12-EP dtd 07/05/2011  B&W Y-12 e-mail message dtd 07/07/2011 and B&W Y-12 ltr dtd 06/14/2011
<i>Type 1 change to align with the approved Type 2 change to replace requirements taken from DOE O 5400.5, “Radiation Protection of the Public and the Environment,” through Change 2, dated 01/07/1993, with requirements taken from DOE O 458.1, “Radiation Protection of the Public and the Environment,” through Change 1, dated 03/08/2011.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<p><u>RD-1114</u> ----- S/RID 7.0 Rev. 49</p>	<p>07/05/2011</p>	<p>ENGINEERING PROGRAM</p>		<p>YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  DOE NNSA/YSO Comment/ Concurrence Form – S/RID Chg Pkg. #2011-12-EP dtd 07/05/2011  B&amp;W Y-12 e-mail message dtd 07/07/2011 and B&amp;W Y-12 ltr dtd 06/14/2011</p>
<p>----- <i>Type 1 change to align with the approved Type 2 change to replace requirements taken from DOE O 5400.5, "Radiation Protection of the Public and the Environment," through Change 2, dated 01/07/1993, with requirements taken from DOE O 458.1, "Radiation Protection of the Public and the Environment," through Change 1, dated 03/08/2011.</i></p>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1115</u> ----- S/RID 13.0 Rev. 22	07/13/2011	PACKAGING AND TRANSPORTATION		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  DOE ltr dtd 07/13/2011 and DOE NNSA/YSO Comment/ Concurrence Form – S/RID Chg Pkg. #2011-08-TR dtd 05/23/2011  B&W Y-12 e-mail message dtd 07/13/2011 and B&W Y-12 ltr dtd 04/27/2011
<i>Type 1 change to revise the S/RID Program Description to incorporate DOE YSO's comment and add a requirement taken from DOE O 461.1A, "Packaging and Transfer or Transportation of Materials of National Security Interest," dated 04/26/2004, that addresses Offsite Transportation Authorizations (OTAs).</i>				
<u>RD-1116</u> ----- DOE O 413.3B	11/29/2010	Program and Project Management for the Acquisition of Capital Assets		DOE NNSA/YSO Comment/ Concurrence Form – Implementation Plan Approval dtd 07/26/2011  DOE NNSA/YSO Comment/ Concurrence Form – Implementation Plan Non-Concurrence dtd 05/31/2011  B&W Y-12 ltrs dtd 06/27/2011 and 04/14/2011
<i>Modification of Compliance Line: Compliance will be in accordance with the revised UPF implementation plan approved by DOE on 07/26/2011.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1117</u> ----- DOE O 205.1B	05/16/2011	Department of Energy Cyber Security Program		DOE ltr dtd 06/22/2011 B&W Y-12 ltr dtd 07/26/2011
<i>Addition with the following compliance line: Compliance will be contingent upon YSO approval of equivalent standards and processes to be used in concert with an approved B&amp;W Y-12 Cyber Security Program Plan and selected NNSA Cyber Security Program guidance.</i>				
<u>RD1118</u> ----- DOE O 205.1A	12/04/2006	Department of Energy Cyber Security Management		DOE ltr dtd 06/22/2011 B&W Y-12 ltr dtd 07/26/2011
<i>Deletion: Cancelled by DOE O 205.1B.</i>				
<u>RD-1119</u> ----- DOE O 350.2B	05/31/2011	Use of Management and Operating or Other Facility Management Contractor Employees for Services to DOE in the Washington, D.C. Area		DOE ltr dtd 07/11/2011 B&W Y-12 ltr dtd 07/28/2011
<i>Addition</i>				
<u>RD-1120</u> ----- DOE O 350.2A	10/29/2003	Use of Management and Operating or Other Facility Management Contractor Employees for Services to DOE in the Washington, D.C. Area		DOE ltr dtd 07/11/2011 B&W Y-12 ltr dtd 07/28/2011
<i>Deletion: Cancelled by DOE O 350.2B</i>				
<u>RD-1121</u> ----- DOE-HQ Memorandum	07/06/2011	Approved Means for Transmission of Media Containing Sensitive Unclassified Information		DOE ltr dtd 07/11/2011 B&W Y-12 ltr dtd 08/03/2011
<i>Addition</i>				

## OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1122</u> ----- S/RID 2.0 Rev. 15	08/10/2011	QUALITY ASSURANCE		DOE NNSA/YSO Comment/ Concurrence Form – S/RID Chg Pkg. #2011-13-QA dtd 08/10/2011  B&W Y-12 ltr dtd 07/21/2011
<i>Type 2 change to replace requirements taken from DOE O 226.1A, "Implementation of Department of Energy Oversight Policy," dated 07/31/2007, with requirements taken from DOE O 226.1B, "Implementation of Department of Energy Oversight Policy," dated 04/25/2011.</i>				
<u>RD-1123</u> ----- S/RID 4.0 Rev. 23	08/10/2011	TRAINING AND QUALIFICATION		YSO-CRD-06-01, Rev. 3, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1)  DOE NNSA/YSO Comment/ Concurrence Form – S/RID Chg Pkg. #2011-13-QA dtd 08/10/2011  B&W Y-12 e-mail message dtd 08/11/2011 and B&W Y-12 ltr dtd 07/21/2011
<i>Type 1 change to align with the approved Type 2 change in the Quality Assurance Lead Functional Area to replace requirements taken from DOE O 226.1A, "Implementation of Department of Energy Oversight Policy," dated 07/31/2007, with requirements taken from DOE O 226.1B, "Implementation of Department of Energy Oversight Policy," dated 04/25/2011.</i>				
<u>RD-1124</u> ----- R002	05/09/2011	Requirements Modernization and Integration System Management	Issue B	DOE e-mail message dtd 07/12/2011  B&W Y-12 ltrs dtd 09/06/2011 and 08/23/2011
<i>Addition with the following compliance line: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1125</u> ----- R010	03/07/2011	Enterprise Need to Know	Issue A	DOE e-mail message dtd 07/12/2011  B&W Y-12 ltr dtd 08/23/2011
<i>Added the following compliance line: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
<u>RD-1126</u> ----- R001	01/17/2010	Product Realization	Issue A	B&W Y-12 ltr dtd 09/06/2011
<i>Added the following compliance line: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
<u>RD-1127</u> ----- R003	03/24/2010	Product Definition	Issue A	B&W Y-12 ltr dtd 09/06/2011
<i>Added the following compliance line: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
<u>RD-1128</u> ----- C019	06/16/2010	Control Product Definition	Issue A	B&W Y-12 ltr dtd 09/06/2011
<i>Added the following compliance line: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
<u>RD-1129</u> ----- R005	03/12/2010	New Material and Stockpile Evaluation	Issue A	B&W Y-12 ltr dtd 09/06/2011
<i>Added the following compliance line: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
<u>RD-1130</u> ----- R006	01/15/2010	6.X Process	Issue A	B&W Y-12 ltr dtd 09/06/2011
<i>Added the following compliance line: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-1131</u> ----- R007	03/29/2010	Directed Stockpile Work Program Funding Within Planning, Programming, Budgeting, Evaluation and Process	Issue A	B&W Y-12 ltr dtd 09/06/2011
<i>Addition with the following compliance line: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
<u>RD-1132</u> ----- R008	11/09/2010	Portfolio-Program-Project Management	Issue A	B&W Y-12 ltr dtd 09/06/2011
<i>Modified compliance line: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
<u>RD-1133</u> ----- R009	03/29/2010	Risk and Opportunity Management	Issue A	B&W Y-12 ltr dtd 09/06/2011
<i>Added the following compliance line: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
<u>RD-1134</u> ----- R012	06/16/2010	Requirements Engineering	Issue A	B&W Y-12 ltr dtd 09/06/2011
<i>Added the following compliance line: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				

**BASELINE LIST OF  
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**List B – List of Applicable Directives**

<b>DOE DIRECTIVES</b>				
DOE Directives can be found at the following Internet address: <a href="https://www.directives.doe.gov/">https://www.directives.doe.gov/</a>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE O 130.1	09/29/1995	BUDGET FORMULATION		Extended until 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		Cancels portions of Chapter VIII of DOE O 470.1 pertaining to foreign nationals who visit DOE sites/facilities and require access to classified information.
DOE M 142.2-1	09/04/2008	MANUAL FOR IMPLEMENTATION OF THE VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		
DOE O 142.2A	12/15/2006	VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 142.3A	10/14/2010	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM		
DOE O 200.1A	12/23/2008	INFORMATION TECHNOLOGY MANAGEMENT		
<b>DOE O 205.1B</b>	<b>05/16/2011</b>	<b>DEPARTMENT OF ENERGY CYBER SECURITY PROGRAM</b>		
<i>Compliance: Compliance will be contingent upon YSO approval of equivalent standards and processes to be used in concert with an approved B&amp;W Y-12 Cyber Security Program Plan and selected NNSA Cyber Security Program guidance.</i>				
DOE M 205.1-3	04/17/2006	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		
DOE M 205.1-4	03/08/2007	NATIONAL SECURITY SYSTEM MANUAL		
<i>Compliance: Based on this directive, compliance will be contingent upon an impact assessment of the revised Program Cyber Security Plan (PCSP) to be developed by NNSA. Pending that impact assessment, classified information system certification and accreditation will continue to be governed by DOE M 471.2-2, "Classified Information Systems Security Manual."</i>				
DOE M 205.1-5	08/12/2008	CYBER SECURITY PROCESS REQUIREMENTS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-6	12/23/2008	MEDIA SANITIZATION MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE M 205.1-7	01/05/2009	SECURITY CONTROLS FOR UNCLASSIFIED INFORMATION SYSTEMS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-8	01/08/2009	CYBER SECURITY INCIDENT MANAGEMENT MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE O 206.1	01/16/2009	DEPARTMENT OF ENERGY PRIVACY PROGRAM		
DOE N 206.4	06/29/2007	PERSONAL IDENTITY VERIFICATION		Extended by DOE N 251.74 until 06/09/2009 or until superseded.
<b>DOE O 210.2A</b>	<b>04/08/2011</b>	<b>DOE CORPORATE OPERATING EXPERIENCE PROGRAM</b>		
DOE O 221.1A	04/19/2008	REPORTING FRAUD, WASTE AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2A	02/25/2008	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
<i>Compliance: Compliance with this Order is not intended to abrogate the constitutional rights of B&amp;W Y-12's employees, subcontractors, or other support personnel.</i>				

