

2. AMENDMENT/MODIFICATION NO. 213	3. EFFECTIVE DATE 07/01/2010	4. REQUISITION/PURCHASE REQ. NO.	5. PROJECT NO. (If applicable)
6. ISSUED BY CODE	05008	7. ADMINISTERED BY (If other than Item 6) CODE	05008

NNSA/Oakridge Site Office U.S. Department of Energy NNSA/Y-12 Site Office P.O. Box 2050 Building 9704-2 Oak Ridge TN 37831	NNSA/Oakridge Site Office U.S. Department of Energy NNSA/Y-12 Site Office P.O. Box 2050 Building 9704-2 Oak Ridge TN 37831
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8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) BABCOCK & WILCOX TECHNICAL SERVICES Y-12, LLC Attn: WILLIE J. WILSON PO BOX 2009 602 SCARBORO RD., N1.149/MS 8004 OAK RIDGE TN 378318004	9A. AMENDMENT OF SOLICITATION NO. 9B. DATED (SEE ITEM 11) 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-00OR22800 10B. DATED (SEE ITEM 13) 08/31/2000
CODE 141952312 FACILITY CODE	

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)
See Schedule

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
	D. OTHER (Specify type of modification and authority)
X	P.L. 95-91 and I.85 DEAR 970.5204-2

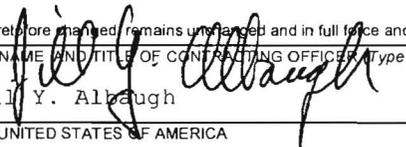
E. IMPORTANT: Contractor is not, is required to sign this document and return _____ 0 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)
The purpose of this modification is to add a Subcontract Plan and update the RCN.

See Page 2.

LIST OF CHANGES:
 Total Amount for this Modification: \$0.00
 New Total Amount for this Version: \$0.00
 New Total Amount for this Award: \$7,717,083,048.91
 Contracting Officer changed from Martha L Youngblood
 Continued ...

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)
	 Jill Y. Albaugh
15B. CONTRACTOR/OFFEROR	16B. UNITED STATES OF AMERICA
_____ (Signature of person authorized to sign)	Signature on File _____ (Signature of Contracting Officer)
15C. DATE SIGNED	16C. DATE SIGNED
	07/23/2010

CONTINUATION SHEET

REFERENCE NO. OF DOCUMENT BEING CONTINUED

DE-AC05-000R22800/213

PAGE OF

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NAME OF OFFEROR OR CONTRACTOR

BABCOCK & WILCOX TECHNICAL SERVICES Y-12, LLC

ITEM NO (A)	SUPPLIES/SERVICES (B)	QUANTITY (C)	UNIT (D)	UNIT PRICE (E)	AMOUNT (F)
	<p>to Jill Y Albaugh</p> <p>A. The enclosed Small Business Subcontracting Plan is incorporated into the contract as Part III --List of Documents, Exhibits and other Attachments, Attachment C -- Small Business Subcontracting Plan.</p> <p>B. The enclosed Requirements Change Notice (RCN) Number NNSA-38 supersedes RCN Number NNSA-37. NNSA -38 is incorporated into the contract at Part III--List of Documents, Exhibits and Other Attachments, Section J Attachments, Attachment E-- Baseline List of Required Compliance Documents.</p> <p>C. The enclosed Requirements Change Notice (RCN) Number NNSA-39 supersedes RCN Number NNSA-38. NNSA -39 is incorporated into the contract at Part III--List of Documents, Exhibits and Other Attachments, Section J Attachments, Attachment E -- Baseline List of Required Compliance Documents.</p> <p>Period of Performance: 08/31/2000 to 09/30/2010</p>				

PART III—LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

Section J. Attachments

ATTACHMENT C – SMALL BUSINESS SUBCONTRACTING PLAN

Name of Contractor: Babcock and Wilcox Technical Services Y-12, LLC
(B&W Y-12)

Address: Bear Creek Road, P.O. Box 2009, Oak Ridge, TN 37831-8001

Contract Number: DE-AC05-00OR22800

Item/Service: Management and Operation of the Y-12 National Security Complex and associated activities

Amount of Contract for FY2010: Estimated: \$1,038,400,000

Period of Contract Performance: October 1, 2000 to September 30, 2010

This individual Small Business Subcontracting Plan describes our approach to involving small business (SB), veteran-owned small business (VOSB), service-disabled veteran-owned small business (SDVOSB), historically underutilized business zone (HUBZone) small business, small disadvantaged business (SDB), and women-owned small business (WOSB) concerns to the maximum extent practicable and to the extent consistent with the government's interest. The B&W Y-12 Small Business Subcontracting Plan is submitted in accordance with FAR 19.708 (b), FAR 52.219-8, and FAR 52.219 9.

I. GOALS

A. PERCENTAGE GOALS

Figure F-1 shows our goals expressed in percentages of total planned subcontracted dollars and dollar values for the B&W Y-12 contract for the use of SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB concerns. The U.S. Department of Energy renegotiates small business goals annually to adjust for mission changes and emergent subcontracting opportunities.

Table F-1 Subcontracted Goals in Percentages and Dollars

Total estimated dollars available for subcontracting \$325,000,000		
Category	Percentage of total estimated subcontracting effort	Dollars (in Millions)
Total planned subcontracting with large businesses (all business concerns classified as "other than small")	55.0%	\$178.8
Total planned subcontracting with small businesses (includes SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB concerns)	45.0%	\$146.3
Total planned subcontracting with veteran-owned small businesses	2.5%	\$8.1
Total planned subcontracting with service-disabled veteran-owned small businesses	1.0%	\$3.25
Total planned subcontracting with HUBZone small businesses	4.0%	\$13.0
Total planned subcontracting with small disadvantaged businesses	10.0%	\$32.5
Total planned subcontracting with women-owned small businesses	10.0%	\$32.5

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B. PRODUCTS AND/OR SERVICES TO BE SUBCONTRACTED

1. Potential Subcontracting Opportunities for Small Business.

Figure F -2 lists the principal categories of subcontracting opportunities that will be made available for SB concerns. The categories shown are for general work groupings only. As additional opportunities are identified, the list will be expanded.

Table F-2 Principal Categories Subcontracting Opportunities

	Other	SB	SDB	WOSB	HUBZone	VOSB	SDVOSB	Estimate %
A&E	◆	◆	◆		◆			1.2%
Construction	◆	◆	◆	◆	◆	◆	◆	10.0%
Consultants	◆	◆	◆	◆				0.04%
Equipment Maintenance/Repair/Calibration	◆	◆	◆	◆		◆	◆	0.4%
General Commodities	◆	◆	◆	◆	◆	◆	◆	21.6%
General Services	◆	◆	◆	◆	◆	◆	◆	29.0%
Professional Services	◆	◆	◆	◆		◆	◆	36.1%
Research and Development	◆	◆		◆		◆	◆	0.3%
Software Maintenance and Licensing	◆	◆	◆	◆	◆	◆	◆	1.1%
Training	◆	◆	◆	◆		◆	◆	0.1%

2. Method Used to Develop Subcontracting Goals

To establish subcontracting goals and commitments, we gathered available B&W Y-12 information, forecasted probable acquisition needs, and analyzed project estimates. We used procurement historical data and experience to determine potential requirements and contingencies. Our subcontracting goals are both realistic and attainable. We will continually identify and review potential sources of supplies and services including, but not limited to, the following:

- a) Government Central Contractor Registration (CCR) Dynamic Small Business Search database (formerly PRO-Net)
- b) State and regional Small Business Administration (SBA) resources.
- c) National Minority Purchasing Council Vendor Information Service.
- d) Research and Information Division of the Minority Business Development Agency in the Department of Commerce.
- e) Trade associations for SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB concerns.
- f) Sponsorship of and/or participation in various local, regional, and national SB trade fairs and conferences.

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- g) Membership in and coordination and cooperation with SB organizations, economic development organizations, and commercial and government organizations at the local, state, and national levels.

3. Indirect Costs

Indirect costs are not included in the goals under this Small Business Subcontracting Plan.

II. PROGRAM ADMINISTRATOR

William Thornton, III. is over our small business administration:

Name: William Thornton
Title: Director, Procurement Operations
Address: 602 Carrboro Rd., N1.215
Post Office Box 2002
Oak Ridge, TN 37831-6501
Telephone: (865) 241-3581
Facsimile: (865) 241-2150
Email: thorntonwiii@y12.doe.gov

Our small business program administrator will ensure that the following activities are performed efficiently and effectively by:

- A. Maintaining source lists of potential SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB subcontractors
- B. Developing and maintaining bidders lists of SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB concerns from as many sources as possible
- C. Ensuring that procurement packages are structured to permit participation of SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB subcontractors to the maximum extent possible
- D. Ensuring inclusion of SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB subcontractors whose capabilities coincide with solicitation requiring their products or services
- E. Seeking other SB concerns when the number of prospective sources is not adequate by using mass media tools, such as Internet bulletin boards, Fed Biz Opps.
- F. Reviewing solicitations to identify and remove any statements, clauses, etc" which may restrict or prohibit participation of SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB subcontractors
- G. Ensuring that proper documentation is provided by the bid proposal team if an SB, VOSB, SDVOSB, HUBZone SB, SDB, or WOSB subcontractor who provided a low bid is not selected.
- H. Ensuring establishment and maintenance of records on solicitations and subcontract award activity
- I. Attending or arranging for attendance of the small business manager at business opportunity workshops, minority business enterprise seminars, trade fairs, etc.

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- J. Monitoring achievements, keeping records, and measuring performance against established goals
- K. Preparing and submitting required semiannual and annual subcontracting reports
- L. Coordinating contractors' activities prior to and during federal agency compliance reviews
- M. Mentoring SBs currently under subcontract and enhancing their ability to provide timely, cost-effective, and quality services
- N. Facilitating contact between SB suppliers and respective procurement and technical/program personnel
- O. Advising and training project management personnel on the purposes of the Small Business Subcontracting Plan and fostering their support of the plan
- P. Attending SB training and monitoring program changes to ensure compliance at B&W Y-12
- Q. Reviewing, revising, and amending applicable procedures and instructions
- R. Verifying that subcontracts contain the flowdown clauses pertaining to SB concerns, when required, and maintaining the policies and procedures required by the prime contract
- S. Reviewing and approving small business subcontracting plans submitted by large businesses, where applicable
- T. Verifying that lower-tier large business subcontractors submit small business subcontracting plans (when applicable) and the required semiannual and annual subcontracting reports, and verifying compliance
- U. Establishing and maintaining contacts and communication with our parent organizations and networking with other SB program advocates within these organizations to support, implement, or enhance the B&W Y-12 SB program
- V. Maintaining good working relationships with SBA representatives to obtain assistance and coordination in finding capable SBs
- W. Maintaining a close working relationship with NNSA to ensure that our project objectives and activities are consistent with NNSA programs
- X. Submitting monthly progress reports to YSO NNSA reporting on small business utilization

III. EQUITABLE OPPORTUNITIES AND OUTREACH EFFORTS

The following additional functions will be performed to effectively implement this plan.

