

2. AMENDMENT/MODIFICATION M041	3. EFFECTIVE DATE See Block 16.C	4. REQUISITION/PURCHASE REQ. NO. NOPR	5. PROJECT NO. (If applicable)
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6. ISSUED BY U. S. Department of Energy National Nuclear Security Administration P. O. Box 2001 Oak Ridge, TN 37831	7. ADMINISTERED BY (If other than Item 6)
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8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) BWXT Y-12 P. O. Box 2009 MS 8014 Oak Ridge, TN 37831-8014	CODE		9A. AMENDMENT OF SOLICITATION NO.
			9B. DATED (SEE ITEM 11)
		X	10A. MODIFICATION OF CONTRACT/ORDER DE-AC05-00OR22800
			10B. DATED (SEE ITEM 13) August 31, 2000

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATION

The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of offers is extended. is not extended.
 Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:
 (a) By completing Items 8 and 15, and returning copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. **FAILURE OF YOU ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER.** If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ ORDERS, IT MODIFIES CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

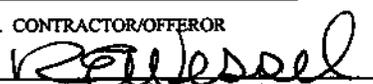
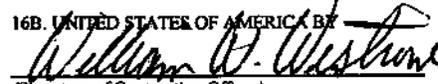
	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT/ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
X	D. OTHER (Specify type of modification and authority) Public Law 95-91 and Mutual Agreement

E. IMPORTANT: Contractor is not, X is required to sign this document and return 2 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/ MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

Attachment E to the contract is deleted and replaced with the attached Requirements Change Notice NNSA-07 dated 7/5/2002.

Except as provided herein, all terms and conditions of the document referenced in Item 9A and 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Ron Wessel Director, Office of Prime Contract	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) William W. Wistrom Contracting Officer
15B. CONTRACTOR/OFFEROR  (Signature of person authorized to sign)	15C. DATE SIGNED 7/11/02
16B. UNITED STATES OF AMERICA BY  (Signature of Contracting Officer)	16C. DATE SIGNED 7/11/02

DOE Form
(04/1991)

**U.S. Department of Energy
REQUIREMENTS CHANGE NOTICE**

No.:

NNSA-07

Page 1 of 22 Pages

PROJECT:
Baseline List of Required Compliance Documents

LOCATION:
Oak Ridge, Tennessee

CONTRACTOR:
BWXT Y-12, L.L.C.

CONTRACT NO.:
DE-AC05-00OR22800, I.116, Laws, Regulations, and
DOE Directives (June 1997), DEAR 970.5204-78

DATE OF CONTRACT:
August 31, 2000

This Requirements Change Notice (RCN) No. NNSA-07 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents which have been assessed against the terms and conditions of the subject contract in accordance with the above referenced clause. Changes to Section J, Attachment E, are indicated by bold type.

Below is a list of the directives incorporated herein:

ADDITIONS:

DOE O 221.3 DOE N 251.43 DOE N 474.1 ORO O 410, Chapter I, Change 2

DELETIONS:

DOE 2320.2B ORO O 410, Chapter I, Change 1 ORO O 470, Chapter IV

COMPLIANCE LINE UPDATES:

DOE N 350.6 DOE M 471.2-1C

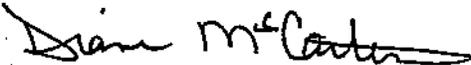
EXPIRATION DATES EXTENDED BY DOE N 251.43

DOE N 142.1 DOE O 470.1 DOE O 471.2A DOE O 472.1B

UPDATES:

S/RID 13.0 - TR - Revised Packaging and Transportation Requirements

DOE AUTHORIZING SIGNATURE:



Diane McCarten, Contracting Officer's Representative for Directives

DATE:

