

**Part III - Section J****APPENDIX B****List of Applicable Directives and NNSA Policy Letters**

In addition to the list of applicable directives referenced below, the contractor shall also comply with supplementary directives (e.g., manuals), which are invoked by a Contractor Requirements Document (CRD) attached to a directive referenced below. The Contractor shall comply with the Operating Requirements identified in Appendix B.

<b>Number</b>	<b>Date</b>	<b>Title</b>
APPH Chapter X Revision 10	9/08/98	Accounting Practices & Procedures Handbook (APPH) Chapter X – Product Cost Accounting
DOE O 130.1	9/29/95	Budget Formulations
DOE M 140.1-1B	3/30/01	Interface with the Defense Nuclear Facilities Safety Board
DOE O 142.2A, Admin Chg. 1 dated 6/27/13	12/15/06	Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency
DOE M 142.2-1, Admin Chg. 1 dated 6/27/13	9/04/08	Manual for the Implementation of the Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency
DOE O 142.3A	10/14/10	Unclassified Foreign Visits and Assignments Program
DOE O 144.1 Admin Chg. 1 dated 11/6/09	3/16/09	Department of Energy American Indian Tribal Government Interactions and Policy
DOE O 150.1A	3/31/14	Continuity Programs
DOE O 151.1C	11/02/05	Comprehensive Emergency Management System
DOE O 153.1	6/27/07	Departmental Radiological Emergency Response Assets
DOE O 200.1A	12/23/08	Information Technology Management
DOE O 205.1B, Admin Chg. 3 dated 4/29/14	5/16/11	Department of Energy Cyber Security Program
DOE M 205.1-3, Admin Chg. 1 dated 12/20/12	4/17/06	Telecommunications Security Manual <sup>1</sup>

DOE O 206.1	1/16/09	Department of Energy Privacy Program
DOE O 206.2	2/19/13	Identity, Credential, and Access Management (ICAM)
DOE O 210.2A	4/08/11	DOE Corporate Operating Experience Program
DOE O 221.1A	4/19/08	Reporting Fraud, Waste, and Abuse to the Office of Inspector General
DOE O 221.2A	2/25/08	Cooperation With the Office of Inspector General
DOE O 225.1B	3/04/11	Accident Investigations
DOE O 226.1B	4/25/11	Implementation of Department of Energy Oversight Policy
DOE O 227.1A	12/21/15	Independent Oversight Program
DOE O 231.1B, Admin Chg. 1 dated 11/28/12	6/27/11	Environment, Safety and Health Reporting
DOE O 232.2 Admin Chg. 1 dated 3/12/14	8/30/11	Occurrence Reporting and Processing of Operations Information
DOE O 241.1B Chg. 1 (Admin Chg) dated 4/26/16	12/13/10	Scientific and Technical Information Management
DOE O 243.1B, Admin Chg. 1 dated 7/8/13	3/11/13	Records Management Program
DOE O 252.1A, Admin Chg. 1 dated 3/12/13	2/23/11	Technical Standards Program
DOE O 313.1	11/19/09	Management and Funding of the Department's Overseas Presence
DOE O 410.2, Admin Chg. 1 dated 4/10/14	8/17/09	Management of Nuclear Materials
DOE O 412.1A, Admin Chg. 1 dated 5/21/14	4/21/05	Work Authorization System
DOE O 413.1B	10/28/08	Internal Control Program
DOE O 413.2C	10/22/15	Laboratory Directed Research and Development
DOE O 413.3B, Chg. 2 dated 5/12/16	11/29/10	Program and Project Management for the Acquisition of Capital Assets <sup>2</sup>
DOE O 414.1D, Admin Chg.1 dated 5/8/13	4/25/11	Quality Assurance
DOE O 415.1, Admin Chg. 1 dated 1/16/13	12/3/12	Information Technology Project Management
NA SD 415.1	9/3/14	Project Oversight for Information Technology
DOE O 420.1C, Change 1 dated 2/27/15	12/4/12	Facility Safety

<sup>2</sup> The annual Value Engineering accomplishment progress report required by paragraph c.9 of the CRD shall be submitted to OECM through the SFO

DOE O 420.2C	7/21/11	Safety of Accelerator Facilities
DOE O 422.1, Admin Chg. 2 dated 12/3/14	6/29/10	Conduct of Operations
DOE O 425.1D, Admin Chg. 1, dated 4/2/13	4/16/10	Verification of Readiness to Start up or Restart Nuclear Facilities
DOE O 426.2 Admin Chg. 1, dated 7/29/13	4/21/10	Personnel Selection, Training, Qualification, and Certification Requirements for DOE Nuclear Facilities
DOE O 430.1B Admin Chg. 2, dated 4/25/11	9/24/03	Real Property and Asset Management
DOE O 433.1B, Admin Chg. 1 dated 3/12/13	4/21/10	Maintenance Management Program for DOE Nuclear Facilities
DOE N 435.1	8/9/11	Contact-Handled and Remote-Handled Transuranic Waste Packaging
DOE O 435.1, Chg. 1 dated 8/28/01	7/9/99	Radioactive Waste Management
DOE O 436.1	5/2/11	Departmental Sustainability
DOE O 440.2C, Chg. 1 dated 6/22/11	6/15/11	Aviation Management and Safety
DOE M 441.1-1 Admin Chg. 1 dated 2/24/16	3/7/08	Nuclear Material Packaging Manual <sup>3</sup>
DOE O 442.2	7/29/11	Differing Professional Opinions for Technical Issues Involving Environment, Safety, and Health
DOE N 443.1	1/21/16	Protection of Human Research Subjects in Classified Research
DOE O 443.1B	3/17/11	Protection of Human Research Subjects
DOE O 452.1E	1/26/15	Nuclear Explosive and Weapon Surety Program
DOE O 452.2E	1/26/15	Nuclear Explosive Safety
NA SD 452.2	11/17/14	Nuclear Explosive Safety Evaluation Process
DOE O 452.3	6/8/05	Management of the Department of Energy Nuclear Weapons Complex
NNSA SD M 452.3-1	12/10/09	Defense Programs Business Requirements and Processes Manual
DOE O 452.4C	8/28/15	Security and Use Control of Nuclear Explosives and Nuclear Weapons