A. B&W Y-12 OUTREACH EFFORTS TO OBTAIN SOURCES

- 1. Provide a full-time onsite small business program manager who will serve as a liaison among the SB community, internal acquisition personnel, and the client.
- 2. Plan solicitations (including time for preparation and development of SOW, quantities, specifications, and delivery schedules) to facilitate SB participation in subcontracting opportunities and solicitations.
- 3. Establish and maintain contacts with SB trade associations and business development organizations.

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4. Attend SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB business procurement conferences and trade fairs.
 5. Conduct external workshops, seminars, and training programs to ensure SBs are familiar with the requirements for doing business at B&W Y-12
 6. Maintain an elective outreach program by sponsoring and attending regional procurement conferences, trade fairs, and other functions to locate additional qualified sources.
 7. Implement an ongoing "in-reach" program that provides SBs access and exposure to key project planners and managers.
 8. Request sources from the SBA commercial market representative and access the CCR Dynamic Small Business search database when needed.
 9. Utilize mass marketing and other media outlets to encourage small business participation.
 10. Develop a comprehensive source list of SB on-site service provider that includes past performance and is easily accessible and useful to acquisition personnel.
 11. Select and qualify SB concerns to perform specific scopes of work.
 12. Structure the program to help develop the capabilities and quality of services provided by SB suppliers and subcontractors currently working at B&W Y-12.
 13. Use book references, catalogs, source lists, or other reference material to identify SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB sources before the acquisitions are placed.
- B. B&W Y-12 INTERNAL EFFORTS TO GUIDE AND ENCOURAGE PURCHASING PERSONNEL
1. Conduct internal workshops, seminars, and training programs to ensure that internal customers and acquisition personnel are acquainted with the Small Business Subcontracting Plan, our policies, and prime contract requirements
 2. Establish, maintain, and use SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB source lists, guides, and other data for soliciting subcontracts
 3. Monitor activities to evaluate compliance with the subcontracting plan
 4. Issue letter from the President and General Manager to show support for the SB program and to encourage the use of small businesses
 5. Establish and maintain B&W Y-12's Small Business Policy

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IV. SMALL BUSINESS UTILIZATION AND SUBCONTRACTING PLAN FLOW DOWN

We incorporate the flowdown clause requirements of FAR 52.219-8 and 9 whereas small business concerns, veteran-owned small business concerns, service-disabled veteran owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns and women-owned small business concerns shall have the maximum practicable opportunity to participate in performing contracts as defined by this plan. Additionally, we will require all subcontractors (except SB concerns) who receive subcontracts in excess of \$550,000 (\$1,000,000 for construction) to adopt a similar plan. Our Program Administrator will be responsible for implementing and monitoring this aspect of the Small Business Subcontracting Plan.

V. REPORTS AND SURVEYS

B&W Y-12 will:

- A. Cooperate in any studies or surveys required by the contracting agency or the U.S. SBA;
- B. Submit periodic reports so that the government can determine the extent of compliance by B&W Y-12 with the subcontracting plan;
- C. Submit electronically "Subcontracting Report for Individual Contracts" (formerly SF294) and "Summary Subcontract Report" (formerly SF295) to a single government-wide system, eSRS;
- D. Ensure that our large business subcontractors agree to submit electronically "Subcontracting Report for Individual Contracts" (formerly SF294) and "Summary Subcontract Report" (formerly SF295). or any other method determined necessary by the Contracting Officer to comply with DOE/NNSA internal procedures/practices;
- E. Provide its prime contract number, its DUNS number, and the e-mail address of the Government or Contractor official responsible for acknowledging or rejecting the reports, to all first-tier subcontractors with subcontracting plans so they can enter this information into the eSRS when submitting their reports; and
- F. Require that each subcontractor with a subcontracting plan provide the prime contract number, its own DUNS number, and the e-mail address of the Government or Contractor official responsible for acknowledging or rejecting the reports, to its subcontractors with subcontracting plans.

VI. RECORDS AND PROCEDURES

B&W Y-12 will adopt and maintain the following types of records and procedures to demonstrate compliance with the requirements and goals of the Small Business Subcontracting Plan.

- A. Source lists (e.g., CCR Dynamic Small Business Search database, formerly PRO-Net) guides and other data that identify SB, SDB, WOSB, HUBZone SB, VOSB, and SDVOSB concerns.
- B. Lists of organizations contacted in an attempt to locate sources that are SB, SDB, WOSB, HUBZone SB, VOSB, or SDVOSB concerns.

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- C. Records on each subcontract solicitation resulting in an award of more than \$100,000, indicating:
 - 1. Whether SB concerns were solicited and, if not, why not
 - 2. Whether SDB concerns were solicited and, if not, why not
 - 3. Whether WOSB concerns were solicited and, if not, why not
 - 4. Whether HUBZone SB concerns were solicited and, if not, why not
 - 5. Whether VOSB concerns were solicited and, if not, why not
 - 6. Whether SDVOSB concerns were solicited and, if not, why not
 - 7. If applicable, the reason an award was not made to an SB concern
- D. Records of any outreach efforts to contact trade associations, business development organizations, conferences, and trade fairs to locate SB, SDB, WOSB, HUBZone SB, VOSB, and SDVOSB sources.
- E. Records of internal guidance and encouragement provided to acquisition personnel through workshops, seminars, training programs, and incentive awards and records of performance monitoring to evaluate compliance with the program's requirements.
- F. On a contract-by-contract basis, records to support award data submitted, including the name, address, and business size of each subcontractor.

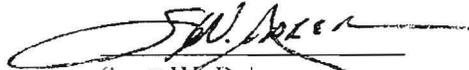
PART III—LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

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ATTACHMENT C - SMALL BUSINESS SUBCONTRACTING PLAN

This subcontracting plan was submitted by:

Signed:


Scott W. Baker

Date:

6-9-10

Typed Name:

Scott W. Baker

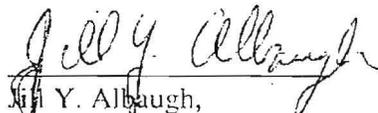
Title:

Senior Vice President, Business Services

Phone Number:

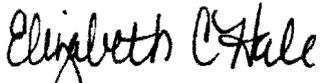
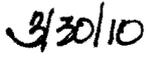
865-574-2223

Plan accepted by:


Jill Y. Albaugh,
NNSA/YSO Contracting Officer

Date:

6-11-2010

DOE Form (04/1991) U.S. Department of Energy Requirements Change Notice	No.: NNSA-38 Page 1 of 40 Pages
PROJECT: Baseline List of Required Compliance Documents	LOCATION: Oak Ridge, Tennessee
CONTRACTOR: Babcock & Wilcox Technical Services Y-12, LLC	
CONTRACT NO.: DE-AC05-00OR22800, I.85, Laws, Regulations, and DOE Directives (December 2000), DEAR 970.5204-2	DATE OF CONTRACT: August 31, 2000
<p>This Requirements Change Notice (RCN) No. NNSA-38 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents that have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. Changes to Section J, Attachment E, are indicated by bold type.</p> <p>Below is a list of the directives incorporated herein:</p>	
<p><u>ADDITIONS:</u></p>	
<p>DOE O 313.1 NA SD M 452.3-1 YSO-CRD-09-01, Admin Chg 1</p>	
<p><u>DELETIONS:</u></p>	
<p>None</p>	
<p><u>COMPLIANCE LINE UPDATES:</u></p>	
<p>DOE O 430.2B, modified compliance line NA-10 WQAPM, modified compliance line NAP 14.1.-C, modified compliance line NAP 14.2-C, modified compliance line TN Oversight Agreement, deleted a footnote</p>	
<p><u>UPDATES:</u></p>	
<p>S/RID 1.0 - Revised the Records and Document Management Section requirements of the Management Systems functional area S/RID 5.0 - Revised the Emergency Management functional area requirements S/RID 7.0 - Revised the Engineering Program functional area requirements S/RID 8.0 - Revised the Construction Program functional area requirements S/RID 11.0 - Revised the Radiation Protection functional area requirements S/RID 20.0 - Revised the Environmental Protection functional area requirements</p>	
DOE AUTHORIZING SIGNATURE:  Elizabeth C. Hale, Contracting Officer's Representative for Directives	DATE: 