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE O 221.3A	04/19/2008	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 224.3	01/24/2005	AUDIT RESOLUTION AND FOLLOW-UP PROGRAM		See Footnote (4)
DOE O 241.1B	12/13/2010	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT		
DOE M 251.1-1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM MANUAL		
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE N 203.1, DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3, DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001.
DOE N 251.45	05/29/2002	Extension of DOE O 130.1 and DOE O 135.1		Extends DOE O 130.1 until 05/01/2003

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE N 251.74	11/24/2008	EXTENSION OF DOE N 206.4		Extends DOE N 206.4 until 06/29/2009 or until superseded.
DOE N 251.86	06/23/2010	EXTENSION OF DOE N 234.1, REPORTING OF RADIOACTIVE SEALED SOURCES		Extends DOE N 234.1 until 05/06/2011 or until superseded.
DOE O 252.1A	02/23/2011	TECHNICAL STANDARDS PROGRAM		
DOE O 313.1	11/19/2009	MANAGEMENT AND FUNDING OF THE DEPARTMENT'S OVERSEAS PRESENCE		
DOE O 323.1	08/01/2004	GARNISHMENT OF FEDERAL EMPLOYEES' PAY	1 06/04/2008	
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	3 02/23/2010	
<b>DOE O 350.2B</b>	<b>05/31/2011</b>	<b>USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA</b>		
DOE O 410.1	08/27/2007	CENTRAL TECHNICAL AUTHORITY RESPONSIBILITIES REGARDING NUCLEAR SAFETY REQUIREMENTS		
DOE O 410.2	08/17/2009	MANAGEMENT OF NUCLEAR MATERIALS		

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE O 412.1A	04/21/2005	WORK AUTHORIZATION SYSTEM		
DOE O 413.1B	10/28/2008	INTERNAL CONTROL PROGRAM		
<b>DOE O 413.3B</b>	11/29/2010	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		
<i>Compliance: Compliance will be in accordance with the revised UPF Implementation Plan approved by DOE on 07/26/2011.</i>				
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT	1 02/08/2008	
DOE O 430.2B	02/27/2008	DEPARTMENTAL ENERGY, RENEWABLE ENERGY AND TRANSPORTATION MANAGEMENT		
<i>Compliance: This Order implements parts of the total program directed at strengthening federal environmental, energy and transportation management. It combines with Executive Order 13423, "Strengthening Federal, Environmental, Energy, and Transportation Management," and DOE O 450.1A, "Environmental Protection Program," to form the total program. B&amp;W Y-12 currently is not in full compliance with the total program. Thus, compliance will be in accordance with a revised implementation plan approved by DOE on 01/04/2010 that addresses the total program.</i>				
DOE P 442.1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH		
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE O 443.1B	03/17/2011	PROTECTION OF HUMAN RESEARCH SUBJECTS		
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		
DOE O 452.3	06/08/2005	MANAGEMENT OF THE DEPARTMENT OF ENERGY NUCLEAR WEAPONS COMPLEX		
DOE O 452.7	05/14/2010	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 457.1	02/07/2006	NUCLEAR COUNTERTERRORISM		
DOE M 457.1-1	08/10/2006	CONTROL OF IMPROVISED NUCLEAR DEVICE INFORMATION (OFFICIAL USE ONLY)		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40.
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001.

