



DOE Form  
(04/1991)

**U.S. Department of Energy  
Requirements Change Notice**

No.:  
NNSA-10

Page 1 of 21 Pages

PROJECT:  
Baseline List of Required Compliance Documents

LOCATION:  
Oak Ridge, Tennessee

CONTRACTOR: BWXT Y-12, L. L. C.

CONTRACT NO.: DE-AC05-00OR22800, I.116, Laws, Regulations, and  
DOE Directives (June 1997), DEAR 970.5204-78

DATE OF CONTRACT:  
August 31, 2000

This Requirements Change Notice (RCN) No. NNSA-10 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents which have been assessed against the terms and conditions of the subject contract in accordance with the above referenced clause. Changes to Section J, Attachment E are indicated by bold type.

Below is a list of the directives incorporated herein:

**ADDITIONS:**

DOE M 470.1-1    DOE O 551.1A, Change 1    ORO O 470, Chapter VII, Change 3    DOE O 470.2B  
DOE N 311.3

**DELETIONS:**

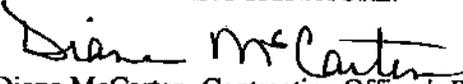
ORO O 250, Chapter V, Change 3    Work Smart Standards Set    DOE 2100.8A    DOE O 551.1A  
ORO O 470, Chapter VII, Change 2    DOE O 470.2A    DOE N 311.2

**UPDATES:**

S/RID 7.0 - EG - Added Engineering Program Requirements\*  
S/RID 8.0 - CP - Added Construction Program Requirements\*  
S/RID 2.0 - QA - Revised Quality Assurance Requirements  
S/RID 12.0 - FP - Revised Fire Protection Requirements

\*Note: These S/RID Functional Areas were developed to convert the Engineering Design and Construction Work Smart Standards to S/RID requirements.

DOE AUTHORIZING SIGNATURE:

  
Diane McCarten, Contracting Officer's Representative for Directives

DATE:

4/7/03

## OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-183</u> S/RID 7.0	08/25/2000	ENGINEERING PROGRAM		DOE ltr dtd 01/13/2003 BWXT Y-12 ltr dated 11/19/2002
<i>Addition: Developed S/RID Functional Area to convert the Engineering Design and Construction Work Smart Standards Set to S/RID requirements.</i>				
<u>RD-184</u> S/RID 8.0	01/13/2003	CONSTRUCTION PROGRAM		DOE ltr dtd 01/13/2003 BWXT Y-12 ltr dated 11/19/2002
<i>Addition: Developed S/RID Functional Area to convert the Engineering Design and Construction Work Smart Standards Set to S/RID requirements.</i>				
<u>RD-185</u> WSS Set	03/25/1997	Engineering Design and Construction		DOE ltr dtd 01/22/2003
<i>Deletion</i>				
<u>RD-186</u> ORO O 250 Chapter V	08/13/1996	Development, Approval, and Maintenance of Work Smart Standards	3 06/19/2001	DOE ltr dtd 01/22/2003
<i>Deletion</i>				
<u>RD-187</u> DOE 2100.8A	01/27/1993	Cost Accounting, Cost Recovery, and Interagency Sharing of Information Technology Facilities		DOE ltr dtd 12/19/2002
<i>Deletion: Cancelled by DOE N 251.49, "Cancellation of Directives," dated October 4, 2002</i>				
<u>RD-188</u> S/RID 2.0	01/03/2003	QUALITY ASSURANCE		DOE message dtd 01/03/2003 BWXT Y-12 message dtd 10/28/2002
<i>Revised RUID 9946 and the Program Description portion in order to address observations documented during October 2002 YSO assessment.</i>				
<u>RD-189</u> DOE M 470.1-1	10/02/2002	Safeguards and Security Awareness Program		DOE ltr dtd 12/11/2002 BWXT Y-12 ltr dtd 01/10/2003
<i>Addition</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-190</u> DOE O 551.1A	08/25/2000	Official Foreign Travel	1 11/08/2002	DOE ltr dtd 12/11/2002 BWXT Y-12 ltr dtd 01/21/2003
<i>Addition</i>				
<u>RD-191</u> DOE O 551.1A	08/25/2000	Official Foreign Travel		DOE ltr dtd 12/11/2002 and BWXT Y-12 ltr dtd 01/21/2003
<i>Deletion</i>				
<u>RD-192</u> ORO O 470 Chapter VII	05/15/1996	Protection and Control of Safeguards and Security Interests	3 11/13/2002	DOE ltr dtd 12/20/2002 BWXT Y-12 ltr dtd 01/27/2003
<i>Addition</i>				
<u>RD-193</u> ORO O 470 Chapter VII	05/15/1996	Protection and Control of Safeguards and Security Interests	2 08/20/1999	DOE ltr dtd 12/20/2002 BWXT Y-12 ltr dtd 01/27/2003
<i>Deletion</i>				
<u>RD-194</u> S/RID 12.0	01/22/2003	FIRE PROTECTION		DOE ltr dtd 01/22/2003 BWXT Y-12 ltr dtd 12/11/2002
<i>Revised S/RID to reflect DOE Order 420.1A, "Facility Safety," and to effect necessary revisions to the requirement and/or Implementation Assumption of specifically identified Fire Protection requirements.</i>				
<u>RD-195</u> DOE O 470.2B	10/31/2002	Independent Oversight and Performance Assurance Program		DOE ltr dtd 12/11/2002 BWXT Y-12 ltr dtd 01/30/2003
<i>Addition: This directive cancels and replaces DOE O 470.2A, as well as Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, "Quality Assurance," dated September 29, 1999, as amended by Change 1, dated July 12, 2001.</i>				
<u>RD-196</u> DOE O 470.2A	03/01/2000	Security and Emergency Management Independent Oversight and Performance Assurance Program		DOE ltr dtd 12/11/2002 BWXT Y-12 ltr dtd 01/30/2003
<i>Deletion</i>				

## OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-197</u> DOE N 311.3	12/30/2002	Extension of DOE O 311.1A, Equal Employment Opportunity and Diversity Program		DOE ltr dtd 01/14/2003 BWXT Y-12 ltr dtd 02/11/2003
<i>Addition</i>				
<u>RD-198</u> DOE N 311.2	05/29/2002	Extension of DOE O 311.1A, Equal Employment Opportunity and Diversity Program		DOE ltr dtd 01/14/2003 BWXT Y-12 ltr dtd 02/11/2003
<i>Deletion</i>				

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B - List of Applicable Directives**

<b>DOE DIRECTIVES</b>				
DOE Directives can be found at the following Internet address: <a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3	11/03/1999	CONFERENCE MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 07/25/2001.</i>				
DOE O 130.1	09/29/1995	BUDGET FORMULATION PROCESS		Extended until 05/01/2003 by DOE N 251.45
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE N 142.1	07/14/1999	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS		Extended until 05/14/2003 by DOE N 251.47
DOE N 153.1	02/26/2001	CONNECTIVITY TO ATMOSPHERIC RELEASE ADVISORY CAPABILITY		
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
DOE M 200.1-1	03/1997	TELECOMMUNICATIONS SECURITY MANUAL (OFFICAL USE ONLY)		
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		Extended until 12/31/2001 by DOE N 251.40
DOE N 205.1	07/26/1999	UNCLASSIFIED CYBER SECURITY PROGRAM		Extended until 12/31/2002 by DOE N 251.44
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 08/11/2000.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 205.2	11/01/1999	FOREIGN NATIONAL ACCESS TO DOE CYBER SYSTEMS		Extended until 12/31/2002 by DOE N 251.44
DOE N 205.3	11/23/1999	PASSWORD GENERATION, PROTECTION, AND USE		Extended until 12/31/2002 by DOE N 251.44
DOE N 205.4	03/18/2002	HANDLING CYBER SECURITY ALERTS AND ADVISORIES AND REPORTING CYBER SECURITY INCIDENTS		Cancels Chapter III, Section 8, <i>Incident Reporting</i> , of DOE M 471.2-2.
DOE O 221.1	03/22/2001	REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2	03/22/2001	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.3	12/17/2001	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT		
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 203.1, DOE N 470.2, DOE N 473.4, DOE N 473.5, and DOE N 473.7 until 12/31/2001.
DOE N 251.44	05/06/2002	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE N 471.3 and DOE N 473.6 until 12/31/2002.