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-968</u> ----- NA-10 WQAPM	03/30/2009	NA-10 Weapon Quality Assurance Procedures Manual		DOE Comment/ Concurrence Form, (Revised) Implementation Plan - Approval dtd 12/07/2009 DOE Comment/ Concurrence Form – Implementation Plan (with Comments), dtd 10/15/2009 B&W Y-12 ltrs dtd 11/12/2009 and 09/14/2009
<i>Modification of Compliance Line: Compliance will be in accordance with an implementation plan approved by DOE on 12/07/2009.</i>				
<u>RD-969</u> ----- YSO-CRD-09-01	09/25/2009	Projects Contractor Requirements Document (CRD)	Admin Chg 11/24/2009	DOE ltr dtd 11/24/2009 B&W Y-12 ltr dtd 12/09/2009
<i>Addition</i>				
<u>RD-970</u> ----- DOE O 430.2B	02/27/2008	Departmental Energy, Renewable Energy and Transportation Management		DOE Comment/ Concurrence Form, Implementation Plan (Revised) – Approval dtd 01/04/2010 B&W Y-12 ltr dtd 12/09/2009
<i>Modification of Compliance Line: This Order implements parts of the total program directed at strengthening federal environmental, energy and transportation management. It combines with Executive Order 13423, “Strengthening Federal, Environmental, Energy, and Transportation Management,” and DOE O 450.1A, “Environmental Protection Program,” to form the total program. B&W Y-12 currently is not in full compliance with the total program. Thus, compliance will be in accordance with a revised implementation plan approved by DOE on 01/04/2010 that addresses the total program.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-971</u> S/RID 1.0 Rev. 22	01/12/2010	MANAGEMENT SYSTEMS		YSO-CRD-06-01, Rev. 2, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1) B&W Y-12 e-mail message dtd 01/12/2010
<i>Type 1 change to the Records and Document Management Section of the Management Systems functional area to correct a typographical error in the title of the requirement text for RUID 11813.</i>				
<u>RD-972</u> S/RID 11.0 Rev. 9	01/25/2010	RADIATION PROTECTION		YSO-CRD-06-01, Rev. 2, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1) B&W Y-12 e-mail message dtd 01/26/2010
<i>Type 1 change to update the company name from BWXT Y-12, L.L.C., to B&W Y-12, LLC; and change the Radiation Protection Program (RPP) Appendix 1 to RPP, Appendix.</i>				
<u>RD-973</u> S/RID 7.0 Rev. 36	01/25/2010	ENGINEERING PROGRAM		YSO-CRD-06-01, Rev. 2, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1) B&W Y-12 e-mail message dtd 01/26/2010
<i>Type 1 change to update the company name from BWXT Y-12, L.L.C., to B&W Y-12, LLC; and change the Radiation Protection Program (RPP) Appendix 1 to RPP, Appendix.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<p>RD-974 ----- S/RID 8.0 Rev. 31</p>	<p>01/25/2010</p>	<p>CONSTRUCTION PROGRAM</p>		<p>YSO-CRD-06-01, Rev. 2, "Standards/ Requirements Identification Document" section, paragraph 5.b.(1) B&W Y-12 e-mail message dtd 01/26/2010</p>
<p><i>Type 1 change to update the company name from BWXT Y-12, L.L.C., to B&W Y-12, LLC; and change the Radiation Protection Program (RPP) Appendix 1 to RPP, Appendix.</i></p>				
<p>RD-975 ----- NAP 14.1-C</p>	<p>05/02/2008</p>	<p>NNSA Baseline Cyber Security Program</p>		<p>NNSA/YSO Comment/ Concurrence Form, Implementation Plan, with comments, dated 01/25/2010 DOE e-mail message dated 11/26/2008 B&W Y-12 ltrs dtd 11/12/2008, 12/10/2008, and 11/19/2009</p>
<p><i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan to be submitted to DOE by 02/24/2010.</i></p>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-976</u> ----- NAP 14.2-C	05/02/2008	NNSA Baseline Cyber Security Program		NNSA/YSO Comment/Concurrence Form, Implementation Plan, with comments, dated 01/25/2010 DOE e-mail message dated 11/26/2008 B&W Y-12 ltrs dtd 11/12/2008, 12/10/2008, and 11/19/2009
<i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan to be submitted to DOE by 02/24/2010.</i>				
<u>RD-977</u> ----- S/RID 20.0 Rev. 21	01/28/2010	ENVIRONMENTAL PROTECTION		YSO-CRD-06-01, Rev. 2, "Standards/Requirements Identification Document" section, paragraph 5.b.(1) B&W Y-12 e-mail message dtd 01/28/2010
<i>Type 1 change to update the Source Document table to reflect the current version of the Tennessee Oversight Agreement, dated 06/06/2006.</i>				
<u>RD-978</u> ----- DOE O 313.1	11/19/2009	Management and Funding of the Department's Overseas Presence		DOE ltr dtd 01/04/2010 B&W Y-12 ltr dtd 01/28/2010
<i>Addition</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-979</u> S/RID 5.0 Rev. 21	02/18/2010	EMERGENCY MANAGEMENT		NNSA/YSO Comment/ Concurrence Form, S/RID Change Pkg #2010-04-EM, dtd 02/18/2010 B&W Y-12 ltr dtd 02/10/2010
<i>Type 2 change to update the requirements taken from the Tennessee Oversight Agreement, dated 06/27/1996, to reflect the current version, dated 06/06/2006.</i>				
<u>RD-980</u> TN Oversight Agreement	06/06/2006	Tennessee Oversight Agreement (TOA)		NNSA/YSO Comment/ Concurrence Form, S/RID Change Pkg #2010-04-EM, dtd 02/18/2010 and DOE e-mail messages dtd 12/22/2009 B&W Y-12 ltr dtd 02/10/2010 and B&W Y-12 e-mail msg dtd 01/28/2010
<i>Delete Footnote (5). The Emergency Management and Environmental Protection functional areas of the S/RID have been revised to update the Tennessee Oversight Agreement to reflect the revision dated 06/06/2006.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-981</u> ----- NAP 14.1-C	05/02/2008	NNSA Baseline Cyber Security Program		NNSA/YSO Comment/Concurrence Form, Implementation Plan, with comments, dated 01/25/2010 DOE e-mail message dated 11/26/2008 B&W Y-12 ltrs dtd 11/12/2008, 12/10/2008, 11/19/2009, and 02/23/2010
<i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 02/23/2010.</i>				
<u>RD-982</u> ----- NAP 14.2-C	05/02/2008	NNSA Baseline Cyber Security Program		NNSA/YSO Comment/Concurrence Form, Implementation Plan, with comments, dated 01/25/2010 DOE e-mail message dated 11/26/2008 B&W Y-12 ltrs dtd 11/12/2008, 12/10/2008, 11/19/2009, and 02/23/2010
<i>Modification of Compliance Line: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 02/23/2010.</i>				
<u>RD-983</u> ----- S/RID 20.0 Rev. 22	02/25/2010	ENVIRONMENTAL PROTECTION		NNSA/YSO Comment/Concurrence Form, S/RID Change Pkg #2010-03-EP, dtd 02/25/2010 and DOE B&W Y-12 ltr dtd 02/04/2010
<i>Type 2 change to add an implementation assumption to a requirement taken from 40 CFR 1500-1507 to address DOE Policy on Documentation and Online Posting of Categorical Exclusion Determinations, NEPA Process Transparency and Openness, dated October 16, 2009.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-984</u> NA SD M 452.3-1	12/10/2009	Defense Programs Business Requirements and Processes Manual		DOE ltr dtd 02/03/2010 B&W Y-12 ltr dtd 02/26/2010
<i>Addition with the following compliance line: Full implementation of this directive is contingent upon an impact assessment of all of the documents associated with RMI.</i>				
<u>RD-985</u> NAP 14.1-C	05/02/2008	NNSA Baseline Cyber Security Program		NNSA/YSO Comment/Concurrence Form, Implementation Plan, with comments, dated 03/02/2010 NNSA/YSO Comment/Concurrence Form, Implementation Plan, with comments, dated 01/25/2010 DOE e-mail message dated 11/26/2008 B&W Y-12 ltrs dtd 11/12/2008, 12/10/2008, 11/19/2009, and 02/23/2010
<i>Modification of Compliance Line: Compliance will be in accordance with a revised implementation plan conditionally approved by DOE on 03/02/2010.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-986</u> <hr/> NAP 14.2-C	05/02/2008	NNSA Baseline Cyber Security Program		NNSA/YSO Comment/ Concurrence Form, Implementation Plan, with comments, dated 03/02/2010 NNSA/YSO Comment/ Concurrence Form, Implementation Plan, with comments, dated 01/25/2010 DOE e-mail message dated 11/26/2008 B&W Y-12 ltrs dtd 11/12/2008, 12/10/2008, 11/19/2009, and 02/23/2010
<hr/> <i>Modification of Compliance Line: Compliance will be in accordance with a revised implementation plan conditionally approved by DOE on 03/02/2010.</i>				

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3A	01/25/2007	CONFERENCE MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 09/20/2007.</i>				
DOE O 130.1	09/29/1995	BUDGET FORMULATION		Extended until 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		Cancels portions of Chapter VIII of DOE O 470.1 pertaining to foreign nationals who visit DOE sites/facilities and require access to classified information.
DOE M 142.2-1	09/04/2008	MANUAL FOR IMPLEMENTATION OF THE VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 142.2A	12/15/2006	VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		
DOE O 142.3	06/18/2004	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM	1 02/28/2008	
DOE O 200.1A	12/23/2008	INFORMATION TECHNOLOGY MANAGEMENT		
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		Extended until 12/31/2001 by DOE N 251.40.
DOE O 205.1A	12/04/2006	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT		
<i>Compliance: Compliance will be in accordance with the NAP Implementation Plan. In the interim, Y-12 will continue its unclassified system accreditations under the Cyber Security Program Plan (CSPP).</i>				
DOE M 205.1-3	04/17/2006	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		
DOE M 205.1-4	03/08/2007	NATIONAL SECURITY SYSTEM MANUAL		
<i>Compliance: Based on this directive, compliance will be contingent upon an impact assessment of the revised Program Cyber Security Plan (PCSP) to be developed by NNSA. Pending that impact assessment, classified information system certification and accreditation will continue to be governed by DOE M 471.2-2, "Classified Information Systems Security Manual."</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 205.1-5	08/12/2008	CYBER SECURITY PROCESS REQUIREMENTS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-6	12/23/2008	MEDIA SANITIZATION MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-7	01/05/2009	SECURITY CONTROLS FOR UNCLASSIFIED INFORMATION SYSTEMS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-8	01/08/2009	CYBER SECURITY INCIDENT MANAGEMENT MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE O 206.1	01/16/2009	DEPARTMENT OF ENERGY PRIVACY PROGRAM		
<i>Compliance: Compliance will be contingent upon approval of an implementation plan submitted to DOE on 05/28/2009.</i>				
DOE N 206.4	06/29/2007	PERSONAL IDENTITY VERIFICATION		Extended by DOE N 251.74 until 06/09/2009 or until superseded.

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 210.2	06/12/2006	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		
DOE O 221.1A	04/19/2008	REPORTING FRAUD, WASTE AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2A	02/25/2008	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
----- <i>Compliance: Compliance with this Order is not intended to abrogate the constitutional rights of B&W Y-12's employees, subcontractors, or other support personnel.</i>				
DOE O 221.3A	04/19/2008	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 224.3	01/24/2005	AUDIT RESOLUTION AND FOLLOW-UP PROGRAM		See Footnote (4)
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT	1 10/14/2003	
DOE M 251.1-1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM MANUAL		

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DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE N 203.1, DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3, DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001.
DOE N 251.64	07/07/2005	EXTENSION OF DOE DIRECTIVES		Extends DOE O 471.1A, DOE M 471.1-1, Chg. 1, DOE M 472.1-1B, and DOE N 473.9 until 07/07/2006.
DOE N 251.74	11/24/2008	EXTENSION OF DOE N 206.4		Extends DOE N 206.4 until 06/29/2009 or until superseded.

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		
DOE O 313.1	11/19/2009	MANAGEMENT AND FUNDING OF THE DEPARTMENT'S OVERSEAS PRESENCE		
DOE O 323.1	08/01/2004	GARNISHMENT OF FEDERAL EMPLOYEES' PAY	1 06/04/2008	
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	1 05/08/1998	
DOE O 350.2A	10/29/2003	USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA		
DOE O 410.2	08/17/2009	MANAGEMENT OF NUCLEAR MATERIALS		
DOE O 412.1A	04/21/2005	WORK AUTHORIZATION SYSTEM		
DOE O 413.1B	10/28/2008	INTERNAL CONTROL PROGRAM		
DOE O 413.3A	07/28/2006	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS	1 11/17/2008	This Order cancels Chapters 1 through 3 of DOE M 413.3-1.
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT	1 02/08/2008	

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DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 430.2B	02/27/2008	DEPARTMENTAL ENERGY, RENEWABLE ENERGY AND TRANSPORTATION MANAGEMENT		
<p><i>Compliance: This Order implements parts of the total program directed at strengthening federal environmental, energy and transportation management. It combines with Executive Order 13423, "Strengthening Federal, Environmental, Energy, and Transportation Management," and DOE O 450.1A, "Environmental Protection Program," to form the total program. B&W Y-12 currently is not in full compliance with the total program. Thus, compliance will be in accordance with a revised implementation plan approved by DOE on 01/04/2010 that addresses the total program.</i></p>				
DOE P 442.1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH		
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
DOE O 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 452.3	06/08/2005	MANAGEMENT OF THE DEPARTMENT OF ENERGY NUCLEAR WEAPONS COMPLEX		
DOE M 452.4-1A	03/11/2004	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 457.1	02/07/2006	NUCLEAR COUNTERTERRORISM		
DOE M 457.1-1	08/10/2006	CONTROL OF IMPROVISED NUCLEAR DEVICE INFORMATION (OFFICIAL USE ONLY)		
DOE P 470.1	05/08/2001	INTEGRATED SAFEGUARDS AND SECURITY MANAGEMENT (ISSM) POLICY		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40.