<sup>3</sup> New material acquired from various programs and projects that are subject to DOE M 441.1-1 must have an approved container for storage, or must have a plan (approved by SFO) in place to develop a container to comply with the packaging and storage requirements, when it comes on site.

DOE O 452.6A	4/14/09	Nuclear Weapon Surety Interface with the Department of Defense
DOE O 452.7	5/14/10	Protection of Use Control Vulnerabilities and Designs
DOE O 452.8	7/21/11	Control of Nuclear Weapons Data
DOE O 456.1, Admin Chg. 1 dated 2/14/13	5/31/11	The Safe Handling of Unbound Engineered Nanoparticles
DOE O 457.1A	8/26/13	Nuclear Counterterrorism
DOE O 458.1, Admin Chg. 3 dated 1/15/13	2/11/11	Radiation Protection of the Public and the Environment
DOE O 460.1C	5/14/10	Packaging and Transportation Safety
DOE O 460.2A	12/22/04	Departmental Materials Transportation & Packaging Management
DOE M 460.2-1A	6/04/08	Radioactive Material Transportation Practices Manual
DOE O 461.1B	12/16/10	Packaging and Transportation for Offsite Shipment of Materials of National Security Interest
DOE O 461.2	11/1/10	Onsite Packaging and Transfer of Materials of National Security Interest
DOE O 462.1, Admin Chg. 1 dated 7/10/13	11/10/08	Import and Export of Category 1 and 2 Radioactive Sources and Aggregated Quantities
DOE O 470.3B	8/12/08	Graded Security Protection (GSP) Policy
DOE O 470.4B, Admin Chg. 1 dated 2/15/13	7/21/11	Safeguards and Security Program <sup>4</sup>
DOE O 470.6	9/2/15	Technical Security Program
DOE M 470.6	10/12/10	Information Security Manual <sup>5</sup>
DOE O 471.1B	3/1/10	Identification and Protection of Unclassified Controlled Nuclear Information
DOE O 471.3, Admin Chg. 1 dated 1/13/11	4/9/03	Identifying and Protecting Official Use Only Information
DOE M 471.3-1, Admin Chg.1 dated 1/13/11	4/9/03	Manual for Identifying and Protecting Official Use Only Information
DOE O 471.5	3/29/11	Special Access Program

<sup>4</sup> Shall provide SFO with the required documentation (DD254 or DOE F 470.1) for *new* classified Strategic Partnership Projects (SPP) activity and shall provide notification as required to update the form through the lifecycle of the activity. This does not include those SPP activities excluded by policy.

<sup>5</sup> DOE O 471.6, Admin Chg. 1, dated 06/20/2011, replaced DOE M 470.4-4A, except Section D and the classified Technical Surveillance Countermeasures Annex

DOE O 471.6, Admin Chg. 2 dated 5/15/15	6/29/11	Information Security
DOE O 472.2, Chg. 1 dated 7/9/14	7/21/11	Personnel Security
DOE O 473.3A	3/23/16	Protective Program Operations
DOE O 474.2, Admin Chg. 3 dated 5/15/15	6/27/11	Nuclear Material Control and Accountability
DOE O 475.1	12/10/14	Counterintelligence Program
DOE O 475.2B	10/3/14	Identifying Classified Information
DOE O 481.1C, Admin Chg. 2,	3/9/15	Strategic Partnership Projects (Formerly known as Work for Others Non-Department of Energy funded work)
DOE O 483.1A	11/6/13	DOE Cooperative Research and Development Agreements
DOE O 484.1 Admin Chg. 2	6/30/14	Reimbursable Work for the Department of Homeland Security
DOE O 522.1	11/3/04	Pricing of Departmental Materials and Services
DOE O 534.1B	1/6/03	Accounting
DOE O 551.1D, Chg. 1 dated 8/13/15	4/2/12	Official Foreign Travel
DOE O 580.1A Admin Chg. 1 dated 10/22/12	3/30/12	Department of Energy Personal Property Management Program
DOE O 5480.30, Chg. 1 dated 3/14/01	1/19/93	Nuclear Reactor Safety Design Criteria
DOE O 5639.8A	7/23/93	Security of Foreign Intelligence Information and Sensitive Compartmented Information Facilities
NAP 14.1-D	12/14/12	Baseline Cyber Security Program
NAP 14.3-B	5/2/08	Transmission of Restricted Data Over Secret Internet Protocol Router Network (SIPRNet)
NAP 23, Admin Chg. 1 dated 2/9/15	12/13/12	Atomic Energy Act Control of Import and Export Activities
NAP 24	6/20/13	Weapon Quality Policy
NAP 70.2	7/2/10	Physical Protection
QP 100-1	3/1/13	Quality Requirements to UK and US Procurement Contracts and Loan Authorizations for Research, Design, and Development