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 251.45	05/29/2002	EXTENSION OF DOE O 130.1 AND DOE O 135.1		Extends DOE O 130.1 and DOE O 135.1 until 05/01/2003.
DOE N 251.47	08/14/2002	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE O 470.1, DOE O 471.2A, and DOE O 472.1B until 05/14/2003.
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		
DOE O 311.1A	12/30/1996	EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM		Extended until 03/30/2003 by DOE N 311.3
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 03/31/1998.</i>				
DOE N 311.3	12/30/2002	EXTENSION OF DOE O 311.1A, EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM		Extends DOE O 311.1A until 03/30/2003.
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	1 05/08/1998	
DOE O 350.2	07/12/2001	USE OF FACILITY CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, DC AREA		
DOE N 350.6	01/12/2001	ACCEPTANCE OF VALID WORKERS' COMPENSATION CLAIMS		Errata Sheet distributed 04/24/2001.
<i>Compliance: Compliance will be contingent upon allocation of required funding in support and maintenance of new requirements, and in accordance with an Implementation Plan approved by DOE 03/04/2002.</i>				
DOE O 412.1	04/20/1999	WORK AUTHORIZATION SYSTEM		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 413.1A	04/18/2002	MANAGEMENT CONTROL PROGRAM		
DOE O 413.3	10/13/2000	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		Cancels paragraphs 6e(7), 7a(3), 7b(11) and (14); 7c(4), (6), (7), (11), and (16); 7d(4) and (8); 7e(3), (10) and (17); and Attachment 1, Definitions (items 30, 42, and 48) and Attachment 2, CRD (paragraph 1d regarding a project management system) of DOE O 430.1A.
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 10/09/2001.</i>				
DOE O 430.1A	10/14/1998	LIFE CYCLE ASSET MANAGEMENT		DOE O 413.3 cancels paragraphs 6e(7), 7a(3), 7b(11) and (14); 7c(4), (6), (7), (11), and (16); 7d(4) and (8); 7e(3), (10), and (17); and Attachment 1 Definitions (items 30, 42, and 48) and Attachment 2, CRD (paragraph 1d regarding a project management system).  DOE O 430.2A cancels paragraphs 6d(2), 6h, 7b(1) and (2), and 7e(16).
DOE O 430.2A	4/15/2002	DEPARTMENTAL ENERGY AND UTILITIES MANAGEMENT		Cancels paragraphs 6d(2), 6h, 7b(1) and (2), and 7e(16) of DOE O 430.1A.

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
DOE O 443.1	05/15/2000	PROTECTION OF HUMAN SUBJECTS		
DOE M 452.4-1	07/01/1999	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 470.1	09/28/1995	SAFEGUARDS AND SECURITY PROGRAM	1 06/21/1996	Extended until 05/14/2003 by DOE N 251.47
DOE M 470.1-1	10/02/2002	SAFEGUARDS AND SECURITY AWARENESS PROGRAM		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated July 12, 2001.
DOE O 471.1A	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		
DOE M 471.1-1	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL	1 10/23/2001	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 471.2A	03/27/1997	INFORMATION SECURITY PROGRAM		Extended until 05/14/2003 by DOE N 251.47
DOE M 471.2-1B	01/06/1999	CLASSIFIED MATTER PROTECTION AND CONTROL MANUAL		Canceled by DOE M 471.2-1C except for Chapter III, paragraphs 1 and 2, and Chapter IV, which remain in effect.
DOE M 471.2-1C	04/17/2001	CLASSIFIED MATTER PROTECTION AND CONTROL MANUAL		Cancels and replaces DOE M 471.2-1B except Chapter III, paragraphs 1 and 2, and Chapter IV.
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<i>Compliance: Compliance will be in accordance with a Deviation Request (Variance) approved by DOE on 07/19/2002.</i>				
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6); and Chapter VII, paragraph 12a(2)(a) canceled by DOE N 205.3  Chapter III, Section 8, <i>Incident Reporting</i> , canceled by DOE N 205.4.
DOE M 471.2-3	04/20/2000	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES MANUAL (OFFICIAL USE ONLY)		
DOE N 471.3	04/13/2001	REPORTING INCIDENTS OF SECURITY CONCERN		Extended until 12/31/2002 by DOE N 251.44

