

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. CONTRACT ID CODE AC	PAGE 1 of 5 PAGES
2. AMENDMENT/MODIFICATION NO. M180	3. EFFECTIVE DATE See Block 16c.	4. REQUISITION/PURCHASE REQ. NO. NOPR		5. PROJECT NO. (If applicable)
6. ISSUED BY U.S. Department of Energy National Nuclear Security Administration P.O. Box 2050 Oak Ridge, TN 37831		7. ADMINISTERED BY (If other than Item 6) CODE		
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, state, ZIP Code) Babcock & Wilcox Technical Services Y-12, LLC P.O. Box 2009 MS 8014 Oak Ridge, TN 37831-8014			9A. AMENDMENT OF SOLICITATION NO.	
CODE			9B. DATED (SEE ITEM 11)	
FACILITY CODE			10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC05-000R22800	
			10B. DATED (SEE ITEM 13) August 31, 2000	

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation as amended, by one of the following methods:
 (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required):

**13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS;
IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO (Specify authority): THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE-NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (Such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: P.L. 95-91 and Clause I.85 Laws, Regulations, and DOE Directives
	D. OTHER (Specify type of modification and authority):

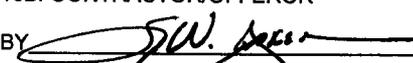
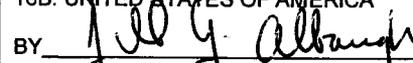
E. IMPORTANT: Contractor is required to sign this document and return 2 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

The purpose of this modification is to update clause B.2 TRANSITION COST, ESTIMATED COST, MAXIMUM AVAILABLE FEE, AND AVAILABLE FEE, and to incorporate Requirements Change Notice Number NNSA-33 dated 12/23/2008 into the contract

See Page 2

Except as provided herein, all terms and conditions of the document referenced in Items 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Scott W. Baker, Senior Vice President Business services		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Jill Y. Albaugh Contracting Officer	
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED 1-27-09	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED 1/27/09
BY  <i>(Signature of person authorized to sign)</i>		BY  <i>(Signature of Contracting Officer)</i>	

1. Information added to Clause B.2 is highlighted in yellow.

B.2 TRANSITION COST, ESTIMATED COST, MAXIMUM AVAILABLE FEE, AND AVAILABLE FEE

(a) **Estimated Cost:**

- (1) The term of the transition period is from September 1, 2000 through October 31, 2000. The transition period will be on a cost reimbursement basis and the estimated cost will be negotiated after contract award.
- (2) The Estimated Cost of the Fiscal Year (FY) 2001 period of performance is \$567,376,000 (including fee).
- (3) The Estimated Cost of the Fiscal Year (FY) 2002 period of performance is \$634,411,000 (including fee).
- (4) The Estimated Cost of the Fiscal Year (FY) 2003 period of performance is \$674,299,000 (including fee).
- (5) The Estimated Cost of the Fiscal Year (FY) 2004 period of performance is \$719,428,000 (including fee).

(b) **Fee:**

- (1) The Estimated Fee Base as defined in Department of Energy Acquisition Regulations (DEAR) 970.15 for FY 2001 is \$484,177,068. The proposed Maximum Available Fee for FY 2001 is \$22,000,000.
 - (i) The Estimated Fee Base for FY 2002 is \$527,634,396. The Maximum Available Fee for FY 2002 is \$22,000,000.
 - (ii) The Estimated Fee Base for FY 2003 is \$602,760,811. The Maximum Available Fee for FY 2003 is \$22,940,552.
 - (iii) The Estimated Fee Base for FY 2004 is \$633,649,655. The Maximum Available Fee for FY 2004 is \$26,200,000.
- (2) The Maximum Available Fee as proposed and set forth in (b)(1) above for FY 2001 shall also serve as the Maximum Available Fee for FY 2002 - FY 2005 and Option Years FY 2006 - FY 2010 unless the Estimated Fee Base for a given fiscal year deviates by more than plus or minus 15% from the Estimated Fee Base set forth above for FY 2001. The proposed Maximum Available Fee shall be applicable to the prime contractor and its members in a joint venture or Limited Liability Company, teaming partners, and subcontractors identified and considered as part of the selection and award of this contract, if any.
- (3) In the event the Estimated Fee Base deviates for any fiscal year more than plus or minus 15% from the Estimated Fee Base set forth in (b)(1) above, the Contractor agrees to negotiate with the U.S. Department of Energy (DOE) an equitable adjustment to the Maximum Available Fee amount to reflect the impact of such deviation. The new Maximum Available Fee for that fiscal year will be the amount calculated by DOE in accordance with DEAR 970.15 (consistent with the FY 2001 fee computation methodology), multiplied by the ratio of the proposed FY 2001 Maximum Available Fee in (b)(1) above and \$23,198,932. In the event the parties are unable to reach agreement on the Maximum Available Fee amount, the Government reserves the right to unilaterally establish the Maximum Available Fee amount.
- (4) The Available Fee for FY 2001 - FY 2005 and Option Years FY 2006 - FY 2010 will be negotiated annually (or any other period as may be mutually agreed to between the parties) between the Contractor and the Government. The Available Fee will be equal to or less than the Maximum Available Fee defined in (b)(1) above. The Available Fee shall be established considering the level of complexity, difficulty, cost effectiveness, and risk associated with specific objectives/incentives defined in the Performance Evaluation Plan (PEP), including work involving multiple-site taskings/objectives. Higher or lower levels of complexity, difficulty, cost effectiveness, and risk will correspondingly allow a higher or lower available fee. The portion of this Available Fee defined as award fee or comprehensive incentive versus performance-based incentives will be determined for each period during the negotiation of the requirements in the PEP. In the event the parties are unable to reach agreement on the Available Fee amount, the Government reserves the right to unilaterally establish the Available Fee amount. The Contract will be modified to reflect the Available Fee for each period.
- (5) RESERVED
- (6) The fee amounts Available and Earned for the specified Contract Periods are set forth below:

<u>Actual Contract Period</u>	<u>Available Fee</u>	<u>Earned Fee</u>
November 1, 2000, through September 30, 2001		
Award Fee	\$ 8,570,808	\$ 7,530,985
Performance Based Incentives	<u>\$ 11,595,858</u>	<u>\$ 8,604,587</u>
Total Actual Available Fee	\$ 20,166,666	\$ 16,135,572
October 1, 2001, through September 30, 2002		
Award Fee	\$ 6,692,250	\$ 5,554,568
Performance Based Incentives	<u>\$ 15,307,750</u>	<u>\$ 13,758,121</u>
Total Actual Available Fee	\$ 22,000,000	\$ 19,312,689
October 1, 2002, through September 30, 2003		
Award Fee	\$ 12,054,996	\$ 10,849,496
Performance Based Incentives	<u>\$ 10,885,556</u>	<u>\$ 10,339,015</u>
Total Actual Available Fee	\$ 22,940,552	\$ 21,188,511
October 1, 2003, through September 30, 2004		
Award Fee	\$ 16,310,939	\$ 15,810,939
Performance Based Incentives	<u>\$ 9,889,061</u>	<u>\$ 9,074,251</u>
Total Actual Available Fee	\$ 26,200,000	\$ 24,885,190

Fee for the above period will be earned by the contractor based on performance related to both the comprehensive fee performance objectives and the performance based incentives, which are contained in the Performance Evaluation Plan.