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 470.3B	08/12/2008	GRADED SECURITY PROTECTION (GSP) POLICY		
<i>Compliance: Compliance will be in accordance with the 2011 Site Safeguards and Security Plan, and the Area 5 Vulnerability Assessment Report approved by YSO and submitted to DOE NA-70 for concurrence on 05/13/2011.</i>				
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	2 10/20/2010	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-3A	11/05/2008	CONTRACTOR PROTECTIVE FORCE		
<i>Compliance: Compliance will be in accordance with the requirements limited to Chapter I, page I-3, paragraph 2.c, Chapter I, page I-11, paragraphs 6.a.(1) through (4); Chapter III, page III-3, paragraphs 4.a.(1) through (6); and Chapter V, page V-7, paragraph 3.b.(9)(b)1, first sentence in part as it relates to providing telephone service at fixed protective force posts; and with an approved deviation as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE M 470.4-6	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	1 08/14/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE O 471.1B	03/01/2010	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6), and Chapter VII, paragraph 12a(2)(a) are cancelled by DOE N 205.3.  Chapter III, Section 8, "Incident Reporting," is cancelled by DOE N 205.4.
DOE M 471.2-4	02/06/2004	TECHNICAL SURVEILLANCE COUNTERMEASURES (OFFICIAL USE ONLY)		Cancelled by DOE M 470.4-4 except for the classified annex.
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION	1 01/13/2011	
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION	1 01/13/2011	
<b>DOE O 471.5</b>	<b>03/29/2011</b>	<b>SPECIAL ACCESS PROGRAMS (OUO)</b>		
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
DOE O 475.2A	02/01/2011	IDENTIFYING CLASSIFIED INFORMATION		
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		
DOE O 484.1	08/17/2006	REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY	1 03/14/2011	
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
<i>Compliance: B&amp;W Y-12 is in compliance with the applicable requirements of this document with the exception of specifically approved exemptions on pass-through costs. These exemptions are reflected in the latest approved B&amp;W Y-12 Cost Accounting Standards Board Disclosure Statement.</i>				
DOE O 534.1B	01/06/2003	ACCOUNTING		
<i>Compliance: Compliance with this Order includes compliance with Chapters 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 15, 17, and 21 of the "DOE Accounting Handbook," with the exception that production support costs will be handled as direct costs rather than indirect costs based on the FY 2006 Congressional Budget Funding Schedule for Directed Stockpile Work.</i>				
DOE O 551.1C	06/24/2008	OFFICIAL FOREIGN TRAVEL		
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be in accordance with B&amp;W Y-12 letter dated 11/18/2009.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1)
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		
<i>Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.</i>				

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**List B – List of Applicable Directives**

<b>ALBUQUERQUE DIRECTIVES (ALs)</b>				
ALs can be found at the following Internet address: <a href="http://prp.sandia.gov/">http://prp.sandia.gov/</a>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL-SD 56XB, Revision 2	03/31/2004	DEVELOPMENT AND PRODUCTION MANUAL	10 08/22/2007	Chapter 2.3 is superseded by R003, Issue A. Chapter 2.4 is superseded by R006, Issue A. Chapter 3.2 (excluding Phases 6.1 and 6.6) is superseded by R001, Issue A and R006, Issue A. Chapters 8.1 and 8.5 are superseded by R005, Issue A.
IBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue B	
IBP-401	11/30/2006	PRODUCT DEFINITION EXCHANGE PROCESS	Issue A	
IBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue B	
NA-10 WQAPM	03/30/2009	NA-10 WEAPON QUALITY ASSURANCE PROCEDURES MANUAL		
<i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 12/07/2009.</i>				
NA SD M 452.3-1	12/10/2009	DEFENSE PROGRAMS BUSINESS REQUIREMENTS AND PROCESSES MANUAL		
<i>Compliance: Full implementation of this directive is contingent upon an impact assessment of all of the documents associated with RMI.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
PRS12005	01/13/2011	PDMLINK STANDARD INSTALLATION AND CONFIGURATIONS	Issue B	
<i>Compliance: Compliance will be contingent upon receipt of further information on requirements currently identified as "TBD."</i>				
PSLM	02/16/2006	PRIMARY STANDARDS LABORATORY MEMORANDUM		
C019	06/16/2010	CONTROL PRODUCT DEFINITION	Issue A	
<i>Compliance: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
R001	01/17/2010	PRODUCT REALIZATION	Issue A	Supersedes Chapter 3.2 (excluding Phases 6.1 and 6.6) of AL-SD 56XB, Revision 2.
<i>Compliance: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
R002	05/09/2011	REQUIREMENTS MODERNIZATION AND INTEGRATION SYSTEM MANAGEMENT	Issue B	
<i>Compliance: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
R003	03/24/2010	PRODUCT DEFINITION CONTROL	Issue A	Supersedes Chapter 2.3 of AL-SD 56XB, Revision 2.
<i>Compliance: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
R005	03/12/2010	NEW MATERIAL AND STOCKPILE EVALUATION	Issue A	Supersedes Chapters 8.1 and 8.5 of AL-SD 56XB, Revision 2.
<i>Compliance: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
R006	01/15/2010	6.X PROCESS	Issue A	Supersedes Chapters 2.4 and 3.2 (excluding Phases 6.1 and 6.6) of AL-SD 56XB, Revision 2.
<i>Compliance: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
R007	03/29/2010	DIRECTED STOCKPILE WORK PROGRAM FUNDING WITHIN PLANNING, PROGRAMMING, BUDGETING, EVALUATION AND PROCESS	Issue A	
<i>Compliance: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
R008	11/09/2010	PORTFOLIO-PROGRAM-PROJECT MANAGEMENT	Issue A	
<i>Compliance: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
R009	03/29/2010	RISK AND OPPORTUNITY MANAGEMENT	Issue A	Supersedes Chapter 12.3 of AL-SD 56XB, Revision 2.
<i>Compliance: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
R010	03/07/2011	ENTERPRISE NEED TO KNOW	Issue A	
<i>Compliance: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
R012	06/16/2010	REQUIREMENTS ENGINEERING	Issue A	
<i>Compliance: Compliance with this document will be contingent upon DOE, NNSA's final determination of the effective date.</i>				
TBP-CM	02/14/2005	CONFIGURATION MANAGEMENT	Issue A	
TBP-PRP	05/04/2001	PRODUCT REALIZATION PROCESS	Issue E	
TBP-SYS	10/20/2004	NWC TECHNICAL BUSINESS PRACTICE SYSTEM	Issue H	
TBP-000	05/04/2001	PROGRAM MANAGEMENT	Issue E	
TBP-001	10/02/2000	MAJOR ASSEMBLY RELEASE SYSTEM	Issue C	
TBP-100	09/16/2005	CONCURRENT QUALIFICATION	Issue G	
TBP-101	01/22/2007	ENGINEERING EVALUATION PROCESS	Issue H	
TBP-200	09/18/2006	PRODUCT IDENTIFICATION AND TRACEABILITY	Issue E	
TBP-201	09/18/2006	WEAPON IDENTIFICATION SYSTEMS AND MARKING CRITERIA	Issue D	
TBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue E	
TBP-203	02/20/2004	WEAPON RECORD CARDS	Issue E	