7/5/02

OUTLINE OF CHANGES

REQUIRED DOCUMENT	DATE	DESCRIPTION	APPROVAL	APPROVAL DATE
<u>RD #133</u>	01/12/2001	Acceptance of Valid Worker's Compensation Claims		DOE Concurrence Form dtd 03/04/2002
DOE N 350.6				
<i>Modification of compliance line to read: Compliance will be contingent upon allocation of required funding in support and maintenance of new requirements, and in accordance with an Implementation Plan approved by DOE 03/04/2002.</i>				
<u>RD#134</u>	02/15/2002	Extension of DOE Directives on Security		DOE ltr dtd 03/01/2002 Extends DOE N 142.1, DOE O 470.1, DOE O 471.2A, and DOE O 472.1B until 08/15/2002.
DOE N 251.43				
<i>Addition</i>				
<u>RD #135</u>	12/17/2001	Establishment of Management Decisions on Office of Inspector General Reports		DOE ltr dtd 02/15/2002 BWXT Y-12 ltr dtd 03/14/2002
DOE O 221.3				
<i>Addition</i>				
<u>RD #136</u>	05/18/1992	Establishment of Departmental Position on Inspector General Reports		DOE ltr dtd 02/15/2002 BWXT Y-12 ltr dtd 03/14/2002
DOE 2320.2B				
<i>Deletion</i>				
<u>RD #137</u>	09/24/1996	Work Authorization System	2 02/06/2002	DOE ltr dtd 02/26/2002 BWXT Y-12 ltr dtd 03/22/2002
ORO O 410 Chapter I				
<i>Addition</i>				
<u>RD #138</u>	09/24/1996	Work Authorization System	1 12/01/1998	DOE ltr dtd 02/26/2002 BWXT Y-12 ltr dtd 03/22/2002
ORO O 410 Chapter I				
<i>Deletion</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THREAT/ RISK	MITIGATION
<u>RD #139</u>	02/01/2002	Extension of DOE M 474.1-2		DOE ltr dtd 03/22/2002 BWXT Y-12 ltr dtd 04/17/2002
DOE N 474.1				
<i>Addition</i>				
<u>RD #140</u>	05/03/2002	PACKAGING AND TRANSPORTATION (TR)		DOE ltr dtd 04/08/2002 BWXT Y-12 ltr dtd 05/07/2002
S/RID 13.0				
<i>Revised S/RID to reflect change of source document from DOE O 440.2, AVIATION, dated October 26, 1995 to DOE O 440.2A, AVIATION MANAGEMENT AND SAFETY, dated March 8, 2002.</i>				
<u>RD #141</u>	05/15/1996	Control of Weapon Data		BWXT Y-12 e-mail message dtd 05/29/2002
ORO O 470 Chapter IV				
<i>Deletion</i>				
<u>RD #142</u>	04/17/2001	Classified Matter Protection and Control Manual		DOE ltr dtd 12/12/2001 BWXT Y-12 ltr dtd 03/18/2002
DOE M 471.2-1C				
<i>Modification of compliance line to read: Compliance will be contingent upon approval of a Deviation Request (Variance) submitted to DOE on 05/31/2002.</i>				

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	APPLICABLE TO	NOTES AND COMMENTS
DOE O 110.3	11/03/1999	CONFERENCE MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE 07/25/2001.</i>				
DOE O 130.1	09/29/1995	BUDGET FORMULATION PROCESS		
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE N 142.1	07/14/1999	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS		See Footnote (5).
DOE N 153.1	02/26/2001	CONNECTIVITY TO ATMOSPHERIC RELEASE ADVISORY CAPABILITY		
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
DOE M 200.1-1	03/1997	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		See Footnote (2).
DOE N 205.1	07/26/1999	UNCLASSIFIED CYBER SECURITY PROGRAM		See Footnote (2).
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE 08/11/2000.</i>				
DOE N 205.2	11/01/1999	FOREIGN NATIONAL ACCESS TO DOE CYBER SYSTEMS		See Footnote (2).

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DOE DIRECTIVES				
DOE Directives can be found on the following internet address: http://www.doe.gov				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 205.3	11/23/1999	PASSWORD GENERATION, PROTECTION, AND USE		See Footnote (2).
DOE O 221.1	03/22/2001	REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.2	03/22/2001	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
DOE O 221.3	12/17/2001	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 224.1	12/08/1997	CONTRACTOR PERFORMANCE-BASED BUSINESS MANAGEMENT PROCESS		
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT		
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		
DOE N 251.43	02/15/2002	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE O 470.1, DOE O 471.2A, and DOE O 472.1B until 08/15/2002.
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	MARKING REFERENCE	NOTES AND COMMENTS
DOE N 311.1	12/21/2000	EXTENSION OF DOE O 311.1A, EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM		
DOE O 311.1A	12/30/1996	EQUAL EMPLOYMENT OPPORTUNITY AND DIVERSITY PROGRAM		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE 03/31/1998.</i>				
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	1 05/08/1998	
DOE O 350.2	07/12/2001	USE OF FACILITY CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA		
DOE N 350.6	01/12/2001	ACCEPTANCE OF VALID WORKERS' COMPENSATION CLAIMS		Errata Sheet, distributed 04/24/2001.
<i>Compliance: Compliance will be contingent upon allocation of required funding in support and maintenance of new requirements and in accordance with an Implementation Plan approved by DOE on 03/04/2002.</i>				
DOE O 412.1	04/20/1999	WORK AUTHORIZATION SYSTEM		
DOE N 413.1	12/10/1999	EXTENSION OF DOE O 413.1, MANAGEMENT CONTROL PROGRAM		See Footnote (3).
DOE O 413.1	12/06/1995	MANAGEMENT CONTROL PROGRAM		