- (7) There will be no fee paid for the transition period.
- (c) Beginning in Fiscal Year 2005 the maximum potential fee and the actual available fee for each fiscal year will be established by NNSA prior to the commencement of the applicable fiscal year in accordance with NNSA Policy Letter: BOP-003.0501 dated January 10, 2005 and incorporated into paragraph (c)(4) below by modification.
 - (1) Up to thirty-five percent (35%) of the actual available fee pool shown in (c)(4) below for a given contract period may be paid to the Contractor provisionally in equal monthly increments of one-twelfth (1/12) of the amount per month. This provisional fee payment is the only fee payment that will be made prior to the final fee determination. The final determination of fee will be made by the Fee Determining Official (FDO), in accordance with the fee clauses of this contract. In the event that overpayment results from the payment of fee on a provisional basis, the Contractor shall reimburse such overpayment to the Government upon demand, payable with interest in accordance with FAR 52.232-17, Interest (JUN 1996).
 - (2) Estimated Budget –
 - (i) The estimated budget for FY2005 is \$692,148,000.
 - (ii) The estimated budget for FY2006 is \$682,699,000.
 - (iii) The estimated budget for FY2007 is \$700,000,000.
 - (iv) The estimated budget for FY2008 is \$790,472,000.
 - (v) The estimated budget for FY2009 is ~~\$790,178,000~~.
 - (vi) The estimated budget for FY2010 is TBD

- (3) Work for Others Fee:
- (i) The Work for Others fee percentage for FY2005 is 3.91% (85% of 4.6%).
 - (ii) The Work for Others fee percentage for FY2006 is 4.50% (85% of 5.3%).
 - (iii) The Work for Others fee percentage for FY2007 is 5.95% (85% of 7.0%).
 - (iv) The Work for Others fee percentage for FY2008 is 5.95% (85% of 7.0%).
 - (v) The Work for Others fee percentage for FY2009 is 5.95% (85% of 7.0%).
 - (vi) The Work for Others fee percentage for FY2010 is TBD.
- (4) Cash Work - The amount of fee for work accomplished for other Management and Operating contractors will be negotiated between the two contractors.
- (5) Available and earned fee amounts for the specified contract periods are:

Maximum Potential Available Fee: Amounts shown relate to the estimated budget in (c)(2) above. The fee obtained from work accomplished under paragraphs (c)(3) and (4) above are additive to the amounts shown below.

<u>Contract Period</u>	<u>Maximum Available</u>
October 1, 2004 through September 30, 2005	\$45,280,710
October 1, 2005 through September 30, 2006	\$44,662,551
October 1, 2006 through September 30, 2007	\$45,794,393
October 1, 2007 through September 30, 2008	\$51,713,121
October 1, 2008 through September 30, 2009	\$49,731,271
October 1, 2009 through September 30, 2010	TBD

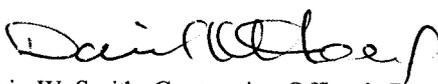
Actual Available and Earned Fee: Actual available fee will be earned by the contractor based on performance related to award fee (AF) objectives, high challenge fee, multi-site fee, and performance based incentives (PBIs) contained in the Performance Evaluation Plan.

<u>Actual Contract Period</u>	<u>Available Fee</u>	<u>Earned Fee</u>
October 1, 2004 through September 30, 2005		
Award Fee	\$18,499,187	\$16,538,795
Performance Based Incentives	<u>\$11,939,445</u>	<u>\$10,947,995</u>
Total Actual Available Fee	\$30,438,632	\$27,486,790
October 1, 2005 through September 30, 2006		
Award Fee	\$18,261,085	\$13,713,228
Performance Based Incentives	<u>\$16,100,783</u>	<u>\$17,821,357</u>
Subtotal	\$34,361,868	\$31,534,585
High Challenge Fee	<u>\$10,300,683</u>	<u>\$ 5,037,250</u>
Total Actual Available Fee	\$44,662,551	\$31,534,585
October 1, 2006 through September 30, 2007		
Base Fee (AF and PBIs)	\$32,056,075	\$27,703,338
Stretch Fee (AF and PBIs)	<u>\$ 9,158,879</u>	<u>\$ 6,577,340</u>
Subtotal	\$41,214,954	\$34,280,678
Multi-Site Fee	<u>\$ 4,579,439</u>	<u>\$ 3,492,321</u>
Total Actual Available Fee	\$45,794,393	\$37,772,999

<u>Actual Contract Period</u>	<u>Available Fee</u>	<u>Earned Fee</u>
October 1, 2007 through September 30, 2008		
Base Fee (AF and PBIs)	\$33,613,529	\$30,700,765
Stretch Fee (AF and PBIs)	<u>\$12,928,280</u>	<u>\$10,374,765</u>
Subtotal	\$46,541,809	\$41,075,530
Multi-Site Fee	<u>\$ 5,171,312</u>	<u>\$ 5,171,312</u>
Total Actual Available Fee	\$51,713,121	\$46,246,842
October 1, 2008 through September 30, 2009		
Essential Fee (AF and PBIs)	<u>\$32,949,655</u>	\$TBD
Stretch Fee (AF and PBIs)	<u>\$11,808,489</u>	<u>\$TBD</u>
Subtotal	\$44,758,144	\$TBD
Multi-Site Fee	<u>\$ 4,973,127</u>	<u>\$TBD</u>
Total Actual Available Fee	\$49,731,271	\$TBD
October 1, 2009 through September 30, 2010		
Base Fee (AF and PBIs)	\$TBD	\$TBD
Stretch Fee (AF and PBIs)	<u>\$TBD</u>	<u>\$TBD</u>
Subtotal	\$TBD	\$TBD
Multi-Site Fee	<u>\$TBD</u>	<u>\$TBD</u>
Total Actual Available Fee	\$TBD	\$TBD

2. The enclosed Requirements Change Notice (RCN) Number NNSA-33 supersedes RCN Number NNSA-32. NNSA -33 is incorporated into the contract at Part III—List of Documents, Exhibits and Other Attachments, Section J Attachments, Attachment E – Baseline List of Required Compliance Documents.
3. All other terms and conditions remain unchanged.

End of Modification

DOE Form (04/1991) U.S. Department of Energy Requirements Change Notice	No.: NNSA-33 Page 1 of 34 Pages
PROJECT: Baseline List of Required Compliance Documents	LOCATION: Oak Ridge, Tennessee
CONTRACTOR: Babcock & Wilcox Technical Services Y-12, LLC	
CONTRACT NO.: DE-AC05-00OR22800, I.85, Laws, Regulations, and DOE Directives (December 2000), DEAR 970.5204-2	DATE OF CONTRACT: August 31, 2000
<p>This Requirements Change Notice (RCN) No. NNSA-33 incorporates into Section J, Attachment E, Contract No. DE-AC05-00OR22800, the attached list of applicable documents that have been assessed against the terms and conditions of the subject contract in accordance with the above-referenced clause. Changes to Section J, Attachment E are indicated by bold type.</p> <p>Below is a list of the directives incorporated herein:</p> <p><u>ADDITIONS:</u></p> <p>DOE M 142.2-1 DOE M 205.1-5 DOE O 221.1A DOE O 470.3B</p> <p><u>DELETIONS:</u></p> <p>DOE O 221.1 DOE O 470.3A NAP 14.1-B NAP 14.2-B NAP 14.12 NAP 14.16</p> <p><u>COMPLIANCE LINE UPDATES:</u></p> <p>DOE M 452.4-1A, deleted compliance line DOE M 457.1-1, deleted compliance line DOE O 457.1, deleted compliance line DOE M 475.1-1B, deleted compliance line DOE O 475.2, deleted compliance line DOE M 470.4-1, Chg. 1, modified compliance line DOE M 470.4-2, Chg. 1, modified compliance line DOE M 470.4-3, Chg. 1, modified compliance line DOE M 470.4-4, Chg. 1, modified compliance line DOE M 470.4-6, Chg. 1, modified compliance line NAP 14.1-C, modified compliance line NAP 14.2-C, modified compliance line</p> <p><u>UPDATES:</u></p> <p>S/RID 1.0 - Revised Management Systems Functional Area Requirements S/RID 2.0 - Revised Quality Assurance Functional Area Requirements S/RID 4.0 - Revised Training and Qualification Functional Area Requirements S/RID 5.0 - Revised Emergency Management Functional Area Requirements S/RID 7.0 - Revised Engineering Program Functional Area Requirements S/RID 13.0 - Revised Packaging and Transportation Functional Area Requirements S/RID 20.0 - Revised Environmental Protection Functional Area Requirements</p>	
DOE AUTHORIZING SIGNATURE:  Kevin W. Smith, Contracting Officer's Representative	DATE: 12/23/08

