# AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

| 1. CONTRACT ID CODE | PAGE 1 OF 2 PAGES |
|---|---|

| 2. AMENDMENT/MODIFICATION NO. | 3. EFFECTIVE DATE | 4. REQUISITION/PURCHASE REQ. NO. | 5. PROJECT NO. *(If applicable)* |
|---|---|---|---|
| **564** | **See Block 16C** | | |

6. ISSUED BY CODE
**U.S. Department of Energy**
**National Nuclear Security Administration**
**Sandia Field Office**
**P.O. Box 5400, MS 0184**
**Albuquerque, NM 87185-5400**

7. ADMINISTERED BY *(If other than Item 6)* CODE

8. NAME AND ADDRESS OF CONTRACTOR *(No., street, county, state, ZIP Code)*

**Sandia Corporation**
**P. O. Box 5800**
**Albuquerque, NM 87185**

CODE FACILITY CODE

9A. AMENDMENT OF SOLICITATION NO.

9B. DATED *(SEE ITEM 11)*

10A. MODIFICATION OF CONTRACT/ORDER NO.
**DE-AC04-94AL85000**

10B. DATED *(SEE ITEM 13)*
**October 1, 1993**

## 11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

☐ The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers ☐ is extended, ☐ is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation as amended, by one of the following methods:

(a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted;

or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA *(If required)*

## 13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

| CHECK ONE | |
|---|---|
| | A. THIS CHANGE ORDER IS ISSUED PURSUANT TO *(Specify authority)*: THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A. |
| | B. THE ABOVE-NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES *(Such as changes in paying office, appropriation date, etc.)* SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b). |
| X | C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: DOE Organization Act, 42 USC §7101 et seq.; NNSA Act, 50 USC § 2401 et seq.; H-24 Home Office and Other Corporate Support, Clause I-62, DEAR 952.215-70, Key Personnel; Clause I-72, DEAR 970.5204-2 Laws, Regulations and DOE Directives (Dec 2000) (Deviation), and Mutual Agreement of the Parties. |
| | D. OTHER *(Specify type of modification and authority)*: |

E. IMPORTANT: Contractor ☐ is not, ☒ is required to sign this document and return 2 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION *(Organized by UCF section headings, including solicitation/contract subject matter where feasible.)* The purpose of this modification is to set the Fiscal Year 2015 ceiling for Home Office and Other Corporate Support, update Section I Clauses to add the full-text of 52.203-17 Contractor Employee Whistleblower Rights and Requirement To Inform Employees of Whistleblower Rights, and update Section J, Appendix **D**, *Key Personnel.*
See attached pages and attachments for changes.

| 15A. NAME AND TITLE OF SIGNER *(Type or print)* | 16A. NAME AND TITLE OF CONTRACTING OFFICER *(Type or print)* |
|---|---|
| **Steven Bauck, Manager**<br>**Prime Contract, Sandia Corporation** | **Corinne Sisneros, Contracting Officer**<br>**Sandia Field Office, NNSA** |

| 15B. CONTRACTOR/OFFEROR | 15C. DATE SIGNED | 16B. UNITED STATES OF AMERICA | 16C. DATE SIGNED |
|---|---|---|---|
| BY Steven Bauck<br>*(Signature of person authorized to sign)* | 12/18/14 | BY Corinne Sisneros<br>*(Signature of Contracting Officer)* | 12/19/14 |

The purpose of this modification is to set the Fiscal Year 2015 ceiling for Home Office and Other Corporate Support that is directly attributable to the contract, update Section I Clauses to add the full-text of 52.203-17 Contractor Employee Whistleblower Rights and Requirement To Inform Employees of Whistleblower Rights, and update Section J - Appendix D, *Key Personnel.*

1. Section H-24, Home Office and Other Corporate Support is revised to incorporate the Fiscal Year (FY) 2015 ceiling for home office and other corporate support services that are directly attributable to the contract. Paragraph (d) is revised to add the following as the first sentence of the paragraph:

   (d) The Fiscal Year 2015 estimated budget for these services through September 30, 2015, is $2,934,800.00.

2. Section I Clauses are amended to add the full-text of 52.203-17 Contractor Employee Whistleblower Rights and Requirement To Inform Employees of Whistleblower Rights.

   52.203-17 CONTRACTOR EMPLOYEE WHISTLEBLOWER RIGHTS AND REQUIREMENT TO INFORM EMPLOYEES OF WHISTLEBLOWER RIGHTS (APR 2014)

   (a) This contract and employees working on this contract will be subject to the whistleblower rights and remedies in the pilot program on Contractor employee whistleblower protections established at 41 U.S.C. 4712 by section 828 of the National Defense Authorization Act for Fiscal Year 2013 (Pub. L. 112-239) and FAR 3.908

   (b) The Contractor shall inform its employees in writing, in the predominant language of the workforce, of employee whistleblower rights and protections under 41 U.S.C. 4712, as described in section 3.908 of the Federal Acquisition Regulation.

   (c) The Contractor shall insert the substance of this clause, including this paragraph (c), in all subcontracts over the simplified acquisition threshold.

   ---End of Clause---

3. Section J - Appendix D, *Key Personnel*, is hereby revised and superseded in its entirety with Attachment 1 to this modification.

4. All other terms and conditions remain unchanged.

- - - End of contract modification - - -

# Part III - Section J
# Appendix D
# Key Personnel

December 12, 2014 (Modification No. M 564)

The Contractor's employees identified below are determined to be necessary for the successful performance of this Contract. The purpose of this listing is to identify those employees whose initial assignment, reassignment, or replacement shall be subject to the approval of the Contracting Officer, pursuant to the Contract Clause entitled "Key Personnel." Position title designations do not signify officers of the Sandia Corporation.

| | |
|---|---|
| Paul J. Hommert | President, Laboratories Director |
| Jerry L. McDowell[1] | Deputy Laboratories Director and Executive Vice President for National Security Programs/Acting Vice President, Defense Systems and Assessments |
| Kimberly C. Sawyer | Deputy Laboratories Director and Executive Vice President for Mission Support |
| Bonnie L. Apodaca | Vice President, Business Operations and Chief Financial Officer |
| Michael W. Hazen | Vice President, Infrastructure Operations |
| Jill M. Hruby | Vice President, Energy, Securities and Defense Technologies |
| Elizabeth D. Krauss[2] | Vice President, General Counsel, and Corporate Secretary/Acting Vice President, Human Resources and Communications |
| Robert W. Leland | Vice President, Science and Technology and Chief Technology Officer |
| J. Stephen Rottler[3] | Vice President, California Laboratory |
| Gary A. Sanders[4] | Vice President, Weapons Engineering and Product Realization and Chief Engineer for Nuclear Weapons |
| Michael O. Vahle | Vice President, Information Technology Services and Chief Information Officer (CIO) |

[1] Dr. McDowell's acting assignment is effective October 9, 2014; he will also concurrently continue his assignment as Deputy Laboratories Director and Executive Vice President for National Security Programs. Dr. McDowell will transition his assignment as Deputy Laboratories Director to Dr. Rottler effective 3/6/2015. It is anticipated that a new Vice President, Defense Systems and Assessments will be appointed before this transition takes place.
[2] Ms. Krauss' acting assignment is effective September 12, 2014.
[3] Dr. Rottler will become Deputy Laboratories Director and Executive Vice President for National Security Programs effective March 6,2015
[4] Dr. Sanders' assignment is effective December 12, 2014.