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	APPLICABLE SERVICE	NOTES AND COMMENTS
DOE O 413.3	10/13/2000	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		Cancels paragraphs 6e(7); 7a(3); 7b(11) and (14); 7c(4), (6), (7), (11) and (16); 7d(4) and (8); 7e(3), (10) and (17); and, Attachment 1, Definitions (items 30, 42, and 48) and Attachment 2, CRD (paragraph 1d regarding a project management system) of DOE O 430.1A.
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE 10/09/1998.</i>				
DOE O 430.1A	10/14/1998	LIFE CYCLE ASSET MANAGEMENT		DOE O 413.3 cancels paragraphs 6e(7); 7a(3); 7b(11) and (14); 7c(4), (6), (7), (11) and (16); 7d(4) and (8); 7e(3), (10) and (17); and, Attachment 1, Definitions (items 30, 42, and 48) and Attachment 2, CRD (paragraph 1d regarding a project management system).
<i>Compliance: LMES letter dated 03/15/1999, stated a non-compliance issue related to DOE funding which is under consideration by the COR.</i>				

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

DOE DIRECTIVES				
DOE Directives are to be maintained in following internal address: DDP/STO/DOE/DOE-51-0050				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	PARAGRAPH CHANGE	NOTES AND COMMENTS
DOE O 430.2	06/13/1996	IN-HOUSE ENERGY MANAGEMENT		Extended until 12/13/2000 by DOE N 430.2. Extended until 06/13/2001 by DOE N 430.3.
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE 07/25/2001.</i>				
DOE N 430.3	12/13/2000	EXTENSION OF DOE O 430.2, IN-HOUSE ENERGY MANAGEMENT		Extends DOE O 430.2 until 06/13/2001.
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
DOE O 443.1	05/15/2000	PROTECTION OF HUMAN SUBJECTS		
DOE M 452.4-1	07/01/1999	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 470.1	09/28/1995	SAFEGUARDS AND SECURITY PROGRAM	1 06/21/1996	See Footnote (5).
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		See Footnote (2).
DOE O 470.2A	03/01/2000	SECURITY AND EMERGENCY MANAGEMENT INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		
DOE O 471.1A	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		

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REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following internet address: http://www.ohrp.doe.gov				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	REVISIONS/ISSUANCE DATE	NOTES AND COMMENTS
DOE M 471.1-1	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL	1 10/23/2001	
DOE O 471.2A	03/27/1997	INFORMATION SECURITY PROGRAM		See Footnote (5).
DOE M 471.2-1B	01/06/1999	CLASSIFIED MATTER PROTECTION AND CONTROL MANUAL		Canceled by DOE M 471.2-1C except for Chapter III, paragraphs 1 and 2, and Chapter IV, which remain in effect.
<i>Compliance: Compliance will be in accordance with an Implementation Plan submitted to DOE on 08/05/1999.</i>				
DOE M 471.2-1C	04/17/2001	CLASSIFIED MATTER PROTECTION AND CONTROL MANUAL		Cancels and replaces DOE M 471.2-1B except Chapter III, paragraphs 1 and 2, and Chapter IV.
<i>Compliance: Compliance will be in accordance with a Deviation Request (Variance) submitted to DOE on 05/31/2002.</i>				
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6); and Chapter VII, paragraph 12a(2)(a) canceled by DOE N 205.3.
DOE M 471.2-3	04/20/2000	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES MANUAL (OFFICIAL USE ONLY)		