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
RD-871 ----- S/RID 13.0 Rev. 15	09/29/2008	PACKAGING AND TRANSPORTATION		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-19-TR dtd 09/29/2008 B&W Y-12 ltr dtd 09/08/2008
<i>Type 2 change to revise a requirement taken from DOE O 461.1A, "Packaging and Transfer or Transportation of Materials of National Security Interest," dated 04/26/2004, to reflect DOE O 470.4A, "Safeguards and Security Program," dated 05/25/2007; and DOE M 470.4-2, "Physical Protection," Section A, Chapter II, Change 1, dated 03/07/2006; and replace a requirement taken from DOE M 473.1-1, "Physical Protection Program Manual," dated 12/23/2002, with a requirement taken from DOE M 470.4-2, "Physical Protection," Change 1, dated 03/07/2006.</i>				
RD-872 ----- DOE M 205.1-5	08/12/2008	Cyber Security Process Requirements Manual		DOE ltr dtd 09/08/2008 B&W Y-12 ltr dtd 10/02/2008
<i>Addition with the following compliance line: Compliance will be contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
RD-873 ----- DOE M 452.4-1A	03/11/2004	Protection of Use Control Vulnerabilities and Designs		NNSA/YSO Comment/ Concurrence Form, Implementation Plan Closure dtd 10/08/2008 B&W Y-12 ltr dtd 08/26/2008
<i>Deleted the compliance line.</i>				
RD-874 ----- DOE M 457.1-1	08/10/2006	Control of Improvised Nuclear Device Information (Official Use Only)		NNSA/YSO Comment/ Concurrence Form, Implementation Plan Closure dtd 10/15/2008 B&W Y-12 ltr dtd 08/27/2008
<i>Deleted the compliance line.</i>				
RD-875 ----- DOE O 457.1	02/07/2006	Nuclear Counterterrorism		NNSA/YSO Comment/ Concurrence Form, Implementation Plan Closure dtd 10/15/2008 B&W Y-12 ltr dtd 08/27/2008
<i>Deleted the compliance line.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-876</u> S/RID 4.0 Rev. 14	10/15/2008	TRAINING AND QUALIFICATION		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-20-TQ dtd 10/15/2008 B&W Y-12 ltr dtd 09/16/2008
<i>Type 2 change to revise a requirement taken from DOE O 461.1A, "Packaging and Transfer or Transportation of Materials of National Security Interest," dated 04/26/2004, to reflect DOE O 470.4A, "Safeguards and Security Program," dated 05/25/2007; and DOE M 470.4-2, "Physical Protection," Section A, Chapter II, Change 1, dated 03/07/2006; and replace a requirement taken from DOE M 473.1-1, "Physical Protection Program Manual," dated 12/23/2002, with a requirement taken from DOE M 470.4-2, "Physical Protection," Change 1, dated 03/07/2006.</i>				
<u>RD-877</u> DOE O 221.1A	04/19/2008	Reporting Fraud, Waste and Abuse to the Office of Inspector General		DOE ltr dtd 09/22/2008 B&W Y-12 ltr dtd 10/20/2008
<i>Addition</i>				
<u>RD-878</u> DOE O 221.1	03/22/2001	Reporting Fraud, Waste and Abuse to the Office of Inspector General		DOE ltrs dtd 09/22/2008 B&W Y-12 ltr dtd 10/20/2008
<i>Deletion: This Order was cancelled and replaced by DOE O 221.1A.</i>				
<u>RD-879</u> DOE M 142.2-1	09/04/2008	Manual for Implementation of the Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency		DOE ltr dtd 10/07/2008 B&W Y-12 ltr dtd 10/21/2008
<i>Addition</i>				
<u>RD-880</u> S/RID 13.0 Rev. 16	10/23/2008	PACKAGING AND TRANSPORTATION		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-22-TR dtd 10/23/2008 B&W Y-12 ltr dtd 09/22/2008
<i>Type 2 change to revise a requirement taken from DOE O 460.2A, "Departmental Materials Transportation and Packaging Management," dated 12/22/2004, to update the secondary source document to reflect DOE M 460.2-1A, "Radioactive Material Transportation Practices Manual," dated 06/04/2008; and add a new requirement taken from DOE-SNL-TYPEBARG, "Tiedown Procedures for Type A, Type B, ARG, and Miscellaneous Containers," Revision 1, dated 02/29/2008.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
RD-881 ----- S/RID 20.0 Rev. 19	10/23/2008	ENVIRONMENTAL PROTECTION		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2008-21-EP dtd 10/23/2008 B&W Y-12 ltr dtd 09/22/2008
<i>Type 2 change to replace a requirement taken from DOE O 450.1, "Environmental Protection Program," Change 1, dated 01/24/2005; and a requirement taken from Executive Order 13423, "Strengthening Federal Environmental, Energy, and Transportation Management," dated 01/26/2007, with a requirement taken from DOE O 450.1A, "Environmental Protection Program," dated 06/04/2008.</i>				
RD-882 ----- DOE O 470.3B	08/12/2008	Graded Security Protection (GSP) Policy		DOE ltr dtd 10/24/2008 B&W Y-12 ltr dtd 11/03/2008
<i>Addition with the following compliance line: Compliance will be contingent upon approval of a revised implementation plan to be submitted to DOE by 09/30/2009.</i>				
RD-883 ----- DOE O 470.3A	11/29/2005	Design Basis Threat Policy (U)		DOE ltr dtd 10/24/2008 B&W Y-12 ltr dtd 11/03/2008
<i>Deletion: Cancelled by DOE O 470.3B.</i>				
RD-884 ----- NAP 14.1-C	05/02/2008	NNSA Baseline Cyber Security Program		NNSA/YSO Comment/ Concurrence Form, Implementation Plan Approval dtd 10/28/2008 B&W Y-12 ltrs dtd 10/21/2008 and 11/12/2008
<i>Modified compliance line: Compliance will be in accordance with an implementation plan approved by DOE on 10/28/2008.</i>				
RD-885 ----- NAP 14.2-C	05/02/2008	NNSA Certification and Accreditation (C&A) Process for Information Systems		NNSA/YSO Comment/ Concurrence Form, Implementation Plan Approval dtd 10/28/2008 B&W Y-12 ltrs dtd 10/21/2008 and 11/12/2008
<i>Modified compliance line: Compliance will be in accordance with an implementation plan approved by DOE on 10/28/2008.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
RD-886	09/27/2006	NNSA Cyber Security Program		DOE ltr dtd 05/22/2008 and NNSA/YSO
NAP 14.1-B				Comment/Concurrence Form, Implementation Plan Approval dtd 10/28/2008
B&W Y-12 ltrs dtd 07/09/2008, 10/28/2008, and 11/12/2008				
<i>Deletion: Cancelled by NAP 14.1-C and NAP 14.2-C.</i>				
RD-887	09/27/2006	Baseline Cyber Security Requirements		DOE ltr dtd 05/22/2008 and NNSA/YSO
NAP 14.2-B				Comment/Concurrence Form, Implementation Plan Approval dtd 10/28/2008
B&W Y-12 ltrs dtd 07/09/2008, 10/28/2008, and 11/12/2008				
<i>Deletion: Cancelled by NAP 14.1-C and NAP 14.2-C.</i>				
RD-888	04/05/2006	NNSA Contingency Planning and Operations		DOE ltr dtd 05/22/2008 and NNSA/YSO
NAP 14.12				Comment/Concurrence Form, Implementation Plan Approval dtd 10/28/2008
B&W Y-12 ltrs dtd 07/09/2008, 10/28/2008, and 11/12/2008				
<i>Deletion: Cancelled by NAP 14.1-C.</i>				
RD-889	08/09/2006	Clearing, Sanitizing, and Destroying Information System Storage Media, Memory Devices, and Other Related Hardware		DOE ltr dtd 05/22/2008 and NNSA/YSO
NAP 14.16				Comment/Concurrence Form, Implementation Plan Approval dtd 10/28/2008
B&W Y-12 ltrs dtd 07/09/2008, 10/21/2008, and 11/12/2008				
<i>Deletion: Cancelled by NAP 14.-1C.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
RD-890 ----- DOE M 475.1-1B	08/28/2007	Manual for Identifying Classified Information		NNSA/YSO Comment/ Concurrence Form, Implementation Plan. Closure dtd 11/13/2008 B&W Y-12 ltr dtd 10/15/2008
<i>Deleted compliance line.</i>				
RD-891 ----- DOE O 475.2	08/28/2007	Identifying Classified Information		NNSA/YSO Comment/ Concurrence Form, Implementation Plan. Closure dtd 11/13/2008 B&W Y-12 ltr dtd 10/15/2008
<i>Deleted compliance line.</i>				
RD-892 ----- S/RID 2.0 Rev. 13	11/14/2008	QUALITY ASSURANCE		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2009-02-QA dtd 11/14/2008 B&W Y-12 ltr dtd 11/05/2008
<i>Type 2 change to update requirements taken from 10 CFR 830.122 to reflect the addition of the DOE/NNSA Quality Plan No. 100-1, Amendment No. 3, dated 04/10/2008.</i>				
RD-893 ----- DOE M 470.4-1	8/26/2005	Safeguards and Security Program Planning and Management	1 03/07/2006	B&W Y-12 ltr dtd 11/20/2008
<i>Modification of Compliance Line: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
RD-894 ----- DOE M 470.4-2	08/26/2005	Physical Protection	1 03/07/2006	B&W Y-12 ltr dtd 11/20/2008
<i>Modification of Compliance Line: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
RD-895 ----- DOE M 470.4-3	08/26/2005	Protective Force	1 03/07/2006	B&W Y-12 ltr dtd 11/20/2008
<i>Modification of Compliance Line: Compliance will be in accordance with the requirements limited to Section A, Chapter I, Paragraphs 1.a.(1)(c) and 4.a. (sentences 1, 2 and 3); and Chapter VI, Paragraph 1.b.(16)(a) and 1.b.(16)(b)1; and Paragraphs 2.a.(1) through 2.a.(5); and the approved deviation as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
RD-896 ----- DOE M 470.4-4	08/26/2005	Information Security	1 06/29/2007	B&W Y-12 ltr dtd 11/20/2008
<i>Modification of Compliance Line: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
RD-897 ----- DOE M 470.4-6	08/26/2005	Nuclear Material Control and Accountability	1 08/14/2006	B&W Y-12 ltr dtd 11/20/2008
<i>Modification of Compliance Line: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
RD-898 ----- S/RID 5.0 Rev. 20	11/17/2008	EMERGENCY MANAGEMENT		NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2009-01-EM dtd 11/17/2008 B&W Y-12 ltr dtd 10/23/2008
<i>Type 2 change to add a new requirement taken from DOE O 150.1, "Continuity Programs," dated 05/08/2008; and delete a requirement taken from DOE N 153.2, "Connectivity to National Atmospheric Release Advisory Center (NARAC)," dated 08/11/2003. DOE N 153.2 is covered by DOE O 151.1C, "Comprehensive Emergency Management System," dated 11/02/2005, which is included in the approved S/RID.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-899</u> <hr/> S/RID 2.0 Rev. 14	11/24/2008	QUALITY ASSURANCE		Contract Modification #A178, dtd 12/03/2008 NNSA/YSO Comment/Concurrence Form, S/RID Chg. Pkg. #2009-03-QA dtd 11/24/2008 B&W Y-12 ltr dtd 11/12/2008
<i>Type 2 change to update a requirement taken from DOE O 226.1A, "Implementation of Department of Energy Oversight Policy," to reflect additional requirements taken from NA-1 SD 226.1A, "NNSA Line Oversight and Contractor Assurance System Supplemental Directive."</i>				
<u>RD-900</u> <hr/> S/RID 7.0 Rev. 32	11/26/2008	ENGINEERING PROGRAM		NNSA/YSO Comment/Concurrence Form, S/RID Chg. Pkg. #2009-02-QA dtd 11/14/2008 B&W Y-12 e-mail message dtd 11/26/2008 and B&W Y-12 ltr dtd 11/05/2008
<i>Type 1 change to align with approved changes in the lead functional area to update two requirements taken from 10 CFR 830.122 to reflect the addition of the DOE/NNSA Quality Plan No. 100-1, Amendment No. 3, dated 04/10/2008.</i>				
<u>RD-901</u> <hr/> S/RID 1.0 Rev. 18	11/26/2008	MANAGEMENT SYSTEMS		NNSA/YSO Comment/Concurrence Form, S/RID Chg. Pkg. #2009-02-QA dtd 11/14/2008 B&W Y-12 e-mail message dtd 11/26/2008 and B&W Y-12 ltr dtd 11/05/2008
<i>Type 1 change to align with approved changes in the lead functional area to update a requirement taken from 10 CFR 830.122 to reflect the addition of the DOE/NNSA Quality Plan No. 100-1, Amendment No. 3, dated 04/10/2008.</i>				