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 472.1B	03/24/1997	PERSONNEL SECURITY ACTIVITIES		Extended until 05/14/2003 by DOE N 251.47
DOE M 472.1-1B	07/12/2001	PERSONNEL SECURITY PROGRAM MANUAL		
DOE N 473.4	05/26/2000	DEPARTMENT OF ENERGY BADGES		Extended until 12/31/2001 by DOE N 251.40
DOE N 473.5	06/05/2000	SECURITY AREA VOUCHING AND PIGGYBACKING		Extended until 12/31/2001 by DOE N 251.40
DOE N 473.7	10/26/2000	EXPLOSIVE DETECTION PROGRAM		Extended until 12/31/2001 by DOE N 251.40.
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 10/09/2001.</i>				
DOE N 473.8	08/07/2002	Security Conditions		
DOE N 474.1	02/01/2002	Extension of DOE M 474.1-2		Extends DOE M 474.1-2 until 02/10/2003.
DOE O 474.1A	11/20/2000	CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS		
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan submitted to DOE on 07/31/2001.</i>				
DOE M 474.1-1A	11/20/2000	MANUAL FOR CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS		
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan submitted to DOE on 07/31/2001.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 474.1-2	02/10/1998	NUCLEAR MATERIALS MANAGEMENT AND SAFEGUARDS SYSTEM REPORTING AND DATA SUBMISSION	2 11/16/1998	Extended until 02/10/2003 by DOE N 474.1.
DOE M 475.1-1A	02/26/2001	IDENTIFYING CLASSIFIED INFORMATION		
DOE O 481.1B	09/28/2001	WORK FOR OTHERS (NON-DEPARTMENT OF ENERGY FUNDED WORK)		
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
<i>Compliance: Compliance will be contingent upon allocation of required funding and an Implementation Plan approved by DOE 10/31/2001.</i>				
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		
DOE O 534.1A	07/05/2001	ACCOUNTING		
DOE O 551.1A	08/25/2000	OFFICIAL FOREIGN TRAVEL	1 11/08/2002	
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 1270.2B	06/23/1992	SAFEGUARDS AGREEMENT WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY (IAEA)		See Footnote (1)
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1350.1	10/28/1981	AUDIO VISUAL AND EXHIBITS MANAGEMENT	1 03/26/1984	
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2110.1A	07/14/1988	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES	2 05/18/1992	
<p><i>Compliance: Change 2 of the Order failed to incorporate interim guidance, "Policy on Waiver of DOE's Added Factor and Depreciation." Subsequent clarification from ORO confirmed the need to continue following this interim guidance.</i></p> <p><i>Compliance: Implementation will be in accordance with DOE-ORO letter from J. R. Martin, Director, Finance Division, dated 08/03/1994, regarding the application of the departmental added factor (DAF) based on the source of funds. Effective 08/03/1994, the DAF rate will be applied to any work performed for a non-Federal entity (as opposed to full cost factor and depreciation normally applied to private work) if the work is financed from another Federal entity. Written documentation should be provided from the non-Federal entity or the other Federal agency. This change in policy applies only to new work.</i></p> <p><i>Compliance: Implementation will be in accordance with DOE memorandum from Elizabeth E. Smedley, Controller, dated 08/25/1994, regarding the waiver of the added factor and depreciation for small businesses and non-profit organizations participating in funds-in agreements, effective 10/01/1994. This waiver is valid for one year through 09/30/1995.</i></p>				
DOE 2300.1B	06/08/1992	AUDIT RESOLUTION AND FOLLOWUP		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1).
DOE 5560.1A	05/08/1985	PRIORITIES AND ALLOCATIONS PROGRAM		
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5632.1C	07/15/1994	PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS		
DOE M 5632.1C-1	07/15/1994	MANUAL FOR PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS	1 04/10/1996	DOE O 470.1 canceled Chapter XI. DOE O 471.2 canceled Chapter III, paragraphs 1, 2, and 4 through 9.
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5660.1B	05/26/1994	MANAGEMENT OF NUCLEAR MATERIALS		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		
<i>Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.</i>				
DOE 5670.3	09/04/1992	COUNTERINTELLIGENCE PROGRAM		