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

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REQUIRED COMPLIANCE REQUIREMENT	DATE	TITLE	TRIAL OF CHANGE	WORK AND OBLIGATIONS
DOE N 471.3	04/13/2001	REPORTING INCIDENTS OF SECURITY CONCERN		
DOE O 472.1B	03/24/1997	PERSONNEL SECURITY ACTIVITIES		See Footnote (5).
DOE M 472.1-1B	07/12/2001	PERSONNEL SECURITY PROGRAM MANUAL		
DOE N 473.4	05/26/2000	DEPARTMENT OF ENERGY BADGES		See Footnote (2).
DOE N 473.5	06/05/2000	SECURITY AREA VOUCHING AND PIGGYBACKING		See Footnote (2).
DOE N 473.6	09/18/2000	SECURITY CONDITIONS		See Footnote (2).
<i>Compliance: DOE Office of Security and Emergency Operations will allocate funding or provide priority guidance necessary to meet increased Security Condition (SECON) levels imposed by the Notice.</i>				
DOE N 473.7	10/26/2000	EXPLOSIVE DETECTION PROGRAM		See Footnote (2).
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan submitted to DOE on 07/24/2001.</i>				
DOE N 474.1	02/01/2002	EXTENSION OF DOE M 474.1-2		
DOE O 474.1A	11/20/2000	CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS		
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan submitted to DOE on 07/31/2001.</i>				

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DOE DIRECTIVES				
DOE Directives are located in the following internal address: http://www.directives.doe.gov				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	DEPT. OF ENERGY	NOTES AND COMMENTS
DOE M 474.1-1A	11/20/2000	MANUAL FOR CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS		
<i>Compliance: Compliance will be contingent upon approval of an Implementation Plan submitted to DOE on 07/31/2001.</i>				
DOE M 474.1-2	02/10/1998	NUCLEAR MATERIALS MANAGEMENT AND SAFEGUARDS SYSTEM REPORTING AND DATA SUBMISSION	2 11/16/1998	
DOE M 475.1-1A	02/26/2001	IDENTIFYING CLASSIFIED INFORMATION		
DOE O 481.1B	09/28/2001	WORK FOR OTHERS (NON-DEPARTMENT OF ENERGY FUNDED WORK)		
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
<i>Compliance: Compliance will be contingent upon allocation of required funding and an Implementation Plan approved by DOE 10/31/2001.</i>				
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	OTHER DATE	NOTES AND COMMENTS
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		
DOE O 534.1A	07/05/2001	ACCOUNTING		
DOE O 551.1A	08/25/2000	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE 1270.2B	06/23/1992	SAFEGUARDS AGREEMENT WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY (IAEA)		See Footnote (1).
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1350.1	10/28/1981	AUDIO VISUAL AND EXHIBITS MANAGEMENT	1 03/26/1984	
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		
DOE 2100.8A	01/27/1993	COST ACCOUNTING, COST RECOVERY, AND INTERAGENCY SHARING OF INFORMATIONAL TECHNICAL FACILITIES		

**BASELINE LIST OF
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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.dhs.gov/dhsweb01/DOEDirectives				
DOE DIRECTIVE IDENTIFICATION	DATE	TITLE	THROUGH DATE	NOTES AND COMMENTS
DOE 2110.1A	07/14/1988	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES	2 05/18/1992	
<p><i>Compliance: Change 2 of the Order failed to incorporate interim guidance, "Policy on Waiver of DOE's Added Factor and Depreciation." Subsequent clarification from ORO confirmed the need to continue following this interim guidance.</i></p> <p><i>Compliance: Implementation will be in accordance with DOE-ORO letter from J. R. Martin, Director, Finance Division, dated 08/03/1994, regarding the application of the departmental added factor (DAF) based on the source of funds. Effective 08/03/1994 the DAF rate will be applied to any work performed for a non-Federal entity (as opposed to full cost factor and depreciation normally applied to private work) if the work is financed from another Federal entity. Written documentation should be provided from the non-Federal entity or the other Federal agency. This change in policy applies only to new work.</i></p> <p><i>Compliance: Implementation will be in accordance with DOE memorandum from Elizabeth E. Smedley, Controller, dated 08/25/1994, regarding the waiver of the added factor and depreciation for small businesses and nonprofit organizations participating in funds-in agreements, effective 10/01/1994. This waiver is valid for one year, through 09/30/1995.</i></p>				
DOE 2300.1B	06/08/1992	AUDIT RESOLUTION AND FOLLOWUP		
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1).
DOE 5560.1A	05/08/1985	PRIORITIES AND ALLOCATIONS PROGRAM		
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5632.1C	07/15/1994	PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS		

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	VERSION	REVISIONS AND COMMENTS
DOE M 5632.1C-1	07/15/1994	MANUAL FOR PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS	1 04/10/1996	DOE O 470.1 canceled Chapter XI. DOE O 471.2 canceled Chapter III, paragraphs 1, 2, and 4 thru 9.
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5660.1B	05/26/1994	MANAGEMENT OF NUCLEAR MATERIALS		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		
<i>Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.</i>				
DOE 5670.3	09/04/1992	COUNTERINTELLIGENCE PROGRAM		