OUTLINE OF CHANGES

REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	AUTHORIZATION
<u>RD-902</u> ----- S/RID 4.0 Rev. 15	11/26/2008	TRAINING AND QUALIFICATION		Contract Modification #A178, dtd 12/03/2008 NNSA/YSO Comment/ Concurrence Form, S/RID Chg. Pkg. #2009-03-QA dtd 11/24/2008 B&W Y-12 e-mail message dtd 11/26/2008 and B&W Y-12 ltr dtd 11/12/2008
<i>Type 1 change to align with approved changes in the lead functional area to update a requirement taken from DOE O 226.1A, "Implementation of Department of Energy Oversight Policy," to reflect additional requirements taken from NA-1 SD 226.1A, "NNSA Line Oversight and Contractor Assurance System Supplemental Directive."</i>				

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List B – List of Applicable Directives

DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 110.3A	01/25/2007	CONFERENCE MANAGEMENT		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 09/20/2007.</i>				
DOE O 130.1	09/29/1995	BUDGET FORMULATION		Extended until 05/01/2003 by DOE N 251.45.
DOE O 137.1A	08/30/1999	PLAN FOR OPERATING IN THE EVENT OF A LAPSE IN APPROPRIATIONS		
DOE M 140.1-1B	03/30/2001	INTERFACE WITH THE DEFENSE NUCLEAR FACILITIES SAFETY BOARD		
DOE O 142.1	01/13/2004	CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS		Cancels portions of Chapter VIII of DOE O 470.1 pertaining to foreign nationals who visit DOE sites/facilities and require access to classified information.
DOE M 142.2-1	09/04/2008	MANUAL FOR IMPLEMENTATION OF THE VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		
DOE O 142.2A	12/15/2006	VOLUNTARY OFFER SAFEGUARDS AGREEMENT AND ADDITIONAL PROTOCOL WITH THE INTERNATIONAL ATOMIC ENERGY AGENCY		
DOE O 142.3	06/18/2004	UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS PROGRAM	1 02/28/2008	