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
TBP-300	02/15/2005	PRODUCT DEFINITION	Issue E	
TBP-301	10/02/2006	METHODS OF DEFINITION	Issue J	
TBP-302	09/18/2006	PRODUCT AND EQUIPMENT DESIGNATIONS	Issue D	
TBP-303	01/24/2007	SEVEN-DIGIT MATERIAL AND PROCESS SPECIFICATIONS	Issue D	
TBP-304	03/11/2003	DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM	Issue E	
TBP-305	09/18/2006	CONFIGURATION OF TYPE WEAPONS	Issue D	
TBP-306	05/02/2003	SOFTWARE PRODUCT PROCESSES	Issue E	
TBP-307	05/02/2003	USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS	Issue D	
TBP-308	02/06/2006	COMPUTER-AIDED DESIGN PROCESS FOR ELECTRICAL PRODUCTS	Issue A	
TBP-400	10/02/2000	DESIGN CONTROL	Issue D	
TBP-401	11/30/2006	DEFINITION CONTROL	Issue E	
TBP-402	09/22/2004	PRODUCT CONTROL	Issue F	
TBP-403	02/13/2006	REVIEWS	Issue E	

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
TBP-404	05/04/2005	ENGINEERING AUTHORIZATION SYSTEM	Issue F	
TBP-502	01/21/2002	DISTRIBUTION OF NON-ELECTRONIC PRODUCT DEFINITION USING ELECTRONIC TRANSMITTAL METHODS	Issue A	
TBP-600	10/02/2000	PROCUREMENT	Issue D	
TBP-601	10/02/2000	PROCUREMENT CLASSES OF WEAPON PRODUCT	Issue C	
TBP-602	03/11/2003	INTERPRETATION OF PROCUREMENT INDEX	Issue D	
TBP-700	03/11/2003	PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE	Issue E	
TBP-701	04/19/2007	ACCEPTANCE EQUIPMENT INTERFACES	Issue D	
TBP-702	05/29/2007	NONCONFORMING MATERIAL	Issue F	
TBP-703	06/01/2001	PRODUCT REPROCESSING AND REWORKING	Issue D	
TBP-704	10/02/2000	EVALUATION AND DISPOSITION OF DISCREPANT WEAPON MATERIAL	Issue D	
TBP-800	07/30/2001	STOCKPILE MANAGEMENT	Issue F	
TBP-801	10/02/2000	LABORATORY AND FLIGHT TEST MATERIAL	Issue D	

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<a href="http://prp.sandia.gov/">http://prp.sandia.gov/</a>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
TBP-802	10/02/2000	TELEMETRY PROCEDURES	Issue D	
TBP-803	12/06/2006	NON-NUCLEAR ASSURANCE PROGRAM	Issue F	
TBP-804	12/06/2006	STOCKPILE SUPPORT MATERIAL	Issue F	
TBP-805	12/06/2006	REPAIR AND RETROFIT OF WEAPONS AND ANCILLARY EQUIPMENT	Issue F	
TBP-901	10/02/2000	INTEGRATED SAFETY PROCESS FOR NUCLEAR WEAPONS OPERATIONS AND FACILITIES	Issue B	