**BASELINE LIST OF
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ALBUQUERQUE DIRECTIVES (ALQ)				
ALQs may be found at the following internet address: http://www.therc.com/qa/req-dir-pub/				
REQUIRED COMPLIANCE DOCUMENTS	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL 56XB, Revision 1	02/01/1992	NUCLEAR WEAPON DEVELOPMENT AND PRODUCTION MANUAL		

**BASELINE LIST OF
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ORO DIRECTIVES				
ORO Directives may be found at the following internet address: http://www.ornl.gov/iro/iro.html#directives				
REQUIRED COMPLIANCE DOCUMENTS	DATE	TITLE	THROUGH DATE	SCENARIOS AND SCHEMATIC
ORO O 130 Chapter II	05/15/1996	BUDGET SHUTDOWN OF DEPARTMENTAL OPERATIONS UPON FAILURE OF CONGRESS TO ENACT APPROPRIATIONS	2 07/28/1999	
ORO O 140 Chapter II	05/15/1996	EXTERNAL RELATIONSHIPS SAFEGUARDS AGREEMENT WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY (IAEA)	2 01/26/2001	
ORO O 220 Chapter II Chapter III Chapter IV Chapter VII	09/30/1996 05/31/1996 05/31/1996 05/31/1996	ASSESSMENTS COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL ESTABLISHMENT OF DEPARTMENTAL POSITION ON INSPECTOR GENERAL REPORTS COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES AUDIT RESOLUTION AND FOLLOWUP	1 01/07/1999 2 05/11/2001 2 06/18/2001 2 06/19/2001	
ORO O 250 Chapter I Chapter II	08/13/1996 08/13/1996	STANDARDS MANAGEMENT ORO STANDARDS MANAGEMENT PROGRAM OVERVIEW OAK RIDGE OPERATIONS DIRECTIVES SYSTEM	2 04/25/2001 3 04/25/2001	

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List B - List of Applicable Directives

<p align="center">ORO DIRECTIVES</p> <p align="center">ORO Directives may be found in the following internet address: http://www.oakridge.gov/arc/doing/overhead.htm</p>				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	PIERCED BY DIRECTIVE	NOTES AND COMMENTS
ORO O 250		STANDARDS MANAGEMENT		
Chapter IV	08/13/1996	IMPACT ASSESSMENTS	2 04/26/2001	
Chapter V	08/13/1996	DEVELOPMENT, APPROVAL, AND MAINTENANCE OF WORK SMART STANDARDS	3 06/19/2001	
Chapter VI	09/30/1996	DIRECTIVE IMPLEMENTATION PLANS AND EXEMPTION REQUESTS	2 04/26/2001	
Chapter VII	08/13/1996	MAINTENANCE OF STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS	2 04/27/2001	
Chapter VIII	04/27/2001	REQUIREMENTS CHANGE NOTICES		
ORO O 350		CONTRACTOR HUMAN RESOURCE PROGRAMS		
Chapter III	05/31/1996	FEDERAL LABOR STANDARDS	1 04/29/1999	
Chapter VI	06/28/1996	WORKPLACE SUBSTANCE ABUSE PROGRAMS AT OAK RIDGE SITES	1 09/30/1998	
ORO O 410		MANAGEMENT		
Chapter I	09/24/1996	WORK AUTHORIZATION SYSTEM	2 02/06/2002	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

NUCLEAR COMPLIANCE DOCUMENT	DATE	TITLE	REVISION CHANGE	NOTES AND COMMENTS
ORO O 410 Chapter II	05/31/1996	MANAGEMENT MANAGEMENT OF NUCLEAR MATERIALS	2 07/16/2001	
<i>Compliance: Compliance will be contingent upon allocation of required funding.</i>				
ORO O 430 Chapter I	09/30/1996	LIFE CYCLE FACILITY OPERATIONS LIFE CYCLE ASSET MANAGEMENT	5 07/07/1999	
ORO O 440 Chapter V	09/30/1996	WORKER PROTECTION EMPLOYEE CONCERNS MANAGEMENT SYSTEM	2 07/07/1999	
ORO O 450 Chapter III	09/30/1996	PROTECTION OF THE PUBLIC AND ENVIRONMENT CULTURAL RESOURCES MANAGEMENT PROGRAM	1 04/09/1999	
ORO O 470 Chapter I Chapter VII Chapter IX	09/30/1996 05/15/1996 05/15/1996	SAFEGUARDS AND SECURITY SAFEGUARDS AND SECURITY PROGRAM PROTECTION AND CONTROL OF SAFEGUARDS AND SECURITY INTERESTS CONTROL AND ACCOUNTABILITY OF NUCLEAR MATERIALS	1 04/16/1999 2 08/20/1999 1 05/10/1999	Attachment 2 does not apply to contractor.