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		
DOE N 203.1	10/02/2000	SOFTWARE QUALITY ASSURANCE		Extended until 12/31/2001 by DOE N 251.40.
DOE O 205.1A	12/04/2006	DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT		
<i>Compliance: Compliance will be in accordance with the NAP Implementation Plan. In the interim, Y-12 will continue its unclassified system accreditations under the Cyber Security Program Plan (CSPP).</i>				
DOE M 205.1-3	04/17/2006	TELECOMMUNICATIONS SECURITY MANUAL (OFFICIAL USE ONLY)		
DOE M 205.1-4	03/08/2007	NATIONAL SECURITY SYSTEM MANUAL		
<i>Compliance: Based on this directive, compliance will be contingent upon an impact assessment of the revised Program Cyber Security Plan (PCSP) to be developed by NNSA. Pending that impact assessment, classified information system certification and accreditation will continue to be governed by DOE M 471.2-2, "Classified Information Systems Security Manual."</i>				
DOE M 205.1-5	08/12/2008	CYBER SECURITY PROCESS REQUIREMENTS MANUAL		
<i>Compliance: Compliance will be contingent upon an impact assessment to be based on receipt of further guidance with respect to NNSA's Program Cyber Security Plan.</i>				
DOE N 206.4	06/29/2007	PERSONAL IDENTITY VERIFICATION		
DOE O 210.2	06/12/2006	DOE CORPORATE OPERATING EXPERIENCE PROGRAM		
DOE O 221.1A	04/19/2008	REPORTING FRAUD, WASTE AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 221.2A	02/25/2008	COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL		
<i>Compliance: Compliance with this Order is not intended to abrogate the constitutional rights of B&W Y-12's employees, subcontractors, or other support personnel.</i>				
DOE O 221.3A	04/19/2008	ESTABLISHMENT OF MANAGEMENT DECISIONS ON OFFICE OF INSPECTOR GENERAL REPORTS		See Footnote (1)
DOE O 224.3	01/24/2005	AUDIT RESOLUTION AND FOLLOW-UP PROGRAM		See Footnote (4)
DOE O 241.1A	04/09/2001	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT	1 10/14/2003	
DOE M 251.1-1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM MANUAL		
DOE P 251.1A	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM POLICY		
DOE O 251.1B	08/16/2006	DEPARTMENTAL DIRECTIVES PROGRAM		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 251.40	05/03/2001	EXTENSION OF DOE DIRECTIVES ON SECURITY		Extends DOE N 142.1, DOE N 203.1, DOE N 205.1, DOE N 205.2, DOE N 205.3, DOE O 470.1, DOE N 470.2, DOE O 471.2A, DOE O 472.1B, DOE M 473.2-1, DOE N 473.3, DOE N 473.4, DOE N 473.5, DOE N 473.6, and DOE N 473.7 until 12/31/2001.
DOE N 251.45	05/29/2002	EXTENSION OF DOE O 130.1 AND DOE O 135.1		Extends DOE O 130.1 and DOE O 135.1 until 05/01/2003.
DOE N 251.64	07/07/2005	EXTENSION OF DOE DIRECTIVES		Extends DOE O 471.1A, DOE M 471.1-1, Chg. 1, DOE M 472.1-1B, and DOE N 473.9 until 07/07/2006.
DOE O 252.1	11/19/1999	TECHNICAL STANDARDS PROGRAM		
DOE O 350.1	09/30/1996	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	1 05/08/1998	
DOE O 350.2A	10/29/2003	USE OF MANAGEMENT AND OPERATING OR OTHER FACILITY MANAGEMENT CONTRACTOR EMPLOYEES FOR SERVICES TO DOE IN THE WASHINGTON, D.C., AREA		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE N 351.1	04/27/2006	CONTRACTOR EMPLOYEE PENSION AND MEDICAL BENEFITS POLICY		
<i>Compliance: Implementation of this Notice has been suspended until 06/19/2007 as directed by the Secretary of Energy in a memorandum dated 06/19/2006.</i>				
DOE O 412.1A	04/21/2005	WORK AUTHORIZATION SYSTEM		
DOE O 413.1A	04/18/2002	MANAGEMENT CONTROL PROGRAM		
DOE O 413.3A	07/28/2006	PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		This Order cancels Chapters 1 through 3 of DOE M 413.3-1.
DOE M 413.3-1	03/28/2003	PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS		Chapters 1 through 3 are cancelled by DOE O 413.3A.
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT	1 02/08/2008	
DOE O 430.2B	02/27/2008	DEPARTMENTAL ENERGY, RENEWABLE ENERGY AND TRANSPORTATION MANAGEMENT		
<i>Compliance: This Order is only one part of the total program directed at strengthening federal environmental, energy and transportation management. Other components of that program include Executive Order 13423 and DOE O 450.1A, "Environmental Protection Program." DOE O 450.1A remains in draft and has not been issued by DOE as of this date. An implementation plan to address the total program elements, including those parts of DOE O 430.2B will be necessary. The required implementation plan is currently under development based on the overarching requirements of Executive Order 13423 and pending final issuance of DOE O 450.1A.</i>				
DOE P 442.1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS ON TECHNICAL ISSUES RELATED TO ENVIRONMENT, SAFETY, AND HEALTH		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 442.1A	06/06/2001	DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM		
DOE O 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 443.1A	12/20/2007	PROTECTION OF HUMAN SUBJECTS		
DOE P 450.7	08/02/2004	DEPARTMENT OF ENERGY ENVIRONMENT, SAFETY AND HEALTH (ES&H) GOALS		
DOE O 452.3	06/08/2005	MANAGEMENT OF THE DEPARTMENT OF ENERGY NUCLEAR WEAPONS COMPLEX		
DOE M 452.4-1A	03/11/2004	PROTECTION OF USE CONTROL VULNERABILITIES AND DESIGNS		
DOE O 457.1	02/07/2006	NUCLEAR COUNTERTERRORISM		
DOE M 457.1-1	08/10/2006	CONTROL OF IMPROVISED NUCLEAR DEVICE INFORMATION (OFFICIAL USE ONLY)		
DOE P 470.1	05/08/2001	INTEGRATED SAFEGUARDS AND SECURITY MANAGEMENT (ISSM) POLICY		
DOE N 470.2	12/15/2000	REPORTING UNOFFICIAL FOREIGN TRAVEL		Extended until 12/31/2001 by DOE N 251.40.

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 470.2B	10/31/2002	INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM		Cancels and replaces DOE O 470.2A and Attachment 2, "Safety Issue Corrective Action Process," of DOE O 414.1A, dated 09/29/1999, as amended by Change 1, dated 07/12/2001.
DOE O 470.3B	08/12/2008	GRADED SECURITY PROTECTION (GSP) POLICY		
<i>Compliance: Compliance will be contingent upon approval of a revised implementation plan to be submitted to DOE by 09/30/2009.</i>				
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-3	08/26/2005	PROTECTIVE FORCE	1 03/07/2006	
<i>Compliance: Compliance will be in accordance with the requirements limited to Section A, Chapter I, Paragraphs 1.a.(1)(c) and 4.a. (sentences 1, 2 and 3); and Chapter VI, Paragraph 1.b.(16)(a) and 1.b.(16)(b)1; and Paragraphs 2.a.(1) through 2.a.(5); and the approved deviation as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				

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DOE DIRECTIVES				
DOE Directives can be found at the following Internet address: http://www.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 470.4-4	08/26/2005	INFORMATION SECURITY	1 06/29/2007	Cancels DOE M 471.2-4 except for the classified annex.
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-5	08/26/2005	PERSONNEL SECURITY		
DOE M 470.4-6	08/26/2005	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	1 08/14/2006	
<i>Compliance: Compliance will be in accordance with the requirements in the CRD and the approved deviations as documented in the NNSA HQ's classified Safeguards and Security Information Management System (SSIMS).</i>				
DOE M 470.4-7	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM REFERENCES		
DOE O 471.1A	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION		Extended until 07/07/2006 by DOE N 251.64.
DOE M 471.1-1	06/30/2000	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL	1 10/23/2001	Extended until 07/07/2006 by DOE N 251.64.
DOE M 471.2-2	08/03/1999	CLASSIFIED INFORMATION SYSTEMS SECURITY MANUAL		Chapter VI, paragraphs 4j(2) and 4j(6), and Chapter VII, paragraph 12a(2)(a) are cancelled by DOE N 205.3. Chapter III, Section 8, "Incident Reporting," is cancelled by DOE N 205.4.