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<b>OTHER APPLICABLE DOCUMENTS</b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
41 CFR 102-192	08/25/2008	MAIL MANAGEMENT		
42 U.S.C.A. 1395y	07/15/2008	THE PUBLIC HEALTH AND WELFARE		
BOP-003.08	05/30/2008	MANAGEMENT AND OPERATING CONTRACTOR BUSINESS MEALS AND LIGHT REFRESHMENT		
<i>Compliance: Compliance will be in accordance with the Advance Agreement, Controlled Business Expense (CBE), approved by DOE on 05/07/2009.</i>				
BOP-50.002	09/19/2006	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) VALUE MANAGEMENT (VM) POLICY		
BOP-50.003	06/06/2007	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) INDEPENDENT PROJECT REVIEW (IPR) POLICY		
<i>Compliance: Compliance with respect to Operation Expense and Work for Others projects will be addressed upon receipt of Y-12 Site Office implementation guidance.</i>				
DOE-HQ Memorandum	11/08/2006	IMPROVED CYBER SECURITY PROTECTION FOR CLASSIFIED COMPUTER SYSTEMS		
DOE-HQ Memorandum	01/27/2009	COMPUTER LOSS/THEFT REPORTING REQUIREMENTS		
DOE HQ Memorandum	12/09/2009	MILITARY OR INTELLIGENCE-RELATED HUMAN SUBJECTS RESEARCH		

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<b>OTHER APPLICABLE DOCUMENTS</b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
DOE HQ Memorandum	03/04/2010	PROJECT MANAGEMENT PRINCIPLES		
<i>Compliance: Compliance will be in accordance with the revised Y-12 National Security Complex PARS II Deployment Cost and Schedule Breakdown approved by DOE on 09/21/2010.</i>				
DOE-HQ Memorandum	07/06/2011	APPROVED MEANS FOR TRANSMISSION OF MEDIA CONTAINING SENSITIVE UNCLASSIFIED INFORMATION		
EO 13502	02/06/2009	USE OF PROJECT LABOR AGREEMENTS FOR FEDERAL CONSTRUCTION PROJECTS		
MANUAL	04/01/2007	BASELINE SECURITY MANUAL (OFFICIAL USE ONLY)		
NAP 14.1-C	05/02/2008	NNSA BASELINE CYBER SECURITY PROGRAM		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan approved by DOE on 10/15/2010.</i>				
NAP 14.2-C	05/02/2008	NNSA CERTIFICATION AND ACCREDITATION (C&A) PROCESS FOR INFORMATION SYSTEMS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan approved by DOE on 10/15/2010.</i>				
NAP 14.3-B	05/02/2008	TRANSMISSION OF RESTRICTED DATA OVER SECRET INTERNET PROTOCOL ROUTER NETWORK (SIPRNet)		See Footnote (2)
NAP-21	02/28/2011	TRANSFORMATIONAL GOVERNANCE AND OVERSIGHT		
<i>Compliance: Compliance with those chapters currently identified as "to be developed" will be contingent upon an impact assessment to be completed as they are developed and issued.</i>				

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<b>OTHER APPLICABLE DOCUMENTS</b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
NAP 70.2	07/02/2010	PHYSICAL PROTECTION		
NAP 70.4	07/02/2010	INFORMATION SECURITY		
OMB-Circular A-123	12/21/2004	MANAGEMENT'S RESPONSIBILITY FOR INTERNAL CONTROL		
OPS-323	10/21/2004	DATA INTERFACE AGREEMENT: INTEGRATED CONTRACTOR INTERFACE FOR DEPARTMENT OF ENERGY I-MANAGE PROGRAM – STARS PROJECT		
YSO-CRD-06-01 Revision 3	04/05/2010	DIRECTIVES SYSTEM PROCESS		
YSO CRD-09-01	09/25/2009	PROJECTS CONTRACTOR REQUIREMENTS DOCUMENT (CRD)	Admin. Chg. 11/24/2009	

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<b>STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)</b>				
<b>FUNCTIONAL AREA (FA)</b>	<b>TITLE</b>	<b>REVISION NUMBER</b>	<b>DOE/YSO APPROVAL DATE</b>	<b>NOTES AND COMMENTS</b>
1.0	MS - Management Systems	24	09/28/2010	
<b>2.0</b>	<b>QA - Quality Assurance</b>	<b>15</b>	<b>08/10/2011</b>	
3.0	CM - Configuration Management	11	02/15/2011	Type 1 Change
<b>4.0</b>	<b>TQ - Training and Qualification</b>	<b>23</b>	<b>08/10/2011</b>	<b>Type 1 Change</b>
5.0	EM - Emergency Management	22	10/12/2010	Type 1 Change
<b>7.0</b>	<b>EG - Engineering Program</b>	<b>49</b>	<b>07/05/2011</b>	<b>Type 1 Change</b>
<b>8.0</b>	<b>CP - Construction Program</b>	<b>42</b>	<b>07/05/2011</b>	<b>Type 1 Change</b>
9.0	OP - Operations	7	11/17/2010	
10.0	MA - Maintenance	17	08/23/2010	
<b>11.0</b>	<b>RP - Radiation Protection</b>	<b>12</b>	<b>06/21/2011</b>	
12.0	FP - Fire Protection	18	05/18/2011	
<b>13.0</b>	<b>TR - Packaging and Transportation</b>	<b>22</b>	<b>07/13/2011</b>	<b>Type 1 Change</b>
18.0	FS - Facility (Nuclear) Safety	22	08/26/2010	
<b>19.0</b>	<b>SH - Occupational Safety and Health</b>	<b>33</b>	<b>07/05/2011</b>	
<b>20.0</b>	<b>EP - Environmental Protection</b>	<b>23</b>	<b>07/05/2011</b>	
21.0	CS - Nuclear Criticality Safety	6	12/10/2010	