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001.
DOE O 470.3B	08/12/2008	GRADED SECURITY PROTECTION (GSP) POLICY		
<i>Compliance: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 05/18/2009.</i>				
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				

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DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 470.4-3A	11/05/2008	CONTRACTOR PROTECTIVE FORCE		
<i>Compliance: Compliance will be in accordance with the requirements limited to Chapter I, page I-3, paragraph 2.c, Chapter I, page I-11, paragraphs 6.a.(1) through (4); Chapter III, page III-3, paragraphs 4.a.(1) through (6); and Chapter V, page V-7, paragraph 3.b.(9)(b)1, first sentence in part as it relates to providing telephone service at fixed protective force posts; and with an approved deviation as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-4A	01/16/2009	INFORMATION SECURITY MANUAL		
<i>Compliance: Compliance with this Manual will be in accordance with the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS), except for the requirements contained in Paragraph 2 of the "Contractor Requirements Document" for which compliance is contingent upon an implementation plan to be based upon further guidance from NNSA/YSO with respect to those requirements.</i>				
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE M 470.4-6	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	1 08/14/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-7	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM REFERENCES		
DOE O 471.1A	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		Extended until 07/07/2006 by DOE N 251.64.

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 471.1-1	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL	1 10/23/2001	Extended until 07/07/2006 by DOE N 251.64.
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6), and Chapter VII, paragraph 12a(2)(a) are cancelled by DOE N 205.3. Chapter III, Section 8, "Incident Reporting," is cancelled by DOE N 205.4.
DOE M 471.2-3B	10/29/2007	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES (OFFICIAL USE ONLY)		
DOE M 471.2-4	02/06/2004	TECHNICAL SURVEILLANCE COUNTERMEASURES (OFFICIAL USE ONLY)		Cancelled by DOE M 470.4-4 except for the classified annex.
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		

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DOE DIRECTIVES				
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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
DOE M 475.1-1B	08/28/2007	MANUAL FOR IDENTIFYING CLASSIFIED INFORMATION		
DOE O 475.2	08/28/2007	IDENTIFYING CLASSIFIED INFORMATION		
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		
DOE O 484.1	08/17/2006	REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY		

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DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
<i>Compliance: B&W Y-12 is in compliance with the applicable requirements of this document with the exception of specifically approved exemptions on pass-through costs. These exemptions are reflected in the latest approved B&W Y-12 Cost Accounting Standards Board Disclosure Statement.</i>				
DOE O 534.1B	01/06/2003	ACCOUNTING		
<i>Compliance: Compliance with this Order includes compliance with Chapters 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 15, 17, and 21 of the "DOE Accounting Handbook," with the exception that production support costs will be handled as direct costs rather than indirect costs based on the FY 2006 Congressional Budget Funding Schedule for Directed Stockpile Work.</i>				
DOE O 551.1C	06/24/2008	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be in accordance with B&W Y-12 letter dated 11/18/2009.</i>				
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1)

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 5560.1A	05/08/1985	PRIORITIES AND ALLOCATIONS PROGRAM		
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		
<i>Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.</i>				

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL-SD 56XB, Revision 2	03/31/2004	DEVELOPMENT AND PRODUCTION MANUAL	10 08/22/2007	
IBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue B	
IBP-401	11/30/2006	PRODUCT DEFINITION EXCHANGE PROCESS	Issue A	
IBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue B	
NA-10 WQAPM	03/30/2009	NA-10 WEAPON QUALITY ASSURANCE PROCEDURES MANUAL		
<i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 12/07/2009.</i>				
NA SD M 452.3-1	12/10/2009	DEFENSE PROGRAMS BUSINESS REQUIREMENTS AND PROCESSES MANUAL		
<i>Compliance: Full implementation of this directive is contingent upon an impact assessment of all of the documents associated with RMI.</i>				
PSLM	02/16/2006	PRIMARY STANDARDS LABORATORY MEMORANDUM		
TBP-CM	02/14/2005	CONFIGURATION MANAGEMENT	Issue A	
TBP-PRP	05/04/2001	PRODUCT REALIZATION PROCESS	Issue E	
TBP-SYS	10/20/2004	NWC TECHNICAL BUSINESS PRACTICE SYSTEM	Issue H	
TBP-000	05/04/2001	PROGRAM MANAGEMENT	Issue E	
TBP-001	10/02/2000	MAJOR ASSEMBLY RELEASE SYSTEM	Issue C	

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-100	09/16/2005	CONCURRENT QUALIFICATION	Issue G	
TBP-101	01/22/2007	ENGINEERING EVALUATION PROCESS	Issue H	
TBP-200	09/18/2006	PRODUCT IDENTIFICATION AND TRACEABILITY	Issue E	
TBP-201	09/18/2006	WEAPON IDENTIFICATION SYSTEMS AND MARKING CRITERIA	Issue D	
TBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue E	
TBP-203	02/20/2004	WEAPON RECORD CARDS	Issue E	
TBP-300	02/15/2005	PRODUCT DEFINITION	Issue E	
TBP-301	10/02/2006	METHODS OF DEFINITION	Issue J	
TBP-302	09/18/2006	PRODUCT AND EQUIPMENT DESIGNATIONS	Issue D	
TBP-303	01/24/2007	SEVEN-DIGIT MATERIAL AND PROCESS SPECIFICATIONS	Issue D	
TBP-304	03/11/2003	DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM	Issue E	
TBP-305	09/18/2006	CONFIGURATION OF TYPE WEAPONS	Issue D	
TBP-306	05/02/2003	SOFTWARE PRODUCT PROCESSES	Issue E	
TBP-307	05/02/2003	USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS	Issue D	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-308	02/06/2006	COMPUTER-AIDED DESIGN PROCESS FOR ELECTRICAL PRODUCTS	Issue A	
TBP-400	10/02/2000	DESIGN CONTROL	Issue D	
TBP-401	11/30/2006	DEFINITION CONTROL	Issue E	
TBP-402	09/22/2004	PRODUCT CONTROL	Issue F	
TBP-403	02/13/2006	REVIEWS	Issue E	
TBP-404	05/04/2005	ENGINEERING AUTHORIZATION SYSTEM	Issue F	
TBP-502	01/21/2002	DISTRIBUTION OF NON-ELECTRONIC PRODUCT DEFINITION USING ELECTRONIC TRANSMITTAL METHODS	Issue A	
TBP-600	10/02/2000	PROCUREMENT	Issue D	
TBP-601	10/02/2000	PROCUREMENT CLASSES OF WEAPON PRODUCT	Issue C	
TBP-602	03/11/2003	INTERPRETATION OF PROCUREMENT INDEX	Issue D	
TBP-700	03/11/2003	PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE	Issue E	
TBP-701	04/19/2007	ACCEPETANCE EQUIPMENT INTERFACES	Issue D	
TBP-702	05/29/2007	NONCONFORMING MATERIAL	Issue F	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-703	06/01/2001	PRODUCT REPROCESSING AND REWORKING	Issue D	
TBP-704	10/02/2000	EVALUATION AND DISPOSITION OF DISCREPANT WEAPON MATERIAL	Issue D	
TBP-800	07/30/2001	STOCKPILE MANAGEMENT	Issue F	
TBP-801	10/02/2000	LABORATORY AND FLIGHT TEST MATERIAL	Issue D	
TBP-802	10/02/2000	TELEMETRY PROCEDURES	Issue D	
TBP-803	12/06/2006	NON-NUCLEAR ASSURANCE PROGRAM	Issue F	
TBP-804	12/06/2006	STOCKPILE SUPPORT MATERIAL	Issue F	
TBP-805	12/06/2006	REPAIR AND RETROFIT OF WEAPONS AND ANCILLARY EQUIPMENT	Issue F	
TBP-901	10/02/2000	INTEGRATED SAFETY PROCESS FOR NUCLEAR WEAPONS OPERATIONS AND FACILITIES	Issue B	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

ORO DIRECTIVES				
ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe/doe_oro_dmg/oro_chklst.htm				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 350 Chapter III	05/31/1996	CONTRACTOR HUMAN RESOURCE PROGRAMS FEDERAL LABOR STANDARDS	3 01/26/2004	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
41 CFR 102-192	08/25/2008	MAIL MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 03/26/2009.</i>				
42 U.S.C.A. 1395y	07/15/2008	THE PUBLIC HEALTH AND WELFARE		
BOP-003.08	05/30/2008	MANAGEMENT AND OPERATING CONTRACTOR BUSINESS MEALS AND LIGHT REFRESHMENT		
<i>Compliance: Compliance will be in accordance with the Advance Agreement, Controlled Business Expense (CBE), approved by DOE on 05/07/2009.</i>				
BOP-50.002	09/19/2006	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) VALUE MANAGEMENT (VM) POLICY		
BOP-50.003	06/06/2007	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) INDEPENDENT PROJECT REVIEW (IPR) POLICY		
<i>Compliance: Compliance with respect to Operation Expense and Work for Others projects will be addressed upon receipt of Y-12 Site Office implementation guidance.</i>				
DOE-HQ Memorandum	11/08/2006	IMPROVED CYBER SECURITY PROTECTION FOR CLASSIFIED COMPUTER SYSTEMS		
DOE-HQ Memorandum	01/27/2009	COMPUTER LOSS/THEFT REPORTING REQUIREMENTS		

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
EO 13502	02/06/2009	USE OF PROJECT LABOR AGREEMENTS FOR FEDERAL CONSTRUCTION PROJECTS		
MANUAL	04/01/2007	BASELINE SECURITY MANUAL (OFFICIAL USE ONLY)		
NAP 14.1-C	05/02/2008	NNSA BASELINE CYBER SECURITY PROGRAM		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan conditionally approved by DOE on 03/02/2010.</i>				
NAP 14.2-C	05/02/2008	NNSA CERTIFICATION AND ACCREDITATION (C&A) PROCESS FOR INFORMATION SYSTEMS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan conditionally approved by DOE on 03/02/2010.</i>				
NAP 14.3-B	05/02/2008	TRANSMISSION OF RESTRICTED DATA OVER SECRET INTERNET PROTOCOL ROUTER NETWORK (SIPRNet)		See Footnote (2)
OMB-Circular A-123	12/21/2004	MANAGEMENT'S RESPONSIBILITY FOR INTERNAL CONTROL		
OPS-323	10/21/2004	DATA INTERFACE AGREEMENT: INTEGRATED CONTRACTOR INTERFACE FOR DEPARTMENT OF ENERGY I-MANAGE PROGRAM – STARS PROJECT		