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DOE Directives can be found at the following Internet address: http://www.directives.doe.gov/				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE M 471.2-3B	10/29/2007	SPECIAL ACCESS PROGRAM POLICIES, RESPONSIBILITIES, AND PROCEDURES (OFFICIAL USE ONLY)		
DOE M 471.2-4	02/06/2004	TECHNICAL SURVEILLANCE COUNTERMEASURES (OFFICIAL USE ONLY)		Cancelled by DOE M 470.4-4 except for the classified annex.
DOE O 471.3	04/09/2003	IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE M 471.3-1	04/09/2003	MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION		
DOE O 475.1	12/10/2004	COUNTERINTELLIGENCE PROGRAM		
DOE M 475.1-1B	08/28/2007	MANUAL FOR IDENTIFYING CLASSIFIED INFORMATION		
DOE O 475.2	08/28/2007	IDENTIFYING CLASSIFIED INFORMATION		
DOE M 481.1-1A	01/03/2001	REIMBURSABLE WORK FOR NON-FEDERAL SPONSORS PROCESS MANUAL	1 09/28/2001	
DOE O 482.1	01/12/2001	DOE FACILITIES TECHNOLOGY PARTNERING PROGRAMS		
DOE O 483.1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS		
DOE M 483.1-1	01/12/2001	DOE COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENTS MANUAL		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE O 484.1	08/17/2006	REIMBURSABLE WORK FOR THE DEPARTMENT OF HOMELAND SECURITY		
DOE O 522.1	11/03/2004	PRICING OF DEPARTMENTAL MATERIALS AND SERVICES		
<i>Compliance: BWXT Y-12 is in compliance with the applicable requirements of this document with the exception of specifically approved exemptions on pass-through costs. These exemptions are reflected in the latest approved BWXT Y-12 Cost Accounting Standards Board Disclosure Statement.</i>				
DOE O 534.1B	01/06/2003	ACCOUNTING		
<i>Compliance: Compliance with this Order includes compliance with Chapters 5, 8, 12, 15, 17, and 21 of the "DOE Accounting Handbook," with the exception that production support costs will be handled as direct costs rather than indirect costs based on the FY 2006 Congressional Budget Funding Schedule for Directed Stockpile Work.</i>				
DOE O 551.1C	06/24/2008	OFFICIAL FOREIGN TRAVEL		
DOE M 573.1-1	07/12/2000	MAIL SERVICES USER'S MANUAL		
DOE O 580.1	12/07/2005	DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM		
<i>Compliance: Compliance will be contingent upon approval of a Deviation Request submitted to DOE on 07/31/2006 that is directed at a graded approach to implementing requirements contained in Attachment 2, Paragraphs 2.d.(1), 2.d.(3), 2.e.(1)(b)3, 2.e.(2), and 2.o(1); and an Implementation Plan submitted to DOE 08/30/2006.</i>				
DOE 1340.1B	01/07/1993	MANAGEMENT OF PUBLIC COMMUNICATIONS AND SCIENTIFIC, TECHNICAL, AND ENGINEERING PUBLICATIONS		
DOE 1450.4	11/12/1992	CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE/RADIO CONVERSATIONS		

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
DOE 2340.1C	06/08/1992	COORDINATION OF GENERAL ACCOUNTING OFFICE ACTIVITIES		See Footnote (1)
DOE 5560.1A	05/08/1985	PRIORITIES AND ALLOCATIONS PROGRAM		
DOE 5610.2	08/01/1980	CONTROL OF WEAPONS DATA	1 09/02/1986	
DOE 5639.8A	07/23/1993	SECURITY OF FOREIGN INTELLIGENCE INFORMATION AND SENSITIVE COMPARTMENTED INFORMATION FACILITIES		
DOE 5660.1B	05/26/1994	MANAGEMENT OF NUCLEAR MATERIALS		
DOE 5670.1A	01/15/1992	MANAGEMENT AND CONTROL OF FOREIGN INTELLIGENCE		

Compliance: Implementation is in accordance with MMES letter No. AE92-044 dated 06/10/1992.

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://www.osti.gov				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
AL-SD 56XB, Revision 2	03/31/2004	DEVELOPMENT AND PRODUCTION MANUAL	10 08/22/2007	
IBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue B	
IBP-401	11/30/2006	PRODUCT DEFINITION EXCHANGE PROCESS	Issue A	
IBP-404	12/17/2003	ENGINEERING AUTHORIZATION SYSTEM	Issue B	
PSLM	02/16/2006	PRIMARY STANDARDS LABORATORY MEMORANDUM		
TBP-CM	02/14/2005	CONFIGURATION MANAGEMENT	Issue A	
TBP-PRP	05/04/2001	PRODUCT REALIZATION PROCESS	Issue E	
TBP-SYS	10/20/2004	NWC TECHNICAL BUSINESS PRACTICE SYSTEM	Issue H	
TBP-000	05/04/2001	PROGRAM MANAGEMENT	Issue E	
TBP-001	10/02/2000	MAJOR ASSEMBLY RELEASE SYSTEM	Issue C	
TBP-100	09/16/2005	CONCURRENT QUALIFICATION	Issue G	
TBP-101	01/22/2007	ENGINEERING EVALUATION PROCESS	Issue H	
TBP-200	09/18/2006	PRODUCT IDENTIFICATION AND TRACEABILITY	Issue E	
TBP-201	09/18/2006	WEAPON IDENTIFICATION SYSTEMS AND MARKING CRITERIA	Issue D	
TBP-202	09/18/2006	RECORD OF ASSEMBLY AND DISASSEMBLY	Issue E	

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://www.sandia.gov				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-203	02/20/2004	WEAPON RECORD CARDS	Issue E	
TBP-300	02/15/2005	PRODUCT DEFINITION	Issue E	
TBP-301	10/02/2006	METHODS OF DEFINITION	Issue J	
TBP-302	09/18/2006	PRODUCT AND EQUIPMENT DESIGNATIONS	Issue D	
TBP-303	01/24/2007	SEVEN-DIGIT MATERIAL AND PROCESS SPECIFICATIONS	Issue D	
TBP-304	03/11/2003	DEFINING COMMERCIAL PRODUCT IN THE ENGINEERING DRAWING SYSTEM	Issue E	
TBP-305	09/18/2006	CONFIGURATION OF TYPE WEAPONS	Issue D	
TBP-306	05/02/2003	SOFTWARE PRODUCT PROCESSES	Issue E	
TBP-307	05/02/2003	USE OF MECHANICAL MODELS IN THE PRODUCT REALIZATION PROCESS	Issue D	
TBP-308	02/06/2006	COMPUTER-AIDED DESIGN PROCESS FOR ELECTRICAL PRODUCTS	Issue A	
TBP-400	10/02/2000	DESIGN CONTROL	Issue D	
TBP-401	11/30/2006	DEFINITION CONTROL	Issue E	
TBP-402	09/22/2004	PRODUCT CONTROL	Issue F	
TBP-403	02/13/2006	REVIEWS	Issue E	
TBP-404	05/04/2005	ENGINEERING AUTHORIZATION SYSTEM	Issue F	
TBP-502	01/21/2002	DISTRIBUTION OF NON-ELECTRONIC PRODUCT DEFINITION USING ELECTRONIC TRANSMITTAL METHODS	Issue A	