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<b>APPROVED S/RID SOURCE DOCUMENTS<sup>3</sup></b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
10 CFR	01/24/1997	ENERGY		MS, QA, TQ, EM, EG, CP, RP, FP, FS, SH, EP
28 CFR	07/01/1997	JUDICIAL ADMINISTRATION		FP
29 CFR	04/23/1998	LABOR		CM, TQ, EM, EG, CP, FP, FS
33 CFR		NAVIGATION AND NAVIGABLE WATERS		CP, EP
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		MS, EM, EG, CP, FP, EP
40 CFR		PROTECTION OF THE ENVIRONMENT		EM, EG, CP, TR, FS, SH, EP
40 CFR 144		SAFE DRINKING WATER ACT		EP
43 CFR		PUBLIC LANDS: INTERIOR		EP
48 CFR		FEDERAL ACQUISITION REGULATIONS SYSTEM		MS
49 CFR	10/01/2000	TRANSPORTATION		TQ, EM, TR
50 CFR		WILDLIFE AND FISHERIES		EP
5480.21	12/24/1991	UNREVIEWED SAFETY QUESTIONS		TQ
DE-AC05-00OR22800	08/31/2000	B&W Y-12, LLC. CONTRACT WITH DOE/NNSA		MS, EG, CP
DOE O 150.1	05/08/2008	CONTINUITY PROGRAMS		EM
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		EM, EG, CP, TQ
DOE O 153.1	06/27/2007	DEPARTMENTAL RADIOLOGICAL EMERGENCY RESPONSE ASSETS		EM
DOE O 225.1B	03/04/2011	ACCIDENT INVESTIGATIONS		SH, EG, CP

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DOE O 226.1B	04/25/2011	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		QA, TQ
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2 06/12/2007	EG, CP, RP, FP, SH, EP
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		MS
DOE N 234.1	02/27/2008	REPORTING OF RADIOACTIVE SEALED SOURCES		RP
DOE O 341.1A	10/18/2007	FEDERAL EMPLOYEE HEALTH SERVICES		SH
DOE O 414.1C	06/17/2005	QUALITY ASSURANCE		QA, TQ
DOE O 420.1B	12/22/2005	FACILITY SAFETY	1 04/19/2010	EM, EG, CP, OP, FP, CS
DOE G 420.1-3	09/27/2007	IMPLEMENTATION GUIDE FOR DOE FIRE PROTECTION AND EMERGENCY SERVICES PROGRAMS FOR USE WITH DOE O 420.1B, FACILITY SAFETY		FP, EG
DOE G 421.1-2	10/24/2001	IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830		FS
DOE O 422.1	06/29/2010	CONDUCT OF OPERATIONS		OP, CM, TQ, EG, CP
DOE O 425.1D	04/16/2010	VERIFICATION OF READINESS TO START UP OR RESTART NUCLEAR FACILITIES		MS

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
DOE O 426.2	04/21/2010	PERSONNEL SELECTION, TRAINING, QUALIFICATION, AND CERTIFICATION REQUIREMENTS FOR DOE NUCLEAR FACILITIES		TQ, CM, EG, CP
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		MA, EG
<b>DOE O 433.1</b>	<b>06/01/2001</b>	<b>MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES</b>		<b>MA</b>
DOE O 433.1B	04/21/2010	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		MA, CM, TQ, EG, CP
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		EP, TQ, EG, CP
DOE O 435.1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT	1 08/28/2001	EP
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY	1 11/19/2006	TR
DOE M 441.1-1	03/07/2008	NUCLEAR MATERIAL PACKAGING MANUAL		EG
DOE M 442.1-1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY AND HEALTH		SH
DOE O 450.1A	06/04/2008	ENVIRONMENTAL PROTECTION PROGRAM		EP
DOE M 450.4-1	11/01/2006	INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL		SH
DOE P 456.1	09/15/2005	SECRETARIAL POLICY STATEMENT ON NANOSCALE SAFETY		SH