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS****List B – List of Applicable Directives**

OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
YSO-CRD-06-01 Revision 2	02/25/2009	DIRECTIVES SYSTEM PROCESS		
YSO CRD-09-01	09/25/2009	PROJECTS CONTRACTOR REQUIREMENTS DOCUMENT (CRD)	Admin. Chg. 11/24/2009	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)				
FUNCTIONAL AREA (FA)	TITLE	REVISION NUMBER	DOE/YSO APPROVAL DATE	NOTES AND COMMENTS
1.0	MS - Management Systems	22	01/12/2010	
2.0	QA - Quality Assurance	14	11/24/2008	
3.0	CM - Configuration Management	7	09/29/2009	Type 1 Change
4.0	TQ - Training and Qualification	15	11/26/2008	Type 1 Change
5.0	EM - Emergency Management	21	02/18/2010	
7.0	EG - Engineering Program	36	01/25/2010	Type 1 Change
8.0	CP - Construction Program	31	01/25/2010	Type 1 Change
9.0	OP - Operations	5	09/29/2009	Type 1 Change
10.0	MA - Maintenance	16	09/29/2009	Type 1 Change
11.0	RP - Radiation Protection	9	01/25/2010	Type 1 Change
12.0	FP - Fire Protection	15	04/10/2008	
13.0	TR - Packaging and Transportation	17	12/16/2008	
18.0	FS - Facility (Nuclear) Safety	21	11/09/2009	
19.0	SH - Occupational Safety and Health	31	04/24/2008	
20.0	EP - Environmental Protection	22	02/25/2010	
21.0	CS - Nuclear Criticality Safety	4	06/04/2009	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
10 CFR	01/24/1997	ENERGY		MS, QA, TQ, EM, EG, CP, RP, FP, FS, SH, EP
28 CFR	07/01/1997	JUDICIAL ADMINISTRATION		FP
29 CFR	04/23/1998	LABOR		CM, TQ, EM, EG, CP, FP, FS
33 CFR		NAVIGATION AND NAVIGABLE WATERS		CP, EP
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		MS, EM, EG, CP, FP, EP
40 CFR		PROTECTION OF THE ENVIRONMENT		EM, EG, CP, TR, FS, SH, EP
40 CFR 144		SAFE DRINKING WATER ACT		EP
43 CFR		PUBLIC LANDS: INTERIOR		EP
48 CFR		FEDERAL ACQUISITION REGULATIONS SYSTEM		MS
49 CFR	10/01/2000	TRANSPORTATION		TQ, EM, TR
50 CFR		WILDLIFE AND FISHERIES		EP
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	EG, CP, EP
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2 10/23/2001	CM, TQ, EG, CP, OP

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	TQ, EG, CP
5480.21	12/24/1991	UNREVIEWED SAFETY QUESTIONS		TQ
DE-AC05-00OR22800	08/31/2000	BWXT Y-12, L.L.C. CONTRACT WITH DOE/NNSA		MS, EG, CP
DOE O 150.1	05/08/2008	CONTINUITY PROGRAMS		EM
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		TQ, EM, EG, CP
DOE O 153.1	06/27/2007	DEPARTMENTAL RADIOLOGICAL EMERGENCY RESPONSE ASSETS		EM
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		EG, CP, SH
DOE O 226.1A	07/31/2007	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		QA, TQ
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2 06/12/2007	EG, CP, RP, FP, SH, EP
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		MS
DOE O 341.1A	10/18/2007	FEDERAL EMPLOYEE HEALTH SERVICES		SH

**BASELINE LIST OF
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List B – List of Applicable Directives

APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE O 414.1C	06/17/2005	QUALITY ASSURANCE		QA, TQ
DOE O 420.1B	12/22/2005	FACILITY SAFETY		EM, EG, CP, OP, FP, CS
DOE G 420.1-3	09/27/2007	IMPLEMENTATION GUIDE FOR DOE FIRE PROTECTION AND EMERGENCY SERVICES PROGRAMS FOR USE WITH DOE O 420.1B, FACILITY SAFETY		EG, FP
DOE G 421.1-2	10/24/2001	IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830		FS
DOE O 425.1C	03/13/2003	STARTUP AND RESTART OF NUCLEAR FACILITIES		MS
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		EG, MA
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		CM, EG, TQ
DOE O 433.1A	02/13/2007	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		EG, CP, MA
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		TQ, EG, CP, EP
DOE O 435.1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT	1 08/28/2001	EP

**BASELINE LIST OF
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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE, FEDERAL, AND CONTRACTOR EMPLOYEES		EG
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY	1 11/19/2006	TR
DOE M 441.1-1	03/07/2008	NUCLEAR MATERIAL PACKAGING MANUAL		EG
DOE M 442.1-1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY AND HEALTH		SH
DOE O 450.1A	06/04/2008	ENVIRONMENTAL PROTECTION PROGRAM		EP
DOE M 450.4-1	11/01/2006	INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL		SH
DOE P 456.1	09/15/2005	SECRETARIAL POLICY STATEMENT ON NANOSCALE SAFETY		SH
DOE O 460.1B	04/04/2003	PACKAGING AND TRANSPORTATION SAFETY		TR
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		TR
DOE M 461.1-1	09/29/2000	PACKAGING AND TRANSFER OF MATERIALS OF NATIONAL SECURITY INTEREST MANUAL	1 07/26/2005	TR

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE O 461.1A	04/26/2004	PACKAGING AND TRANSFER OR TRANSPORTATION OF MATERIALS OF NATIONAL SECURITY INTEREST		TQ, TR
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	EM
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	TQ, TR
DOE-SNL-TYPEBARG	02/29/2008	TIEDOWN PROCEDURES FOR TYPE A, TYPE B, ARG, AND MISCELLANEOUS CONTAINERS		TR
DOE-STD-1066-99	07/31/1999	DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA		EG
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT		MS, CM, EG, CP
DOE-STD-1083-2009	06/2009	PROCESSING EXEMPTIONS TO NUCLEAR SAFETY RULES AND APPROVAL OF ALTERNATIVE METHODS FOR DOCUMENTED SAFETY ANALYSES		MS, FS
DOE-STD-1090-2007	08/2007	HOISTING AND RIGGING		SH
DOE-STD-1189-2008	03/01/2008	INTEGRATION OF SAFETY INTO THE DESIGN PROCESS		EG

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE-STD-3003-2000	01/31/2000	DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES		EG
DOE-STD-3024-98	10/31/1998	DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS		EG
EO 12898		ENVIRONMENTAL JUSTICE		EP
FFCA		FEDERAL FACILITIES COMPLIANCE ACT		EP
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		EG, CP, FP
ORR PCB FFCA		OAK RIDGE RESERVATION POLYCHLORINATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT		EP
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		EG, CP
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		MS, QA, EG
SEN-35-91	09/09/1991	NUCLEAR SAFETY POLICY		TQ
STANDARD BUILDING CODE (SBC)		SOUTHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)		CP
TITLE 55 AND 65		TENNESSEE MOTOR VEHICLE LAWS ANNOTATED		TR
TENNESSEE CODE, TITLE 68	04/23/1998	HEALTH, SAFETY AND ENVIRONMENTAL PROTECTION		FP

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
TCA TITLE 69		WATERS, WATERWAYS, DRAINS AND LEVEES		EP
THWRA		TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)		EP
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		EM, EG
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		CP, EP
TN 1200-1-13		TENNESSEE INACTIVE HAZARDOUS SUBSTANCE SITE REMEDIAL ACTION PROGRAM	1	EP
TN 1200-1-7		TENNESSEE SOLID WASTE RULES		EP
TN 1200-1-7.02		TENNESSEE SOLID WASTE DISPOSAL ACT		EP
TN 1200-3-10		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-20		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-27		TENNESSEE AIR QUALITY ACT		EP
TN 1200-4-5		TENNESSEE WATER QUALITY CONTROL ACT		EP
TN 1200-5-8	10/19/2003	WATER REGISTRATION REQUIREMENTS		EP

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
TN OVERSIGHT AGREEMENT	06/06/2006	TENNESSEE OVERSIGHT AGREEMENT (TOA)		EM, EP
TWRA		TENNESSEE WILDLIFE RESOURCES AGENCY (TWRA) BOATING REGULATIONS		TR
YSO-CRD-02-01, Rev. 2	02/15/2008	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		EG, FS
YSO-CRD-03-01, Rev. 4	03/21/2008	START-UP AND RESTART OF OPERATIONS, ACTIVITIES, & FACILITIES AT Y-12		MS
YSO-CRD-07-01 Rev. 1	02/15/2008	AUTHORIZATION AGREEMENTS		EG, CP, FS

FOOTNOTES:

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document comprises a component of the Program Cyber Security Plan that is directed by DOE O 205.1A, DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT, dated 12/04/2006.
- (3) These documents are ES&H related and appear in one or more of the current Standards/ Requirements Identification Document (S/RID) Functional Areas. In an S/RID, the document may be referenced in its entirety or by certain chapters, paragraphs, or sections taken from the document. In addition, information regarding document applicability may be obtained from the specific S/RID.
- (4) Although this document is not directly applicable to the Contractor, it is included in the list of applicable documents to establish requirements for audit resolution and follow-up systems.