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ALBUQUERQUE DIRECTIVES (ALs)				
ALs can be found at the following Internet address: http://www.osti.gov/qa/qa.html				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
TBP-600	10/02/2000	PROCUREMENT	Issue D	
TBP-601	10/02/2000	PROCUREMENT CLASSES OF WEAPON PRODUCT	Issue C	
TBP-602	03/11/2003	INTERPRETATION OF PROCUREMENT INDEX	Issue D	
TBP-700	03/11/2003	PRODUCT ACCEPTANCE AND CONTROL OF NONCONFORMANCE	Issue E	
TBP-701	04/19/2007	ACCEPETANCE EQUIPMENT INTERFACES	Issue D	
TBP-702	05/29/2007	NONCONFORMING MATERIAL	Issue F	
TBP-703	06/01/2001	PRODUCT REPROCESSING AND REWORKING	Issue D	
TBP-704	10/02/2000	EVALUATION AND DISPOSITION OF DISCREPANT WEAPON MATERIAL	Issue D	
TBP-800	07/30/2001	STOCKPILE MANAGEMENT	Issue F	
TBP-801	10/02/2000	LABORATORY AND FLIGHT TEST MATERIAL	Issue D	
TBP-802	10/02/2000	TELEMETRY PROCEDURES	Issue D	
TBP-803	12/06/2006	NON-NUCLEAR ASSURANCE PROGRAM	Issue F	
TBP-804	12/06/2006	STOCKPILE SUPPORT MATERIAL	Issue F	
TBP-805	12/06/2006	REPAIR AND RETROFIT OF WEAPONS AND ANCILLARY EQUIPMENT	Issue F	
TBP-901	10/02/2000	INTEGRATED SAFETY PROCESS FOR NUCLEAR WEAPONS OPERATIONS AND FACILITIES	Issue B	

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
BOP-50.002	09/19/2006	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) VALUE MANAGEMENT (VM) POLICY		
BOP-50.003	06/06/2007	ESTABLISHMENT OF A NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) INDEPENDENT PROJECT REVIEW (IPR) POLICY		
<i>Compliance: Compliance with respect to Operation Expense and Work for Others projects will be addressed upon receipt of Y-12 Site Office implementation guidance.</i>				
DOE-HQ Memorandum	11/08/2006	IMPROVED CYBER SECURITY PROTECTION FOR CLASSIFIED COMPUTER SYSTEMS		
MANUAL	04/01/2007	BASELINE SECURITY MANUAL (OFFICIAL USE ONLY)		
NAP 14.1-C	05/02/2008	NNSA BASELINE CYBER SECURITY PROGRAM		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 10/28/2008.</i>				
NAP 14.2-C	05/02/2008	NNSA CERTIFICATION AND ACCREDITATION (C&A) PROCESS FOR INFORMATION SYSTEMS		See Footnote (2)
<i>Compliance: Compliance will be in accordance with an implementation plan approved by DOE on 10/28/2008.</i>				
NAP 14.3-B	05/02/2008	TRANSMISSION OF RESTRICTED DATA OVER SECRET INTERNET PROTOCOL ROUTER NETWORK (SIPRNet)		See Footnote (2)

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OTHER APPLICABLE DOCUMENTS				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	NOTES AND COMMENTS
OMB-Circular A-123	12/21/2004	MANAGEMENT'S RESPONSIBILITY FOR INTERNAL CONTROL		
<i>Compliance: Compliance will be in accordance with an Implementation Plan approved by DOE on 03/06/2006.</i>				
OPS-323	10/21/2004	DATA INTERFACE AGREEMENT: INTEGRATED CONTRACTOR INTERFACE FOR DEPARTMENT OF ENERGY I-MANAGE PROGRAM – STARS PROJECT		
YSO-CRD-06-01 Revision 1	07/06/2007	DIRECTIVES SYSTEM PROCESS		

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STANDARDS/REQUIREMENTS IDENTIFICATION DOCUMENTS (S/RIDs)				
FUNCTIONAL AREA (FA)	TITLE	REVISION NUMBER	DOE/ORO APPROVAL DATE	NOTES AND COMMENTS
1.0	MS - Management Systems	18	11/26/2008	Type 1 Change
2.0	QA - Quality Assurance	14	11/24/2008	
3.0	CM - Configuration Management	6	06/13/2006	Type 1 Change
4.0	TQ - Training and Qualification	15	11/26/2008	Type 1 Change
5.0	EM - Emergency Management	20	11/17/2008	
7.0	EG - Engineering Program	32	11/26/2008	Type 1 Change
8.0	CP - Construction Program	27	07/10/2008	Type 1 Change
9.0	OP - Operations	4	06/05/2006	
10.0	MA - Maintenance	15	12/18/2007	
11.0	RP - Radiation Protection	7	11/13/2007	
12.0	FP - Fire Protection	15	04/10/2008	
13.0	TR - Packaging and Transportation	16	10/23/2008	
18.0	FS - Facility (Nuclear) Safety	19	05/21/2008	
19.0	SH - Occupational Safety and Health	31	04/24/2008	
20.0	EP - Environmental Protection	19	10/23/2008	
21.0	CS - Nuclear Criticality Safety	3	05/09/2007	

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
10 CFR	01/24/1997	ENERGY		MS, QA, TQ, EM, EG, CP, RP, FP, FS, SH, EP
28 CFR	07/01/1997	JUDICIAL ADMINISTRATION		FP
29 CFR	04/23/1998	LABOR		CM, TQ, EM, EG, CP, FP, FS
33 CFR		NAVIGATION AND NAVIGABLE WATERS		CP, EP
36 CFR		PARKS, FORESTS, AND PUBLIC PROPERTY		MS, EM, EG, CP, FP, EP
40 CFR		PROTECTION OF THE ENVIRONMENT		EM, EG, CP, TR, FS, SH, EP
40 CFR 144		SAFE DRINKING WATER ACT		EP
43 CFR		PUBLIC LANDS: INTERIOR		EP
48 CFR		FEDERAL ACQUISITION REGULATIONS SYSTEM		MS
49 CFR	10/01/2000	TRANSPORTATION		TQ, EM, TR
50 CFR		WILDLIFE AND FISHERIES		EP
5400.5	02/08/1990	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT	2	EG, CP, EP
5480.19	07/09/1990	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	2	CM, TQ, EG, CP, OP
5480.20A	02/20/1991	PERSONNEL SELECTION, QUALIFICATION, TRAINING, AND STAFFING REQUIREMENTS AT DOE REACTOR AND NON-REACTOR NUCLEAR FACILITIES	1	TQ, EG, CP
5480.21	12/24/1991	UNREVIEWED SAFETY QUESTIONS		TQ

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APPROVED S/RID SOURCE DOCUMENTS³				
REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DE-AC05-00OR22800	08/31/2000	BWXT Y-12, L.L.C. CONTRACT WITH DOE/NNSA		MS, EG, CP
DOE O 150.1	05//08/2008	CONTINUITY PROGRAMS		EM
DOE O 151.1C	11/02/2005	COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM		TQ, EM, EG, CP
DOE O 153.1	06/27/2007	DEPARTMENTAL RADIOLOGICAL EMERGENCY RESPONSE ASSETS		EM
DOE O 200.1	09/30/1996	INFORMATION MANAGEMENT PROGRAM		MS, EG, CP
DOE O 225.1A	11/26/1997	ACCIDENT INVESTIGATIONS		EG, CP, SH
DOE O 226.1A	07/31/2007	IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY		QA, TQ
DOE M 231.1-1A	03/19/2004	ENVIRONMENT, SAFETY AND HEALTH REPORTING MANUAL	2 06/12/2007	EG, CP, RP, FP, SH, EP
DOE M 231.1-2	08/19/2003	OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION		MS
DOE O 341.1A	10/18/2007	FEDERAL EMPLOYEE HEALTH SERVICES		SH
DOE O 414.1C	06/17/2005	QUALITY ASSURANCE		QA, TQ
DOE O 420.1B	12/22/2005	FACILITY SAFETY		EM, EG, CP, OP, FP, CS
DOE G 420.1-3	09/27/2007	IMPLEMENTATION GUIDE FOR DOE FIRE PROTECTION AND EMERGENCY SERVICES PROGRAMS FOR USE WITH DOE O 420.1B, FACILITY SAFETY		EG, FP