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
DOE O 458.1	02/11/2011	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	1 03/08/2011	EP, RP, EG, CP
DOE O 460.1C	05/14/2010	PACKAGING AND TRANSPORTATION SAFETY		TR
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		TR
DOE M 461.1-1	09/29/2000	PACKAGING AND TRANSFER OR MATERIALS OF NATIONAL SECURITY INTEREST	1 07/26/2005	TR
DOE O 461.1A	04/26/2004	PACKAGING AND TRANSFER OR TRANSPORTATION OF MATERIALS OF NATIONAL SECURITY INTEREST		TR
DOE O 461.1B	12/16/2010	PACKAGING AND TRANSPORTATION FOR OFFSITE SHIPMENT OF MATERIALS OF NATIONAL SECURITY INTEREST		TR, TQ
DOE O 461.2	11/01/2010	ONSITE PACKAGING AND TRANSFER OF MATERIALS OF NATIONAL SECURITY INTEREST		TR
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	EM
DOE-SNL-TYPEBARG	02/29/2008	TIEDOWN PROCEDURES FOR TYPE A, TYPE B, ARG, AND MISCELLANEOUS CONTAINERS		TR
DOE-STD-1066-99	07/31/1999	DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA		EG

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<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>FUNCTIONAL AREA(S)</b>
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT		MS, CM, EG, CP
DOE-STD-1083-2009	06/2009	PROCESSING EXEMPTIONS TO NUCLEAR SAFETY RULES AND APPROVAL OF ALTERNATIVE METHODS FOR DOCUMENTED SAFETY ANALYSES		MS
DOE-STD-1090-2007	08/2007	HOISTING AND RIGGING		SH
DOE-STD-1095-2011	01/2011	DEPARTMENT OF ENERGY LABORATORY ACCREDITATION FOR EXTERNAL DOSIMETRY		RP
DOE-STD-1189-2008	03/01/2008	INTEGRATION OF SAFETY INTO THE DESIGN PROCESS		EG
DOE-STD-3003-2000	01/31/2000	DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES		EG
DOE-STD-3024-98	10/31/1998	DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS		EG
EO 12898		ENVIRONMENTAL JUSTICE		EP
FFCA		FEDERAL FACILITIES COMPLIANCE ACT		EP
NAP 70.2	07/02/2010	PHYSICAL PROTECTION		TR, TQ
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		EG, CP, FP
ORR PCB FFCA		OAK RIDGE RESERVATION POLYCHLORINATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT		EP
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		EG, CP

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B – List of Applicable Directives**

APPROVED S/RID SOURCE DOCUMENTS <sup>3</sup>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		QA, MS, EG
STANDARD BUILDING CODE (SBC)		SOUTHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)		CP
TITLE 55 AND 65		TENNESSEE MOTOR VEHICLE LAWS ANNOTATED		TR
TENNESSEE CODE, TITLE 68	04/23/1998	HEALTH, SAFETY AND ENVIRONMENTAL PROTECTION		FP
TCA TITLE 69		WATERS, WATERWAYS, DRAINS AND LEVEES		EP
THWRA		TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)		EP
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		EM, EG
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		EP, CP
TN 1200-1-13		TENNESSEE INACTIVE HAZARDOUS SUBSTANCE SITE REMEDIAL ACTION PROGRAM	1	EP
TN 1200-1-7		TENNESSEE SOLID WASTE RULES		EP
TN 1200-1-7.02		TENNESSEE SOLID WASTE DISPOSAL ACT		EP
TN 1200-3-10		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-20		TENNESSEE AIR QUALITY ACT		EP

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B – List of Applicable Directives**

APPROVED S/RID SOURCE DOCUMENTS <sup>3</sup>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
TN 1200-3-27		TENNESSEE AIR QUALITY ACT		EP
TN 1200-4-5		TENNESSEE WATER QUALITY CONTROL ACT		EP
TN 1200-5-8	10/19/2003	WATER REGISTRATION REQUIREMENTS		EP
TN OVERSIGHT AGREEMENT	06/06/2006	TENNESSEE OVERSIGHT AGREEMENT (TOA)		EM, EP
TWRA		TENNESSEE WILDLIFE RESOURCES AGENCY (TWRA) BOATING REGULATIONS		TR
YSO-CRD-02-01, Rev. 2	02/15/2008	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		FS, EG
YSO-CRD-03-01, Rev. 6	09/03/2010	START-UP AND RESTART OF OPERATIONS, ACTIVITIES & FACILITIES AT Y-12, INCLUDING SAFETY BASIS DOCUMENT IMPLEMENTATION		MS
YSO-CRD-07-01 Rev. 1	02/15/2008	AUTHORIZATION AGREEMENTS		EG, CP, FS

**FOOTNOTES:**

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document comprises a component of the Program Cyber Security Plan that is directed by DOE O 205.1A, DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT, dated 12/04/2006.
- (3) These documents are ES&H related and appear in one or more of the current Standards/ Requirements Identification Document (S/RID) Functional Areas. In an S/RID, the document may be referenced in its entirety or by certain chapters, paragraphs, or sections taken from the document. In addition, information regarding document applicability may be obtained from the specific S/RID.
- (4) Although this document is not directly applicable to the Contractor, it is included in the list of applicable documents to establish requirements for audit resolution and follow-up systems.