



Changes to DOE Policy Related to NMMSS Reporting

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DOE M 470.4-6 Under Revision



- Major Revision – No longer Parts A and B
- New manual - general MC&A requirements, detailed requirements in site MC&A plan, system effectiveness evaluation required
- NMMSS reporting instructions moved to instruction's manual/user's guide managed by HS-1.22



History of MC&A Policy/NMMSS Reporting Requirements - 1

Date of Issuance	MC&A	NMMSS Reporting
2-3-88	DOE 5633.3	DOE 5633.5
9-23-92	DOE 5633.3A	DOE 5633.5
9-7-94	DOE 5633.3B	Instructions for NMMSS Reporting



History of MC&A Policy/NMMSS Reporting Requirements - 2

Issuance	MC&A	NMMSS
8-11-99; 2-10-98	DOE M 474.1-1	DOE M 474.1-2
6-13-03; 8-19-03	DOE M 474.1- 1B	DOE M 474.1- 2A
8-26-05	DOE M 470.4-6 Section A	DOE M 470.4-6 Section B



Role of NMMSS

Ownership of NMMSS

Reporting Requirements

- NMMSS supports many programs:
 - Domestic safeguards & security, materials management, financial accounting, international reporting, possibly emergency management
- Currently NMMSS instructions are part of security policy. Should they be?



Why Move Requirements

- Greater flexibility in revising reporting instructions; more appropriate in another document
- HS-1.22 has more in-depth knowledge of NMMSS, LANMAS, and non-S&S NMMSS customer needs
- Office of Security Policy can focus on Safeguards and Security matters



Reporting Instructions

Two Examples

Example 1.

Facilities transmitting data to the NMMSS by automated means need not complete DOE F DP-749, but must follow the data format defined below.

- (1) Negative Values. Enter a minus sign or a dash preceding the digits to show a negative number.
- (2) Transaction Identification Information (columns 1–18 on DOE F DP-749), described below, is common to both header and detail records . . .



Reporting Instructions Second Example

Example 2.

INSTRUCTIONS FOR COMPLETING DOE/NRC F 742. Data submitted on paper will be reported as follows.

- a. **Block 1.** Enter the name and address of the facility.
- b. **Block 2.** Enter the appropriate possession license numbers if the reporting facility is a licensed contractor.
- c. **Block 3, RIS.** Enter the RIS under which the material being reported is or was held. Submit a separate DOE/NRC F 742 for each RIS.
- d. **Block 4, Report Period.** Enter inclusive dates (MM/DD/YYYY).
- e. **Block 5, Material Type.** Enter the name of the material (See Table XV-1). NOTE: Submit a separate report for each type . . .



Precedents: SIMMS and FACTS Databases

- SIMMS – Safeguards and Security Information System
- FACTS – Foreign Travel Management System
- General requirement to report to database in DOE directives
- Specific reporting instructions provided in users' manuals.



A Second SIMMS Requirement



DOE M 470.1-1, Part 1, Section G, 4.

b. Tracking. Findings and deficiencies, regardless of source, and corrective action plans (milestones and estimated completion dates) must be entered into SSIMS in accordance with SSIMS guidelines and tracked until closed.

Quarterly status reports must be entered into SSIMS by January 15, April 15, July 15, and October 15, of each year.



Another SIMMS Requirement



DOE M 470.1-1, Part 1, Section G, 8.

- a. Marginal Ratings. Within 15 working days of the determination of a marginal composite rating, the DOE cognizant security authority must ensure SSIMS is updated . . .



Summary



- Reporting can be better addressed through a user's manual than a DOE directive
- SIMMS and FACTS provide examples of how instructions can be located in a user's manual
- NMMSS has major customers other than S&S
- Target date: End of FY 2007