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

ORO DIRECTIVES				
(ORO Directives may be found in the following internal address: http://www.ornl.gov/doc/proc/procindex.html)				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	REVISION CHANGE	NOTES AND COMMENTS
ORO O 470 Chapter XI	09/30/1996	SAFEGUARDS AND SECURITY SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES	1 04/23/1999	
Chapter XII	05/15/1996	COUNTERINTELLIGENCE (CI) PROGRAM	1 07/22/1998	
ORO N 471.2	03/05/1996	TECHNICAL SURVEILLANCE COUNTERMEASURES (TSCM) PROGRAM - Use of Telephone Lineman-type Handsets or Items Similar in Purpose, Use, or Effect on DOE-owned or -Leased Property	3 02/12/2001	
ORO O 530 Chapter III	06/18/1996	ACCOUNTING ACCOUNTING	3 08/08/2001	
<p><i>Compliance: BWXT Y-12 is in compliance with this directive provided that, in lieu of Item 2 of the Contractor Requirements Document, BWXT Y-12 will prepare a Cost Accounting Disclosure Statement in accordance with the format and content as established by the Cost Accounting Standards Board, and submit it to the Director, Business Management, National Nuclear Safety Administration, Y-12 Site Office, for review and approval.</i></p>				
ORO O 550 Chapter II	09/26/2001	TRAVEL AND TRANSPORTATION FOREIGN TRAVEL AUTHORIZATION		

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS****List B - List of Applicable Directives**

REQUIRED COMPLIANCE DOCUMENT	EFFECTIVE DATE	TITLE	PROJECT	REFERENCES AND COMMENTS
	08/31/2001	OAK RIDGE OPERATIONS OFFICE PROCEDURES FOR THE CONDUCT OF INQUIRIES INTO INCIDENTS OF SECURITY CONCERN		DOE ltr dtd 11/07/2001 BWXT Y-12 ltr dtd 02/05/2002 See Footnote (4)

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

FUNCTIONAL AREA	TITLE	REVISION NUMBER	DATE	COMPLIANCE STATUS
1.0	MS - Management Systems	7	02/22/2002	
2.0	QA - Quality Assurance	5	09/13/2001	Type 1 Change
3.0	CM - Configuration Management	2	08/23/1996	
4.0	TQ - Training and Qualification	5	10/17/2001	
5.0	EM - Emergency Management	6	10/19/2001	
9.0	OP - Operations	2	03/16/1999	
10.0	MA - Maintenance	7	01/11/2002	
11.0	RP - Radiation Protection	3	08/17/2000	
12.0	FP - Fire Protection	3	04/23/1998	
13.0	TR - Packaging and Transportation	7	05/03/2002	Type 1 Change
18.0	FS - Facility (Nuclear) Safety	8	07/19/2001	
19.0	SH - Occupational Safety and Health	5	05/04/2001	
20.0	EP - Environmental Protection	7	10/15/2001	
21.0	CS - Nuclear Criticality Safety	0	07/19/2001	

**BASELINE LIST OF
REQUIRED COMPLIANCE DOCUMENTS**

List B - List of Applicable Directives

Document Title	Effective Date	Document Description	Document Status	Notes and Comments
WSS Set	03/25/1997	ENGINEERING DESIGN AND CONSTRUCTION (ED&C) WSS SET		This ED&C WSS Set will be canceled when the requirements have been converted into an S/RID.

FOOTNOTES:

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This directive was extended until 12/30/2001, by DOE N 251.40, EXTENSION OF DOE NOTICES ON SECURITY, dated 05/03/2001.
- (3) Modified by DOE Headquarters ERRATA Sheet dated 05/16/2001 to extend the expiration date to 12/06/2001.
- (4) This procedure incorporates changes to DOE N 471.3, REPORTING INCIDENTS OF SECURITY CONCERN, dated April 13, 2001.
- (5) This directive was extended until 08/15/2002 by DOE N 251.43, EXTENSION OF DOE DIRECTIVES ON SECURITY, dated 02/15/2002.