DOE Form (04/1991) U.S. Department of Energy Requirements Change Notice	No.: NNSA-39																
PROJECT: Baseline List of Required Compliance Documents	Page 1 of 36 Pages LOCATION: Oak Ridge, Tennessee																
CONTRACTOR: Babcock & Wilcox Technical Services Y-12, LLC																	
CONTRACT NO.: DE-AC05-00OR22800, 1.85, Laws, Regulations, and DOE Directives (December 2000), DEAR 970.5204-2	DATE OF CONTRACT: August 31, 2000																
<p>This Requirements Change Notice (RCN) No. NNSA-39 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents that have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. Changes to Section J, Attachment E, are indicated by bold type.</p> <p>Below is a list of the directives incorporated herein:</p> <p>ADDITIONS:</p> <table border="0"> <tr> <td>DOE O 350.1, Chg. 3</td> <td>DOE O 410.1</td> <td>DOE O 471.1B</td> <td>RMI R001, Issue A</td> </tr> <tr> <td>RMI R003, Issue A</td> <td>RMI R005, Issue A</td> <td>RMI R006, Issue A</td> <td>RMI R007, Issue A</td> </tr> <tr> <td>RMI R009, Issue A</td> <td>YSO-CRD-06-01, Rev. 3</td> <td></td> <td></td> </tr> </table> <p>DELETIONS:</p> <table border="0"> <tr> <td>DOE N 251.64</td> <td>DOE M 471.1-1</td> <td>DOE O 471.1A</td> <td>YSO-CRD-06-01, Rev. 2</td> </tr> </table> <p>COMPLIANCE LINE UPDATES:</p> <p>DOE O 206.1, modified compliance line</p> <p>UPDATES:</p> <p>None</p>		DOE O 350.1, Chg. 3	DOE O 410.1	DOE O 471.1B	RMI R001, Issue A	RMI R003, Issue A	RMI R005, Issue A	RMI R006, Issue A	RMI R007, Issue A	RMI R009, Issue A	YSO-CRD-06-01, Rev. 3			DOE N 251.64	DOE M 471.1-1	DOE O 471.1A	YSO-CRD-06-01, Rev. 2
DOE O 350.1, Chg. 3	DOE O 410.1	DOE O 471.1B	RMI R001, Issue A														
RMI R003, Issue A	RMI R005, Issue A	RMI R006, Issue A	RMI R007, Issue A														
RMI R009, Issue A	YSO-CRD-06-01, Rev. 3																
DOE N 251.64	DOE M 471.1-1	DOE O 471.1A	YSO-CRD-06-01, Rev. 2														
DOE AUTHORIZING SIGNATURE:  Elizabeth C. Hale, Contracting Officer's Representative for Directives	DATE: 																

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-987</u> DOE O 350.1	09/30/1996	Contractor Human Resource Management Programs	3 02/23/2010	DOE ltr dtd 03/24/2010 B&W Y-12 ltr dtd 03/25/2010
<i>Modification of Order to include Change 3, dated 02/23/2010.</i>				
<u>RD-988</u> DOE O 206.1	01/16/2009	Department of Energy Privacy Program		NNSA/YSO Comment/ Concurrence Form – Implementation Plan Approval dtd 03/31/2010 and DOE ltr dtd 12/15/2009 B&W Y-12 ltrs dtd 03/15/2010 and 05/28/2009
<i>Modification of compliance line: Compliance will be in accordance with a revised implementation plan approved by DOE on 03/31/2010.</i>				
<u>RD-989</u> DOE O 410.1	08/28/2007	Central Technical Authority Responsibilities Regarding Nuclear Safety Requirements		DOE ltr dtd 03/17/2010 B&W Y-12 ltr dtd 04/08/2010
<i>Addition</i>				
<u>RD-990</u> R001	01/17/2010	Product Realization	Issue A	DOE e-mail message dtd 04/01/2010 B&W Y-12 ltr dtd 04/20/2010
<i>Addition: This document in combination with R006 supersedes Chapter 3.2 (excluding Phases 6.1 and 6.6) of AL-SD 56XB, “Development and Production Manual,” Revision 2.</i>				
<u>RD-991</u> R006	01/15/2010	6.X Process	Issue A	DOE e-mail message dtd 04/01/2010 B&W Y-12 ltr dtd 04/20/2010
<i>Addition: This document supersedes Chapters 2.4 and 3.2 (excluding Phases 6.1 and 6.6) of AL-SD 56XB, “Development and Production Manual,” Revision 2.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-992</u> R003	03/24/2010	Product Definition Control	Issue A	DOE e-mail message dtd 04/20/2010 B&W Y-12 ltr dtd 04/28/2010
<i>Addition: This document supersedes Chapter 2.3 of AL-SD 56XB, "Development and Production Manual," Revision 2.</i>				
<u>RD-993</u> R005	03/12/2010	New Material and Stockpile Evaluation	Issue A	DOE e-mail message dtd 04/20/2010 B&W Y-12 ltr dtd 05/04/2010
<i>Addition: This document supersedes Chapters 8.1 and 8.5 of AL-SD 56XB, "Development and Production Manual," Revision 2.</i>				
<u>RD-994</u> R009	03/29/2010	Risk and Opportunity Management	Issue A	DOE e-mail message dtd 04/20/2010 B&W Y-12 ltr dtd 05/04/2010
<i>Addition: This document supersedes Chapter 12.3 of AL-SD 56XB, "Development and Production Manual," Revision 2.</i>				
<u>RD-995</u> YSO-CRD-06-01 Revision 3	04/05/2010	Directives System Process		DOE ltr dtd 04/16/2010 B&W Y-12 ltr dtd 05/04/2010
<i>Addition: This document supersedes Revision 2 dated 02/25/2009.</i>				
<u>RD-996</u> YSO-CRD-06-01, Revision 2	02/25/2009	Directives System Process		DOE ltr dtd 04/16/2010 B&W Y-12 ltr dtd 05/04/2010
<i>Deletion: Superseded by Revision 3 dated 04/05/2010.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-997</u> DOE O 471.1B	03/01/2010	Identification and Protection of Unclassified Controlled Nuclear Information		DOE ltr dtd 05/04/2010 B&W Y-12 ltr dtd 05/17/2010
<i>Addition: This Order cancels DOE M 471.1-1, "Identification and Protection of Unclassified Controlled Nuclear Information Manual," through Change 1, dated 10/23/2001; and DOE O 471.1A, "Identification and Protection of Unclassified Controlled Nuclear Information," dated 06/30/2000.</i>				
<u>RD-998</u> DOE M 471.1-1	06/30/2000	Identification and Protection of Unclassified Controlled Nuclear Information Manual	1 10/23/2001	DOE ltr dtd 05/04/2010 B&W Y-12 ltr dtd 05/17/2010
<i>Deletion: This Manual was cancelled by DOE O 471.1B.</i>				
<u>RD-999</u> DOE O 471.1A	06/30/2000	Identification and Protection of Unclassified Controlled Nuclear Information		DOE ltr dtd 05/04/2010 B&W Y-12 ltr dtd 05/17/2010
<i>Deletion: This Order was cancelled by DOE O 471.1B.</i>				
<u>RD-1000</u> DOE N 251.64	07/07/2005	Extension of DOE Directives		DOE ltr dtd 05/04/2010 B&W Y-12 ltr dtd 05/17/2010
<i>Deletion: DOE M 471.1-1 and DOE O 471.1A, which were extended by this Notice were cancelled by DOE O 471.1B. DOE M 472.1-1B and DOE N 473.9, which were also extended by this Notice, were cancelled by DOE M 470.4-5, "Personnel Security," dated 08/26/2005; and DOE M 470.4-1, "Safeguards and Security Program Planning and Management," dated 08/26/2005; respectively.</i>				
<u>RD-1001</u> R007	03/29/2010	Directed Stockpile Work Program Funding within Planning, Programming, Budgeting, Evaluation and Process	Issue A	DOE e-mail message dtd 05/06/2010 B&W Y-12 ltr dtd 06/02/2010
<i>Addition</i>				

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3A	01/25/2007	CONFERENCE MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 09/20/2007.</i>				
DOE O 130.1	09/29/1995	BUDGET FORMULATION		Extended until 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		Cancels portions of Chapter VIII of DOE O 470.1 pertaining to foreign nationals who visit DOE sites/facilities and require access to classified information.
DOE M 142.2-1	09/04/2008	MANUAL FOR IMPLEMENTATION OF THE VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 142.2A	12/15/2006	VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		
DOE O 142.3	06/18/2004	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM	1 02/28/2008	
DOE O 200.1A	12/23/2008	INFORMATION TECHNOLOGY MANAGEMENT		
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		Extended until 12/31/2001 by DOE N 251.40.
DOE O 205.1A	12/04/2006	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT		
<i>Compliance: Compliance will be in accordance with the NAP Implementation Plan. In the interim, Y-12 will continue its unclassified system accreditations under the Cyber Security Program Plan (CSPP).</i>				
DOE M 205.1-3	04/17/2006	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		
DOE M 205.1-4	03/08/2007	NATIONAL SECURITY SYSTEM MANUAL		
<i>Compliance: Based on this directive, compliance will be contingent upon an impact assessment of the revised Program Cyber Security Plan (PCSP) to be developed by NNSA. Pending that impact assessment, classified information system certification and accreditation will continue to be governed by DOE M 471.2-2, "Classified Information Systems Security Manual."</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 205.1-5	08/12/2008	CYBER SECURITY PROCESS REQUIREMENTS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-6	12/23/2008	MEDIA SANITIZATION MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-7	01/05/2009	SECURITY CONTROLS FOR UNCLASSIFIED INFORMATION SYSTEMS MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE M 205.1-8	01/08/2009	CYBER SECURITY INCIDENT MANAGEMENT MANUAL	1 09/01/2009	
<i>Compliance: Compliance is contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE O 206.1	01/16/2009	DEPARTMENT OF ENERGY PRIVACY PROGRAM		
<i>Compliance: Compliance will be in accordance with a revised implementation plan approved by DOE on 03/31/2010.</i>				
DOE N 206.4	06/29/2007	PERSONAL IDENTITY VERIFICATION		Extended by DOE N 251.74 until 06/09/2009 or until superseded.

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DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 210.2	06/12/2006	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		
DOE O 221.1A	04/19/2008	REPORTING FRAUD, WASTE AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2A	02/25/2008	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
----- <i>Compliance: Compliance with this Order is not intended to abrogate the constitutional rights of B&W Y-12's employees, subcontractors, or other support personnel.</i>				
DOE O 221.3A	04/19/2008	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 224.3	01/24/2005	AUDIT RESOLUTION AND FOLLOW-UP PROGRAM		See Footnote (4)
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT	1 10/14/2003	
DOE M 251.1-1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM MANUAL		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE N 203.1, DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3, DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001.
DOE N 251.74	11/24/2008	EXTENSION OF DOE N 206.4		Extends DOE N 206.4 until 06/29/2009 or until superseded.
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		
DOE O 313.1	11/19/2009	MANAGEMENT AND FUNDING OF THE DEPARTMENT'S OVERSEAS PRESENCE		
DOE O 323.1	08/01/2004	GARNISHMENT OF FEDERAL EMPLOYEES' PAY	1 06/04/2008	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	3 02/23/2010	
DOE O 350.2A	10/29/2003	USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA		
DOE O 410.1	08/27/2007	CENTRAL TECHNICAL AUTHORITY RESPONSIBILITIES REGARDING NUCLEAR SAFETY REQUIREMENTS		
DOE O 410.2	08/17/2009	MANAGEMENT OF NUCLEAR MATERIALS		
DOE O 412.1A	04/21/2005	WORK AUTHORIZATION SYSTEM		
DOE O 413.1B	10/28/2008	INTERNAL CONTROL PROGRAM		
DOE O 413.3A	07/28/2006	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS	1 11/17/2008	This Order cancels Chapters 1 through 3 of DOE M 413.3-1.
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT	1 02/08/2008	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 430.2B	02/27/2008	DEPARTMENTAL ENERGY, RENEWABLE ENERGY AND TRANSPORTATION MANAGEMENT		
<p><i>Compliance: This Order implements parts of the total program directed at strengthening federal environmental, energy and transportation management. It combines with Executive Order 13423, "Strengthening Federal, Environmental, Energy, and Transportation Management," and DOE O 450.1A, "Environmental Protection Program," to form the total program. B&W Y-12 currently is not in full compliance with the total program. Thus, compliance will be in accordance with a revised implementation plan approved by DOE on 01/04/2010 that addresses the total program.</i></p>				
DOE P 442.1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH		
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
DOE O 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		
DOE O 452.3	06/08/2005	MANAGEMENT OF THE DEPARTMENT OF ENERGY NUCLEAR WEAPONS COMPLEX		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 452.4-1A	03/11/2004	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 457.1	02/07/2006	NUCLEAR COUNTERTERRORISM		
DOE M 457.1-1	08/10/2006	CONTROL OF IMPROVISED NUCLEAR DEVICE INFORMATION (OFFICIAL USE ONLY)		
DOE P 470.1	05/08/2001	INTEGRATED SAFEGUARDS AND SECURITY MANAGEMENT (ISSM) POLICY		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40.
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001.