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<b>ALBUQUERQUE DIRECTIVES</b>				
<b>ALs can be found at the following Internet address: <a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a></b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
AL-SD 56XB, Revision 1	02/01/1992	DEVELOPMENT AND PRODUCTION MANUAL	47 11/11/2002	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 130 Chapter II	05/15/1996	<b>BUDGET</b> SHUTDOWN OF DEPARTMENTAL OPERATIONS UPON FAILURE OF CONGRESS TO ENACT APPROPRIATIONS	2 07/28/1999	
ORO O 140 Chapter II	05/15/1996	<b>EXTERNAL RELATIONSHIPS</b> SAFEGUARDS AGREEMENT WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY (IAEA)	2 01/26/2001	
ORO O 220 Chapter II	09/30/1996	<b>ASSESSMENTS</b> COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL	1 01/07/1999	
Chapter III	05/31/1996	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS	3 04/02/2002	
Chapter IV	05/31/1996	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES	2 06/18/2001	
Chapter VII	05/31/1996	AUDIT RESOLUTION AND FOLLOWUP	2 06/19/2001	
ORO O 250 Chapter I	08/13/1996	<b>STANDARDS MANAGEMENT</b> ORO STANDARDS MANAGEMENT PROGRAM OVERVIEW	2 04/25/2001	

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B - List of Applicable Directives**

<b>ORO DIRECTIVES</b>				
<p><b>ORO Directives can be found at the following Internet address:</b>  <a href="http://www.ornl.gov/doe_oro_dmg/oro_dir.htm">http://www.ornl.gov/doe_oro_dmg/oro_dir.htm</a></p>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
ORO O 250		<b>STANDARDS MANAGEMENT</b>		
Chapter II	08/13/1996	OAK RIDGE OPERATIONS DIRECTIVES SYSTEM	3 04/25/2001	
Chapter IV	08/13/1996	IMPACT ASSESSMENTS	2 04/26/2001	
Chapter VI	09/30/1996	DIRECTIVE IMPLEMENTATION PLANS AND EXEMPTION REQUESTS	2 04/26/2001	
Chapter VII	08/13/1996	MAINTENANCE OF STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS	2 04/27/2001	
Chapter VIII	04/27/2001	REQUIREMENTS CHANGE NOTICES		
ORO O 350		<b>CONTRACTOR HUMAN RESOURCE PROGRAMS</b>		
Chapter III	05/31/1996	FEDERAL LABOR STANDARDS	2 04/15/2002	
ORO O 410		<b>MANAGEMENT</b>		
Chapter I	09/24/1996	WORK AUTHORIZATION SYSTEM	2 02/06/2002	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 410 Chapter II	05/31/1996	MANAGEMENT MANAGEMENT OF NUCLEAR MATERIALS	2 07/16/2001	
<i>Compliance: Compliance will be contingent upon allocation of required funding.</i>				
ORO O 430 Chapter I	09/30/1996	LIFE CYCLE FACILITY OPERATIONS LIFE CYCLE ASSET MANAGEMENT	5 07/07/1999	
ORO O 440 Chapter V	09/30/1996	WORKER PROTECTION EMPLOYEE CONCERNS MANAGEMENT SYSTEM	2 07/07/1999	
ORO O 450 Chapter III	09/30/1996	PROTECTION OF THE PUBLIC AND ENVIRONMENT CULTURAL RESOURCES MANAGEMENT PROGRAM	1 04/09/1999	
ORO O 470 Chapter I  Chapter VII  Chapter IX	09/30/1996  05/15/1996  05/15/1996	SAFEGUARDS AND SECURITY SAFEGUARDS AND SECURITY PROGRAM PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS	2 07/31/2002  3 11/13/2003  1 05/10/1999	