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE G 421.1-2	10/24/2001	IMPLEMENTATION GUIDE FOR USE IN DEVELOPING DOCUMENTED SAFETY ANALYSES TO MEET SUBPART B OF 10 CFR 830		FS
DOE O 425.1C	03/13/2003	STARTUP AND RESTART OF NUCLEAR FACILITIES		MS
DOE O 430.1B	09/24/2003	REAL PROPERTY ASSET MANAGEMENT		EG, MA
DOE O 433.1	06/01/2001	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		CM, EG, TQ
DOE O 433.1A	02/13/2007	MAINTENANCE MANAGEMENT PROGRAM FOR DOE NUCLEAR FACILITIES		EG, CP, MA
DOE M 435.1-1	07/09/1999	RADIOACTIVE WASTE MANAGEMENT MANUAL		TQ, EG, CP, EP
DOE O 440.1A	03/27/1998	WORKER PROTECTION MANAGEMENT FOR DOE FEDERAL AND CONTRACTOR EMPLOYEES		EG
DOE O 440.2B	11/27/2002	AVIATION MANAGEMENT AND SAFETY	1 11/19/2006	TR
DOE M 441.1-1	03/07/2008	NUCLEAR MATERIAL PACKAGING MANUAL		EG
DOE M 442.1-1	11/16/2006	DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL ISSUES INVOLVING ENVIRONMENT, SAFETY, AND HEALTH		SH
DOE O 450.1A	06/04/2008	ENVIRONMENTAL PROTECTION PROGRAM		EP

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE M 450.4-1	11/01/2006	INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL		SH
DOE P 456.1	09/15/2005	SECRETARIAL POLICY STATEMENT ON NANOSCALE SAFETY		SH
DOE O 460.1B	04/04/2003	PACKAGING AND TRANSPORTATION SAFETY		TR
DOE O 460.2A	12/22/2004	DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT		TR
DOE O 461.1A	04/26/2004	PACKAGING AND TRANSFER OR TRANSPORTATION OF MATERIALS OF NATIONAL SECURITY INTEREST		TQ, TR
DOE M 470.4-1	08/26/2005	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	1 03/07/2006	EM
DOE M 470.4-2	08/26/2005	PHYSICAL PROTECTION	1 03/07/2006	TQ, TR
DOE-SNL-TYPEBARG	02/29/2008	TIEDOWN PROCEDURES FOR TYPE A, TYPE B, ARG, AND MISCELLANEOUS CONTAINERS		TR
DOE-STD-1032-92	12/1992	GUIDE TO GOOD PRACTICES FOR OPERATIONS ORGANIZATION AND ADMINISTRATION		MS
DOE-STD-1066-99	07/31/1999	DOE STANDARD - FIRE PROTECTION DESIGN CRITERIA		EG
DOE-STD-1073-2003	10/2003	CONFIGURATION MANAGEMENT		MS, CM, EG, CP
DOE-STD-1090-2007	08/2007	HOISTING AND RIGGING		SH

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
DOE-STD-1189-2008	03/01/2008	INTEGRATION OF SAFETY INTO THE DESIGN PROCESS		EG
DOE-STD-3003-2000	01/31/2000	DOE STANDARD - BACKUP POWER SOURCES FOR DOE FACILITIES		EG
DOE-STD-3024-98	10/31/1998	DOE STANDARD - CONTENT OF SYSTEM DESIGN DESCRIPTIONS		EG
EO 12898		ENVIRONMENTAL JUSTICE		EP
FFCA		FEDERAL FACILITIES COMPLIANCE ACT		EP
NFPA CODES AND STANDARDS	04/23/1998	CODES AND STANDARDS		EG, CP, FP
ORR PCB FFCA		OAK RIDGE RESERVATION POLYCHLORINATED BIPHENYL FEDERAL FACILITIES COMPLIANCE AGREEMENT		EP
PUBLIC LAW 104-113		NATIONAL TECHNOLOGY TRANSFER AND ADVANCEMENT ACT OF 1995		EG, CP
QC-1, Rev. 10	02/10/2004	DOE/NNSA WEAPON QUALITY POLICY (QC-1)		MS, QA, EG
SEN-35-91	09/09/1991	NUCLEAR SAFETY POLICY		TQ
STANDARD BUILDING CODE (SBC)		SOUTHERN BUILDING CODE CONGRESS INTERNATIONAL (SBCCI)		CP
TITLE 55 AND 65		TENNESSEE MOTOR VEHICLE LAWS ANNOTATED		TR
TENNESSEE CODE, TITLE 68	04/23/1998	HEALTH, SAFETY AND ENVIRONMENTAL PROTECTION		FP

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
TCA TITLE 69		WATERS, WATERWAYS, DRAINS AND LEVEES		EP
THWRA		TENNESSEE HAZARDOUS WASTE REDUCTION ACT (THWRA)		EP
TN CODE ANNOTATED		TENNESSEE CODE ANNOTATED		EM, EG
TN 1200		RULES OF THE TENNESSEE DEPARTMENT OF ENVIRONMENT AND CONSERVATION		CP, EP
TN 1200-1-13		TENNESSEE INACTIVE HAZARDOUS SUBSTANCE SITE REMEDIAL ACTION PROGRAM	1	EP
TN 1200-1-7		TENNESSEE SOLID WASTE RULES		EP
TN 1200-1-7.02		TENNESSEE SOLID WASTE DISPOSAL ACT		EP
TN 1200-3-10		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-20		TENNESSEE AIR QUALITY ACT		EP
TN 1200-3-27		TENNESSEE AIR QUALITY ACT		EP
TN 1200-4-5		TENNESSEE WATER QUALITY CONTROL ACT		EP
TN 1200-5-8		WATER REGISTRATION REQUIREMENTS		EP
TN OVERSIGHT AGREEMENT	06/27/1996	TENNESSEE OVERSIGHT AGREEMENT (TOA)		EM, EP
TWRA		TENNESSEE WILDLIFE RESOURCES AGENCY (TWRA) BOATING REGULATIONS		TR

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REQUIRED COMPLIANCE DOCUMENT	DATE	TITLE	THROUGH CHANGE	FUNCTIONAL AREA(S)
YSO-CRD-02-01, Rev. 2	02/15/2008	IDENTIFICATION, EVALUATION, AND CONTROL OF NON-NUCLEAR CHEMICAL HAZARDS		EG, FS
YSO-CRD-03-01, Rev. 4	03/21/2008	START-UP AND RESTART OF OPERATIONS, ACTIVITIES, & FACILITIES AT Y-12		MS
YSO-CRD-07-01 Rev. 1	02/15/2008	AUTHORIZATION AGREEMENTS		EG, CP, FS

FOOTNOTES:

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) This document comprises a component of the Program Cyber Security Plan that is directed by DOE O 205.1A, DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT, dated 12/04/2006.
- (3) These documents are ES&H related and appear in one or more of the current Standards/Requirements Identification Document (S/RID) Functional Areas. In an S/RID, the document may be referenced in its entirety or by certain chapters, paragraphs, or sections taken from the document. In addition, information regarding document applicability may be obtained from the specific S/RID.
- (4) Although this document is not directly applicable to the Contractor, it is included in the list of applicable documents to establish requirements for audit resolution and follow-up systems.