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DOE Directives can be found at the following Internet address: https://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 470.3B	08/12/2008	GRADED SECURITY PROTECTION (GSP) POLICY		
<i>Compliance: Compliance will be contingent upon approval of a revised implementation plan submitted to DOE on 05/18/2009.</i>				
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-3A	11/05/2008	CONTRACTOR PROTECTIVE FORCE		
<i>Compliance: Compliance will be in accordance with the requirements limited to Chapter I, page I-3, paragraph 2.c, Chapter I, page I-11, paragraphs 6.a.(1) through (4); Chapter III, page III-3, paragraphs 4.a.(1) through (6); and Chapter V, page V-7, paragraph 3.b.(9)(b)1, first sentence in part as it relates to providing telephone service at fixed protective force posts; and with an approved deviation as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-4A	01/16/2009	INFORMATION SECURITY MANUAL		
<i>Compliance: Compliance with this Manual will be in accordance with the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS), except for the requirements contained in Paragraph 2 of the "Contractor Requirements Document" for which compliance is contingent upon an implementation plan to be based upon further guidance from NNSA/YSO with respect to those requirements.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE M 470.4-6	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	1 08/14/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-7	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM REFERENCES		
DOE O 471.1B	03/01/2010	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6), and Chapter VII, paragraph 12a(2)(a) are cancelled by DOE N 205.3. Chapter III, Section 8, "Incident Reporting," is cancelled by DOE N 205.4.

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 471.2-3B	10/29/2007	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES (OFFICIAL USE ONLY)		
DOE M 471.2-4	02/06/2004	TECHNICAL SURVEILLANCE COUNTERMEASURES (OFFICIAL USE ONLY)		Cancelled by DOE M 470.4-4 except for the classified annex.
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
DOE M 475.1-1B	08/28/2007	MANUAL FOR IDENTIFYING CLASSIFIED INFORMATION		
DOE O 475.2	08/28/2007	IDENTIFYING CLASSIFIED INFORMATION		
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		
DOE O 484.1	08/17/2006	REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY		
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
<i>Compliance: B&W Y-12 is in compliance with the applicable requirements of this document with the exception of specifically approved exemptions on pass-through costs. These exemptions are reflected in the latest approved B&W Y-12 Cost Accounting Standards Board Disclosure Statement.</i>				
DOE O 534.1B	01/06/2003	ACCOUNTING		
<i>Compliance: Compliance with this Order includes compliance with Chapters 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 15, 17, and 21 of the "DOE Accounting Handbook," with the exception that production support costs will be handled as direct costs rather than indirect costs based on the FY 2006 Congressional Budget Funding Schedule for Directed Stockpile Work.</i>				
DOE O 551.1C	06/24/2008	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be in accordance with B&W Y-12 letter dated 11/18/2009.</i>				

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1)
DOE 5560.1A	05/08/1985	PRIORITIES AND ALLOCATIONS PROGRAM		
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		
<i>Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.</i>				

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL-SD 56XB, Revision 2	03/31/2004	DEVELOPMENT AND PRODUCTION MANUAL	10 08/22/2007	Chapter 2.3 is superseded by R003, Issue A. Chapter 2.4 is superseded by R006, Issue A. Chapter 3.2 is (excluding Phases 6.1 and 6.6) is superseded by R001, Issue A and R006, Issue A. Chapters 8.1 and 8.5 are superseded by R005, Issue A.
IBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue B	
IBP-401	11/30/2006	PRODUCT DEFINITION EXCHANGE PROCESS	Issue A	
IBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue B	
NA-10 WQAPM	03/30/2009	NA-10 WEAPON QUALITY ASSURANCE PROCEDURES MANUAL		
<i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 12/07/2009.</i>				

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ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
NA SD M 452.3-1	12/10/2009	DEFENSE PROGRAMS BUSINESS REQUIREMENTS AND PROCESSES MANUAL		
<i>Compliance: Full implementation of this directive is contingent upon an impact assessment of all of the documents associated with RMI.</i>				
PSLM	02/16/2006	PRIMARY STANDARDS LABORATORY MEMORANDUM		
R001	01/17/2010	PRODUCT REALIZATION	Issue 1	Supersedes Chapter 3.2 (excluding Phases 6.1 and 6.6) of AL-SD 56XB, Revision 2.
R003	03/24/2010	PRODUCT DEFINITION CONTROL	Issues A	Supersedes Chapter 2.3 of AL-SD 56XB, Revision 2.
R005	03/12/2010	NEW MATERIAL AND STOCKPILE EVALUATION	Issue A	Supersedes Chapters 8.1 and 8.5 of AL-SD 56XB, Revision 2.
R006	01/15/2010	6.X PROCESS	Issue A	Supersedes Chapters 2.4 and 3.2 (excluding Phases 6.1 and 6.6) of AL-SD 56XB, Revision 2.

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
R007	03/29/2010	DIRECTED STOCKPILE WORK PROGRAM FUNDING WITHIN PLANNING, PROGRAMMING, BUDGETING, EVALUATION AND PROCESS	Issue A	
R009	03/29/2010	RISK AND OPPORTUNITY MANAGEMNET	Issue A	Supersedes Chapter 12.3 of AL-SD 56XB, Revision 2.
TBP-CM	02/14/2005	CONFIGURATION MANAGEMENT	Issue A	
TBP-PRP	05/04/2001	PRODUCT REALIZATION PROCESS	Issue E	
TBP-SYS	10/20/2004	NWC TECHNICAL BUSINESS PRACTICE SYSTEM	Issue H	
TBP-000	05/04/2001	PROGRAM MANAGEMENT	Issue E	
TBP-001	10/02/2000	MAJOR ASSEMBLY RELEASE SYSTEM	Issue C	
TBP-100	09/16/2005	CONCURRENT QUALIFICATION	Issue G	
TBP-101	01/22/2007	ENGINEERING EVALUATION PROCESS	Issue H	
TBP-200	09/18/2006	PRODUCT IDENTIFICATION AND TRACEABILITY	Issue E	
TBP-201	09/18/2006	WEAPON IDENTIFICATION SYSTEMS AND MARKING CRITERIA	Issue D	
TBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue E	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-203	02/20/2004	WEAPON RECORD CARDS	Issue E	
TBP-300	02/15/2005	PRODUCT DEFINITION	Issue E	
TBP-301	10/02/2006	METHODS OF DEFINITION	Issue J	
TBP-302	09/18/2006	PRODUCT AND EQUIPMENT DESIGNATIONS	Issue D	
TBP-303	01/24/2007	SEVEN-DIGIT MATERIAL AND PROCESS SPECIFICATIONS	Issue D	
TBP-304	03/11/2003	DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM	Issue E	
TBP-305	09/18/2006	CONFIGURATION OF TYPE WEAPONS	Issue D	
TBP-306	05/02/2003	SOFTWARE PRODUCT PROCESSES	Issue E	
TBP-307	05/02/2003	USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS	Issue D	
TBP-308	02/06/2006	COMPUTER-AIDED DESIGN PROCESS FOR ELECTRICAL PRODUCTS	Issue A	
TBP-400	10/02/2000	DESIGN CONTROL	Issue D	
TBP-401	11/30/2006	DEFINITION CONTROL	Issue E	
TBP-402	09/22/2004	PRODUCT CONTROL	Issue F	
TBP-403	02/13/2006	REVIEWS	Issue E	
TBP-404	05/04/2005	ENGINEERING AUTHORIZATION SYSTEM	Issue F	

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List B – List of Applicable Directives

ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-502	01/21/2002	DISTRIBUTION OF NON-ELECTRONIC PRODUCT DEFINITION USING ELECTRONIC TRANSMITTAL METHODS	Issue A	
TBP-600	10/02/2000	PROCUREMENT	Issue D	
TBP-601	10/02/2000	PROCUREMENT CLASSES OF WEAPON PRODUCT	Issue C	
TBP-602	03/11/2003	INTERPRETATION OF PROCUREMENT INDEX	Issue D	
TBP-700	03/11/2003	PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE	Issue E	
TBP-701	04/19/2007	ACCEPETANCE EQUIPMENT INTERFACES	Issue D	
TBP-702	05/29/2007	NONCONFORMING MATERIAL	Issue F	
TBP-703	06/01/2001	PRODUCT REPROCESSING AND REWORKING	Issue D	
TBP-704	10/02/2000	EVALUATION AND DISPOSITION OF DISCREPANT WEAPON MATERIAL	Issue D	
TBP-800	07/30/2001	STOCKPILE MANAGEMENT	Issue F	
TBP-801	10/02/2000	LABORATORY AND FLIGHT TEST MATERIAL	Issue D	
TBP-802	10/02/2000	TELEMETRY PROCEDURES	Issue D	
TBP-803	12/06/2006	NON-NUCLEAR ASSURANCE PROGRAM	Issue F	

**BASELINE LIST OF
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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address:				
http://prp.sandia.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-804	12/06/2006	STOCKPILE SUPPORT MATERIAL	Issue F	
TBP-805	12/06/2006	REPAIR AND RETROFIT OF WEAPONS AND ANCILLARY EQUIPMENT	Issue F	
TBP-901	10/02/2000	INTEGRATED SAFETY PROCESS FOR NUCLEAR WEAPONS OPERATIONS AND FACILITIES	Issue B	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS****List B – List of Applicable Directives**

ORO DIRECTIVES				
ORO Directives can be found at the following Internet address: http://www.ornl.gov/doe/doe_oro_dmg/oro_chklst.htm				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
ORO O 350 Chapter III	05/31/1996	CONTRACTOR HUMAN RESOURCE PROGRAMS FEDERAL LABOR STANDARDS	3 01/26/2004	