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B - List of Applicable Directives**

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 470 Chapter XI	09/30/1996	<b>SAFEGUARDS AND SECURITY</b>  SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES	1 04/23/1999	
ORO N 471.2	03/05/1996	TECHNICAL SURVEILLANCE COUNTERMEASURES (TSCM) PROGRAM - Use of Telephone Lineman-Type Handsets or Items Similar in Purpose, Use, or Effect on DOE-Owned or -Leased Property	4 05/31/2002	
ORO O 530 Chapter III	06/18/1996	<b>ACCOUNTING</b>  ACCOUNTING	3 08/08/2001	
<p><i>Compliance: BWXT Y-12 is in compliance with this directive provided that, in lieu of Item 2 of the Contractor Requirements Document, BWXT Y-12 will prepare a Cost Accounting Disclosure Statement in accordance with the format and content as established by the Cost Accounting Standards Board, and submit it to the Director, Business Management, National Nuclear Safety Administration, Y-12 Site Office, for review and approval.</i></p>				
ORO O 550 Chapter II	09/26/2001	<b>TRAVEL AND TRANSPORTATION</b>  FOREIGN TRAVEL AUTHORIZATION		

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B - List of Applicable Directives**

<b>OTHER APPLICABLE DOCUMENTS</b>				
<b>REQUIRED COMPLIANCE DOCUMENT</b>	<b>DATE</b>	<b>TITLE</b>	<b>THROUGH CHANGE</b>	<b>NOTES AND COMMENTS</b>
PROCEDURE	08/31/2001	OAK RIDGE OPERATIONS OFFICE PROCEDURES FOR THE CONDUCT OF INQUIRIES INTO INCIDENTS OF SECURITY CONCERN		See Footnote (2)

**BASELINE LIST OF  
REQUIRED COMPLIANCE DOCUMENTS**

**List B - List of Applicable Directives**

<b>STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)</b>				
<b>FUNCTIONAL AREA (FA)</b>	<b>TITLE</b>	<b>REVISION NUMBER</b>	<b>DOE/ORO APPROVAL DATE</b>	<b>NOTES AND COMMENTS</b>
1.0	MS - Management Systems	7	02/22/2002	
2.0	QA - Quality Assurance	6	01/03/2003	Type 1 Change
3.0	CM - Configuration Management	2	08/23/1996	
4.0	TQ - Training and Qualification	6	10/08/2002	
5.0	EM - Emergency Management	6	10/19/2001	
7.0	EG - Engineering Program	0	01/13/2003	
8.0	CP - Construction Program	0	01/13/2003	
9.0	OP - Operations	3	11/19/2002	Type 1 Change
10.0	MA - Maintenance	7	01/11/2002	
11.0	RP - Radiation Protection	3	08/17/2000	
12.0	FP - Fire Protection	6	01/22/2003	
13.0	TR - Packaging and Transportation	7	05/03/2002	Type 1 Change
18.0	FS - Facility (Nuclear) Safety	10	08/28/2002	Type 1 Change
19.0	SH - Occupational Safety and Health	7	09/03/2002	
20.0	EP - Environmental Protection	7	10/15/2001	
21.0	CS - Nuclear Criticality Safety	1	11/19/2002	Type 1 Change

**FOOTNOTES:**

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This procedure incorporates changes to DOE N 471.3, REPORTING INCIDENTS OF SECURITY CONCERN, dated April 13, 2001.