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
41 CFR 102-192	08/25/2008	MAIL MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 03/26/2009.</i>				
42 U.S.C.A. 1395y	07/15/2008	THE PUBLIC HEALTH AND WELFARE		
BOP-003.08	05/30/2008	MANAGEMENT AND OPERATING CONTRACTOR BUSINESS MEALS AND LIGHT REFRESHMENT		
<i>Compliance: Compliance will be in accordance with the Advance Agreement, Controlled Business Expense (CBE), approved by DOE on 05/07/2009.</i>				
BOP-50.002	09/19/2006	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) VALUE MANAGEMENT (VM) POLICY		
BOP-50.003	06/06/2007	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) INDEPENDENT PROJECT REVIEW (IPR) POLICY		
<i>Compliance: Compliance with respect to Operation Expense and Work for Others projects will be addressed upon receipt of Y-12 Site Office implementation guidance.</i>				
DOE-HQ Memorandum	11/08/2006	IMPROVED CYBER SECURITY PROTECTION FOR CLASSIFIED COMPUTER SYSTEMS		
DOE-HQ Memorandum	01/27/2009	COMPUTER LOSS/THEFT REPORTING REQUIREMENTS		

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
EO 13502	02/06/2009	USE OF PROJECT LABOR AGREEMENTS FOR FEDERAL CONSTRUCTION PROJECTS		
MANUAL	04/01/2007	BASELINE SECURITY MANUAL (OFFICIAL USE ONLY)		
NAP 14.1-C	05/02/2008	NNSA BASELINE CYBER SECURITY PROGRAM		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan conditionally approved by DOE on 03/02/2010.</i>				
NAP 14.2-C	05/02/2008	NNSA CERTIFICATION AND ACCREDITATION (C&A) PROCESS FOR INFORMATION SYSTEMS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with a revised implementation plan conditionally approved by DOE on 03/02/2010.</i>				
NAP 14.3-B	05/02/2008	TRANSMISSION OF RESTRICTED DATA OVER SECRET INTERNET PROTOCOL ROUTER NETWORK (SIPRNet)		See Footnote (2)
OMB-Circular A-123	12/21/2004	MANAGEMENT'S RESPONSIBILITY FOR INTERNAL CONTROL		
OPS-323	10/21/2004	DATA INTERFACE AGREEMENT: INTEGRATED CONTRACTOR INTERFACE FOR DEPARTMENT OF ENERGY I-MANAGE PROGRAM – STARS PROJECT		

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
YSO-CRD-06-01 Revision 3	04/05/2010	DIRECTIVES SYSTEM PROCESS		
YSO CRD-09-01	09/25/2009	PROJECTS CONTRACTOR REQUIREMENTS DOCUMENT (CRD)	Admin. Chg. 11/24/2009	

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STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)				
FUNCTIONAL AREA (FA)	TITLE	REVISION NUMBER	DOE/YSO APPROVAL DATE	NOTES AND COMMENTS
1.0	MS - Management Systems	22	01/12/2010	
2.0	QA - Quality Assurance	14	11/24/2008	
3.0	CM - Configuration Management	7	09/29/2009	Type 1 Change
4.0	TQ - Training and Qualification	15	11/26/2008	Type 1 Change
5.0	EM - Emergency Management	21	02/18/2010	
7.0	EG - Engineering Program	36	01/25/2010	Type 1 Change
8.0	CP - Construction Program	31	01/25/2010	Type 1 Change
9.0	OP - Operations	5	09/29/2009	Type 1 Change
10.0	MA - Maintenance	16	09/29/2009	Type 1 Change
11.0	RP - Radiation Protection	9	01/25/2010	Type 1 Change
12.0	FP - Fire Protection	15	04/10/2008	
13.0	TR - Packaging and Transportation	17	12/16/2008	
18.0	FS - Facility (Nuclear) Safety	21	11/09/2009	
19.0	SH - Occupational Safety and Health	31	04/24/2008	
20.0	EP - Environmental Protection	22	02/25/2010	
21.0	CS - Nuclear Criticality Safety	4	06/04/2009	

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
10 CFR	01/24/1997	ENERGY		MS, QA, TQ, EM, EG, CP, RP, FP, FS, SH, EP
28 CFR	07/01/1997	JUDICIAL ADMINISTRATION		FP
29 CFR	04/23/1998	LABOR		CM, TQ, EM, EG, CP, FP, FS
33 CFR		NAVIGATION AND NAVIGABLE WATERS		CP, EP
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		MS, EM, EG, CP, FP, EP
40 CFR		PROTECTION OF THE ENVIRONMENT		EM, EG, CP, TR, FS, SH, EP
40 CFR 144		SAFE DRINKING WATER ACT		EP
43 CFR		PUBLIC LANDS: INTERIOR		EP
48 CFR		FEDERAL ACQUISITION REGULATIONS SYSTEM		MS
49 CFR	10/01/2000	TRANSPORTATION		TQ, EM, TR
50 CFR		WILDLIFE AND FISHERIES		EP
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	EG, CP, EP
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2 10/23/2001	CM, TQ, EG, CP, OP

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	TQ, EG, CP
5480.21	12/24/1991	UNREVIEWED SAFETY QUESTIONS		TQ
DE-AC05-00OR22800	08/31/2000	BWXT Y-12, L.L.C. CONTRACT WITH DOE/NNSA		MS, EG, CP
DOE O 150.1	05/08/2008	CONTINUITY PROGRAMS		EM
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		TQ, EM, EG, CP
DOE O 153.1	06/27/2007	DEPARTMENTAL RADIOLOGICAL EMERGENCY RESPONSE ASSETS		EM
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		EG, CP, SH
DOE O 226.1A	07/31/2007	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		QA, TQ
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2 06/12/2007	EG, CP, RP, FP, SH, EP
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		MS
DOE O 341.1A	10/18/2007	FEDERAL EMPLOYEE HEALTH SERVICES		SH

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE O 414.1C	06/17/2005	QUALITY ASSURANCE		QA, TQ
DOE O 420.1B	12/22/2005	FACILITY SAFETY		EM, EG, CP, OP, FP, CS
DOE G 420.1-3	09/27/2007	IMPLEMENTATION GUIDE FOR DOE FIRE PROTECTION AND EMERGENCY SERVICES PROGRAMS FOR USE WITH DOE O 420.1B, FACILITY SAFETY		EG, FP
DOE G 421.1-2	10/24/2001	IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830		FS
DOE O 425.1C	03/13/2003	STARTUP AND RESTART OF NUCLEAR FACILITIES		MS
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		EG, MA
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		CM, EG, TQ
DOE O 433.1A	02/13/2007	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		EG, CP, MA
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		TQ, EG, CP, EP
DOE O 435.1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT	1 08/28/2001	EP

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE, FEDERAL, AND CONTRACTOR EMPLOYEES		EG
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY	1 11/19/2006	TR
DOE M 441.1-1	03/07/2008	NUCLEAR MATERIAL PACKAGING MANUAL		EG
DOE M 442.1-1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY AND HEALTH		SH
DOE O 450.1A	06/04/2008	ENVIRONMENTAL PROTECTION PROGRAM		EP
DOE M 450.4-1	11/01/2006	INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL		SH
DOE P 456.1	09/15/2005	SECRETARIAL POLICY STATEMENT ON NANOSCALE SAFETY		SH
DOE O 460.1B	04/04/2003	PACKAGING AND TRANSPORTATION SAFETY		TR
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		TR
DOE M 461.1-1	09/29/2000	PACKAGING AND TRANSFER OF MATERIALS OF NATIONAL SECURITY INTEREST MANUAL	1 07/26/2005	TR

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE O 461.1A	04/26/2004	PACKAGING AND TRANSFER OR TRANSPORTATION OF MATERIALS OF NATIONAL SECURITY INTEREST		TQ, TR
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	EM
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	TQ, TR
DOE-SNL-TYPEBARG	02/29/2008	TIEDOWN PROCEDURES FOR TYPE A, TYPE B, ARG, AND MISCELLANEOUS CONTAINERS		TR
DOE-STD-1066-99	07/31/1999	DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA		EG
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT		MS, CM, EG, CP
DOE-STD-1083-2009	06/2009	PROCESSING EXEMPTIONS TO NUCLEAR SAFETY RULES AND APPROVAL OF ALTERNATIVE METHODS FOR DOCUMENTED SAFETY ANALYSES		MS, FS
DOE-STD-1090-2007	08/2007	HOISTING AND RIGGING		SH
DOE-STD-1189-2008	03/01/2008	INTEGRATION OF SAFETY INTO THE DESIGN PROCESS		EG

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE-STD-3003-2000	01/31/2000	DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES		EG
DOE-STD-3024-98	10/31/1998	DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS		EG
EO 12898		ENVIRONMENTAL JUSTICE		EP
FFCA		FEDERAL FACILITIES COMPLIANCE ACT		EP
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		EG, CP, FP
ORR PCB FFCA		OAK RIDGE RESERVATION POLYCHLORINATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT		EP
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		EG, CP
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		MS, QA, EG
SEN-35-91	09/09/1991	NUCLEAR SAFETY POLICY		TQ
STANDARD BUILDING CODE (SBC)		SOUTHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)		CP
TITLE 55 AND 65		TENNESSEE MOTOR VEHICLE LAWS ANNOTATED		TR
TENNESSEE CODE, TITLE 68	04/23/1998	HEALTH, SAFETY AND ENVIRONMENTAL PROTECTION		FP

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
TCA TITLE 69		WATERS, WATERWAYS, DRAINS AND LEVEES		EP
THWRA		TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)		EP
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		EM, EG
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		CP, EP
TN 1200-1-13		TENNESSEE INACTIVE HAZARDOUS SUBSTANCE SITE REMEDIAL ACTION PROGRAM	1	EP
TN 1200-1-7		TENNESSEE SOLID WASTE RULES		EP
TN 1200-1-7.02		TENNESSEE SOLID WASTE DISPOSAL ACT		EP
TN 1200-3-10		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-20		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-27		TENNESSEE AIR QUALITY ACT		EP
TN 1200-4-5		TENNESSEE WATER QUALITY CONTROL ACT		EP
TN 1200-5-8	10/19/2003	WATER REGISTRATION REQUIREMENTS		EP

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
TN OVERSIGHT AGREEMENT	06/06/2006	TENNESSEE OVERSIGHT AGREEMENT (TOA)		EM, EP
TWRA		TENNESSEE WILDLIFE RESOURCES AGENCY (TWRA) BOATING REGULATIONS		TR
YSO-CRD-02-01, Rev. 2	02/15/2008	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		EG, FS
YSO-CRD-03-01, Rev. 4	03/21/2008	START-UP AND RESTART OF OPERATIONS, ACTIVITIES, & FACILITIES AT Y-12		MS
YSO-CRD-07-01 Rev. 1	02/15/2008	AUTHORIZATION AGREEMENTS		EG, CP, FS

FOOTNOTES:

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document comprises a component of the Program Cyber Security Plan that is directed by DOE O 205.1A, DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT, dated 12/04/2006.
- (3) These documents are ES&H related and appear in one or more of the current Standards/ Requirements Identification Document (S/RID) Functional Areas. In an S/RID, the document may be referenced in its entirety or by certain chapters, paragraphs, or sections taken from the document. In addition, information regarding document applicability may be obtained from the specific S/RID.
- (4) Although this document is not directly applicable to the Contractor, it is included in the list of applicable documents to establish requirements for audit resolution and follow-up systems.